

Geotechnical Assessment

Project: Alterations & Additions11 Mulgowrie Crescent, Balgowlah Heights

Prepared for:

Christine & Adam Polson

Ref: AG 25466 28 October 2025





WHAT TO DO WITH THIS REPORT

While your geotechnical assessment report may be a statutory requirement from council in support of your development application, it also contains information important to the structural design and construction methodology of your project. Therefore, it is critical that all relevant parties are provided with a copy of this report.

GENERAL ADVICE

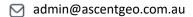
If after reading this report you have any questions, are unsure what to do next or when you need to get in touch, please reach out to us.

Given AscentGeo can't be on site the whole time, we recommend that you or/and your builder take a lot of progress photos, especially during excavation. Many of the potential problems that may pop up can be resolved if we have clear photos of the work that's been done.

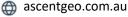
A lot can change on site during a construction project: some of these changes are normal and innocuous, while others can be symptoms of larger or more serious issues. For this reason, it's important to contact us to discuss any changes you notice on site that you aren't sure about. This could include but not be limited to changes to ground or surface water, movement of structures, and settlement of paths or landscaping elements.

We're here to help.

The AscentGeo Team









Geotechnical Assessment

For Alterations & Additions at

11 Mulgowrie Crescent, Balgowlah Heights NSW

Document Status		Approved for Issue			
Version	Author		Reviewer	Date	
1	Kiengseng Pung BEng Civil (Hons)		Ben Morgan BScGeol MAIG RPGeo	28.10.2025	
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Version	Copies	Format	То	Date	
1	1 PDF		Four Towns Planning	28.10.2025	
1	1 PDF		Christine & Adam Polson	28.10.2025	

Limitations

This report has been prepared for Christine & Adam Polson, c/- Four Towns Planning, in accordance with AscentGeo's fee proposal dated 13 October 2025.

The report is provided for the exclusive use of the property owner and their nominated agents for the specific development and purpose as described in the report. This report must not be used for purposes other than those outlined in the report or applied to any other projects.

The information contained within this report is considered accurate at the time of issue with regard to the current conditions on site as identified by AscentGeo and the documentation provided by others.

The report should be read in its entirety and should not be separated from its attachments or supporting notes. It should not have sections removed or included in other documents without the express approval of AscentGeo.



Contents

1	Overv	iew		3
	1.1	Background	<u></u>	3
	1.2	Proposed D	Development	3
	1.3	Relevant In	struments	3
2	Site D	escription		4
	2.1	Summary		4
	2.2	Site Descrip	otion	4
	2.3	Geology an	d Geological Interpretation	5
	2.4	Fieldwork		5
3	Geote	echnical Asse	essment	6
	3.1	Geological	Model	6
	3.2	Site Classifi	cation	6
	3.3	Groundwat	er	7
	3.4	Surface Wa	ter	8
	3.5	Slope Insta	bility	8
	3.6	Geotechnic	al Hazards and Risk Analysis	9
	3.7	Conclusion	and Recommendations	9
4	Refer	ences		15
5	Appen	dices		
	Appen	dix A:	Site plan/ground test locations and geological cross section	
	Appen	dix B:	Site photos	
	Appen	dix C:	Engineering logs	
	Appen	dix D:	General notes	
			CSIRO Publishing, 2012. 'Foundation Maintenance and Footing Performance: A Homeowners Guide', Sheet BTF-18.	
			Australian GeoGuide LR8, 2007. 'Examples of Good/Bad Hillside Construction Practice'.	
			Australian Geomechanics, 2007. 'Practice Note Guidelines for Landslide Management', Appendix C: Qualitative Terminology.	!
	Appen	dix E:	Map of Geotechnical Areas – Manly (NBC)	



1 Overview

1.1 Background

This report presents the findings of a geotechnical assessment carried out at 11 Mulgowrie Crescent, Balgowlah Heights (the 'Site'), by AscentGeo. This geotechnical assessment has been prepared to meet Northern Beaches Council lodgement requirements for a Development Application (DA), as well as informing detailed structural design and construction methodology.

1.2 Proposed Development

The proposed development will take place on Lot 6, Section 58 in DP758044, being 11 Mulgowrie Crescent, Balgowlah Heights as per the survey by CMS Surveyors, drawing number 23925detailed, issue 1, dated 29 October 2024.

Details of the proposed development are outlined in a series of architectural drawings prepared by Action Plans, drawing numbers DA03 to DA23, dated 16 September 2025.

The works comprise the following:

- Partial demolition of existing structure, site preparation, excavation and foundation preparation
- Various modification to the internal walls, car garage and roofs
- Extended the deck to first floor level
- Construction of a swimming pools, spa, pool deck and associated work.
- Various hard and soft landscaping.

1.3 Relevant Instruments

This geotechnical assessment has been prepared in accordance with the following relevant guidelines and standards:

- Northern Beaches Council Manly Local Environment Plan (MLEP) 2013 and Manly Development Control Plan (MDCP) 2013
- Australian Geomechanics Society's 'Landslide Risk Management Guidelines' (AGS 2007)
- Australian Standard 1726–2017 Geotechnical Site Investigations
- Australian Standard 2870–2011 Residential Slabs and Footings
- Australian Standard 1289.6.3.2–1997 Methods of Testing Soils for Engineering Purposes
- Australian Standard 3798–2007 Guidelines on Earthworks for Commercial and Residential Developments.



2 Site Description

2.1 Summary

A summary of site conditions identified at the time of our assessment is provided in Table 1.

Table 1. Summary of site conditions

Parameter	Description
Site visit Kiengseng Pung, Geotechnical Engineer – 16/10/2025	
Site address	11 Mulgowrie Crescent, Balgowlah Heights – Lot 6, Sec 58 in DP758044
Site area m² (approx.)	609.7m ² (by calc.)
Existing development	Two storey brick residential dwelling, tile roof, Garage under tiled patio
Slope Aspect	South-west
Average gradient	~8–10 degree
Vegetation	Lawn area, with small to well-established trees.
Retaining structures	Stacked rock walls appear to be in reasonable despite their age.
Neighbouring environment	Residentially developed to the east, south and west. Mulgowrie Crescent to the north.



Figure 1. Site location – 11 Mulgowrie Crescent, Balgowlah Heights NSW (© SIX Maps NSW Gov)

2.2 Site Description

The subject site is situated in a residential area, has an irregular shape and is bounded by residential dwellings to the east, south and west. Mulgowrie Crescent runs along northern (front) boundary of the site. The site is on a moderately sloping ground with a gradient of ~8-10 degrees. A site plan is included in Appendix A.



The site consists of a double storey brick residential dwelling with detached brick garage, concrete driveway, and grassed lawn front yard and backyard areas. Neighbouring buildings are mostly double and three-storey dwellings.

The six (6) photos presented in Appendix B show the general conditions of the site on the day of AscentGeo's site visit.

2.3 Geology and Geological Interpretation

The Geological Survey of New South Wales (GSNSW) Seamless Geology Project Version 2.5, May 2025, accessed via Minview, indicates that the site is underlain by by Middle Triassic Hawkesbury Sandstone (Tuth). The Hawkesbury rock is typically comprised of medium to course-grained quartz sandstones, minor shale and laminite lenses.

The soil profile consists of shallow uncontrolled fill and silty topsoil (O & A Horizons), sand (B Horizon) and weathered bedrock (C Horizon). Based on our observations and the results of testing on site, we would typically expect very low to medium strength, moderately to slightly weathered sandstone bedrock to be found within 1.10 to 1.70 metres below current surface levels across the area of the proposed works and potentially deeper where filling has been carried out.

Note: The local geology is comprised predominantly of sandstone. The sandstone bedrock is often found in benched terraces, subsequently ground conditions on site may alter significantly across short distances. This variability should be anticipated and accounted for in the design and construction of any new foundations.

2.4 Fieldwork

A site visit and investigation was undertaken on 16 October 2025, which included a geotechnically focused visual assessment of the property and its surrounds; geotechnical mapping; photographic documenting; and a limited subsurface investigation including hand auger borehole and dynamic cone penetrometer (DCP) testing.

Hand Auger Borehole Testing

Two (2) hand auger boreholes (BH1 & BH2) tests were drilled at the approximate locations shown on the site plan (Appendix A) to visually identify the subsurface material. Borehole logs of the hand auger boreholes are presented in Appendix C.

Dynamic Cone Penetrometer (DCP) Testing

Three (3) Dynamic Cone Penetrometer (DCP1, DCP2 & DCP3) tests were carried out to assess the in situ relative density of the shallow soils and the depth to weathered rock. These tests were carried out in accordance with the Australian Standard for ground testing: AS 1289.6.3.2–1997 'Methods of testing soils for engineering purposes'. Test locations were constrained by existing structures, hard surfaces and the presence of utilities.

The location of these tests is shown on the site plan provided in Appendix A and a summary of the test results is presented below in Table 2, with the full details presented in the borehole logs in Appendix C.



Table 2. Summary of DCP test results

Test	Summary
DCP 1	Refusal @ 1.1m Bouncing on bedrock. Brown clayey sand on very moist to wet tip.
DCP 2	Refusal @ 1.7m Bouncing on bedrock. Grey sand on wet tip.
DCP 3	Refusal @ 1.3m Bouncing on bedrock. Grey sand on wet tip.

Note: The equipment chosen to undertake ground investigations provides the most cost-effective method for understanding the subsurface conditions given site access constraints. Our interpretation of the subsurface conditions is limited to the results of testing undertaken and the known geology in the area. While care is taken to identify the subsurface conditions on site, variation between the interpreted model presented herein and the actual conditions on site may occur. Should actual ground conditions vary from those anticipated, we recommend that the geotechnical consultant at AscentGeo is informed as soon as possible to advise if modifications to our recommendations are required.

3 Geotechnical Assessment

3.1 Geological Model

Based on the results of our site assessment, ground testing, geological mapping and our experience in the area, the subsurface conditions encountered on site may be summarised as follows in Table 3.

Table 3. Interpreted geological model

Unit	Material	Comments	
1	Topsoil / Fill	Sandy topsoil and fill material. Unit 1 is inferred to be uncontrolled and poorly compacted.	
2	Sand	Fine to medium grained Sand. Very loose to medium dense in consistency.	
3	Sandstone	Medium strength or greater sandstone bedrock (Class III+*) expected to be found below the weathered crust (Class IV-V*).	

^{*} Pells, PJN, Mostyn, G & Walker, F, 1998 (Dec). 'Foundations on sandstone and shale in the Sydney region'. *Australian Geomechanics Journal*, vol. 33, no. 3, pp. 17–29.

3.2 Site Classification

Due to the presence of well-established trees, the Site is classified as "P" in accordance with AS 2870–2011. A classification of "A" may be adopted for footings taken to and socket into confirmed bedrock.



Table 4. Site classification table for residential slabs and footings (AS 2870–2011)

Site Classification	Soil description	Expected range of movement
А	Most sand and rock sites with little or no ground movement from moisture changes.	
S	Slight reactive clay sites, which may experience only slight ground movement from moisture changes.	0–20mm
М	Moderately reactive clay or silt sites, which may experience moderate ground movement from moisture changes.	20–40mm
H1	Highly reactive clay sites, which may experience high ground movement from moisture changes.	40–60mm
H2	Highly reactive clay sites, which may experience very high ground movement from moisture changes.	60–75mm
E	Extremely reactive sites, which may experience extreme ground movement from moisture changes.	>75mm
Р	May consist of any of the above soil types, but in combination with site conditions produce undesirable foundations. P sites may also include fill, soft soils, mine subsidence, collapsing soils, prior or potential landslip, soils subject to erosion, reactive sites subject to abnormal moisture conditions, or sites which cannot be classified otherwise.	

3.3 Groundwater

Minor groundwater was encountered during testing at the time of our inspection

Whilst dedicated groundwater monitoring was not within the scope of this assessment, due to the site elevation and position of the site relative to the slope and the underlying geology, no significant standing water table is expected to influence the site. The groundwater regime is not expected to be significantly affected by the proposed works, and it is considered unnecessary to undertake preconstruction or construction stage groundwater monitoring.

Groundwater seepage during and after periods of inclement weather should be anticipated through permeable soil layers, close to the interface with weathered rock and from joints and discontinuities deeper in the weathered rock. Appropriate ground support measures should be utilised in soils overlying rock to manage any localised groundwater inflows and prevent ground loss due to saturated/fluidised sands.

There is a potential for natural intermittent perched groundwater to develop above shallow bedrock and/or above any other low permeability impervious horizons, such as clays in overlying soils or siltstone/shale bands in rock.



3.4 Surface Water

Overland or surface flows entering the site from the adjoining areas were not identified at the time of our inspection; however, normal overland runoff could enter the site from adjacent areas during heavy or extended rainfall. Appropriate surface water diversions should be implemented to prevent overland runoff entering the site from adjacent areas during heavy or extended rainfall.

3.5 Slope Instability

A landslide hazard assessment of the existing slope has been undertaken in general accordance with Australian Geomechanics Society's 'Practice Note Guidelines for Landslide Risk Management', published in March 2007.

- No evidence of significant soil creep, tension cracks or landslip instability were identified across the site or on adjacent properties as viewed from the subject site at the time of our inspection.
- The site is mapped as G2 geotechnical landslip hazard with reference to Schedule 1 Map C Potential Geotechnical Landslip Hazard Areas, Manly DCP, Northern Beaches Council (Appendix D).



Figure 2. Manly Map C − Potential Geotechnical Landslip Hazard Areas − 11 Mulgowrie Crescent, Balgowlah Heights © **NBC Maps**





3.6 Geotechnical Hazards and Risk Analysis

No significant geotechnical hazards were identified above, beside or below the subject site, including but not limited to the immediately adjoining residential properties, and the road reserve.

The scope of the proposed excavations on site, and the local geology make this site susceptible to instability during the proposed construction works. Careful control of all site works will be required during the installation of any required retention systems, excavations, and the construction of the proposed structures to maintain the stability of the block, and adjacent land.

Based on observation made during our site assessment, the following geological/geotechnical hazards have been identified in relation to the proposed works:

- **Hazard One:** Failure of the proposed excavations.
- **Hazard Two:** The moderate slope that falls across the property, and continues above and below, failing and impacting on the property.

Table 5. Risk analysis summary

HAZARDS	HAZARD ONE HAZARD TWO	
ТҮРЕ	Failure of the proposed excavations. The moderate slope that falls the property, and continues a below, failing and impacting oproperty.	
LIKELIHOOD	'Possible' (10 ⁻³) 'Unlikely' (10 ⁻⁴)	
CONSEQUENCES TO PROPERTY	'Medium' (15%)	'Medium' (12%)
RISK TO PROPERTY	'Moderate' (2 x 10 ⁻³)	'Low' (2 x 10 ⁻⁵)
RISK TO LIFE	7.5 x 10 ⁻⁴ /annum	8.3 x 10 ⁻⁷ /annum
COMMENTS	Following implementation of the recommendations outlined in Section 3.6, the above risk levels would reduce to 'Acceptable' levels within the site.	This level of risk to life and property is 'ACCEPTABLE'.

3.7 Conclusion and Recommendations

The proposed development is considered to be suitable for the site. The existing conditions and proposed development are considered to constitute an 'ACCEPTABLE' risk to life and a 'LOW' risk to property provided that the recommendations outlined in Table 6 are adhered to during design and construction.



Table 6. Geotechnical recommendations

Recommendation	Description
General	It is strongly recommended that a builder and excavation contractor with demonstrable experience in this type of project be engaged to undertake the proposed works.
Soil Excavation	Soil excavation will be required to establish pad levels and new footings across the site. It is anticipated that these excavations will encounter shallow uncontrolled fill and sandy topsoil, sand and weathered bedrock. The excavation of soil, sand and extremely weathered rock should be possible with the use of bucket excavators and rippers, or for piered footings, traditional auger attachments.
	Temporary batter slopes may be considered where setbacks from existing structures and property boundaries permits. For shallow excavations (<1.0m), provided the residual soil is battered back to a minimum of 35 degrees, they should remain stable without support for a short period until permanent support is in place. Unsupported batter slopes in sandy soil will be prone to erosion in inclement weather.
Rock Excavation	All excavation recommendations as outlined below should be read in conjunction with Safe Work Australia's <i>Code of Practice: Excavation Work</i> , published in October 2018.
	It is essential that any excavation through rock that cannot be readily achieved with a bucket excavator or ripper should be carried out initially using a rock saw to minimise the vibration impact and disturbance on the adjoining properties, existing structures and any previously installed supporting systems. Any rock breaking must be carried out only after the rock has been sawed, and in short bursts (2–5 seconds), to prevent the vibration amplifying. The break in the rock from the saw must be between the rock to be broken and the closest adjoining structure.
	All excavated material is to be removed from the site in accordance with current Office of Environment and Heritage (OEH) regulations.
Vibrations	The Australian Standard 2670.1–2001 'Evaluation of human exposure to whole-body vibration General requirements. Part 1: General requirements' suggests a daytime limit of 5mm/s component PPV for human comfort is acceptable.
	In general, vibration criteria for human disturbance are more stringent than vibration criteria for effects on building contents and building structural damage. Hence, compliance with the more stringent limits dictated for human exposure, would ensure that compliance is also achieved for the other two categories.



Recommendation	Description			
	Furthermore, it is noted that this approach satisfies the requirements of Appendix J of AS 2187.2–2006 'Explosives – storage and use', which also limits PPV to 5mm/s for residential settings.			
	As such, we would suggest that the recommendations for method and/or equipment presented in the table below be adopted to maintain an allowable vibration limit of 5mm/s PPV.			
		Maximum Peak Parti	cle Velocity 5mm/sec	
	Distance from adjoining structure (m)	Equipment	Operating Limit (% of Maximum Capacity)	
	1.5 – 3.0	Hand-operated jackhammer only	100	
	3.0 - 5.0	150kg rock hammer	100	
	5.0 – 10.0 300kg rock hammer 100 (300kg) or 600kg rock hammer or 50 (600kg)			
	It may be necessary to move to smaller rock hammers or to rotary grinders or rock saws if vibrations limits cannot be met. (Manufactures of the plant should be contacted for information regarding peak vibration output.) The propagation of vibrations can be mitigated by pulsing the use of rock hammers, i.e. short bursts, utilising line sawing along boundaries.			
	It is essential that at all times excavation equipment must be operated by experienced personnel, according to the manufacturer's instructions and in a manner consistent with minimising vibration effects.			
Excavation Support	Provided the appropriate batter angles, mentioned above, are achieved, and any exposed soil batter is covered to prevent excessive infiltration or evaporation of moisture, no significant excavation support is anticipated.			
	Pool excavations may be in the zone of influence of existing structure Temporary support and/or underpinning of the existing structure may required before excavations commence.			
	recommend that the foun	ation are to be confirme	ning requirements, we g footings within the zone d by test pits dug by the	
	The detail of the underpinning or temporary support required is to be designed by the structural engineer.			



Recommendation	Description					
Retaining Structures				_		
	Earth Pressure Coefficients					
	(Unit) Material	Bulk Unit Weight (kN/m ³)	Friction Angle (°)	Active K _a	At Rest K ₀	Passive K _p
	(Unit 1) Fill / Topsoil	18	29	0.38	0.60	2.00
	(Unit 2) Sand	19	30	0.33	0.50	3.00
	(Unit 3) Sandstone Class IV	23	35	0.25	0.40	4.00
	Retention systems should developing behind the wal of the site works are to ince to be backfilled with suitable geotextile fabric (i.e. Biding drainage with fine-grained Design of appropriate reterfrom sloping land above the and construction related act and construction plant.	l. As such, rorporate be le free-drain A34 or sediment. ntion systeme wall, soil of	etaining vack wall some of the contract of the	valls to be ubsoil dra erials wrap prevent consider acent stru	e construction of the clogs potential uctures are	eted as part es, and are non-woven ging of the surcharges ad footings,
Footings	We recommend that all ne underlying sandstone bedr The allowable bearing pre bedrock of at least very I capacities may be achieve excavated footings by Ascel Pier footings should be of sto be carried out during co To mitigate the risk of different founded on competent excavation through sandstone.	ock (Unit 3) ssure for for ow strengt vable subject intGeo. ufficient dia instruction. erential set t bedrock of	ousing pie potings ta h is 600k ect to ins ameter to etlement, of similar	ers as required to compa. Higher spection enable ef it is esser consisten	uired. Impetent Impet	weathered ole bearing fication of se cleaning all footings nay require
	It is essential that the for inspected and approved concrete is placed. This is plant and operators are sti fixed or the concrete book	by Ascent rspection s Il on site, ar	Geo befo should be	ore steel schedule	reinforce ed while	ement and excavation



Recommendation	Description	
Fills	Any fill that may be required is to comprise local sand, clay, and weathered rock. Existing organic topsoil is to be cleared in preparation for the introduction of fill.	
	Any new fill material is to be placed in layers not more than 250mm thick and compacted to not less than 95% of Standard Optimum Dry Density at plus or minus 2% of Standard Optimum Moisture Content. If supporting pavements or slabs, any new fill must be compacted to not less than 98% of Standard Optimum Dry Density at plus or minus 2% of Standard Optimum Moisture Content for the uppermost 300mm.	
	All new fill placement is to be carried out in accordance with AS 3798–2007 'Guidelines on earthworks for commercial and residential developments'.	
	Fill should not be placed on the site outside of the lateral extent of new engineered retaining walls. The retaining walls should be in place prior to the placement of new fill, with suitable permanent and effective drainage of backfill.	
Sediment and Erosion Control	Appropriate design and construction methods shall be required during site works to minimise erosion and provide sediment control. In particular, siltation fencing and barriers will be required and are to be designed by others.	
Stormwater Disposal	The effective management of ground and surface water on site may be the most important factor in the long-term performance of built structures, and the stability of the block more generally.	
	It is essential that gutters, downpipes, drains, pipes and connections are appropriately sized, functioning effectively, and discharging appropriately via non-erosive discharge.	
	All stormwater collected from hard surfaces is to be collected and piped directly to the council stormwater network through any storage tanks or onsite detention that may be required by the regulating authorities, and in accordance with all relevant Australian Standards and the detailed stormwater management plan by others.	
	Saturation of soils is one of the key triggers for many landslide events and a significant factor in destabilisation of structures over time. As such, the review and design of stormwater systems must consider climate change and the increased potential for periods of concentrated heavy rainfall.	
Inspections	It is essential that the foundation materials of all footing excavations be visually assessed and approved by AscentGeo before steel reinforcement and concrete is placed.	
	Failure to engage AscentGeo for the required hold point / excavation / foundation material inspections will negate our ability to provide final geotechnical sign off or certification.	



Recommendation	Description
Conditions Relating to Design	To comply with Northern Beaches Council conditions and/or Private Certifier requirements it may be necessary at the following stages for AscentGeo to:
and Construction Monitoring	 Review the geotechnical content of all structural designs prior to the issue of Construction Certificate
	 Complete the abovementioned excavation hold point and/or foundation material inspections during construction to ensure compliance to design with respect to stability and geotechnical design parameters
	 At Occupation Certificate stage (project completion), AscentGeo must have inspected and certified excavations and foundation materials. A final site inspection may be required at this stage.

Should you have any queries regarding this report, please do not hesitate to contact the author of this report, undersigned.

For and on behalf of AscentGeo,

Kiengseng Pung BEng Civil (Hons) Geotechnical Engineer **Ben Morgan** BScGeol MAIG RPGeo Managing Director | Engineering Geologist



4 References

Australian Geomechanics Society Landslide Taskforce, Landslide Practice Note Working Group 2007 (Mar), 'Practice Note Guidelines for Landslide Risk Management 2007', *Australian Geomechanics Journal*, vol. 42, no. 1, pp. 63–114.

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Safe Work Australia 2018 (Oct), Code of Practice: Excavation Work, Safe Work Australia.

Standards Australia 1997, *Methods of Testing Soils for Engineering Purposes*, AS 1289.6.3.2–1997, Standards Australia, NSW.

Standards Australia 2001, Evaluation of Human Exposure to Whole-Body Vibration – Part 1: General Requirements, AS 2670.1–2001, Standards Australia, NSW.

Standards Australia 2002, Earth-Retaining Structures, AS 4678–2002, Standards Australia, NSW.

Standards Australia 2007, *Guidelines for Earthworks for Commercial and Residential Developments*, AS 3798–2007, Standards Australia, NSW.

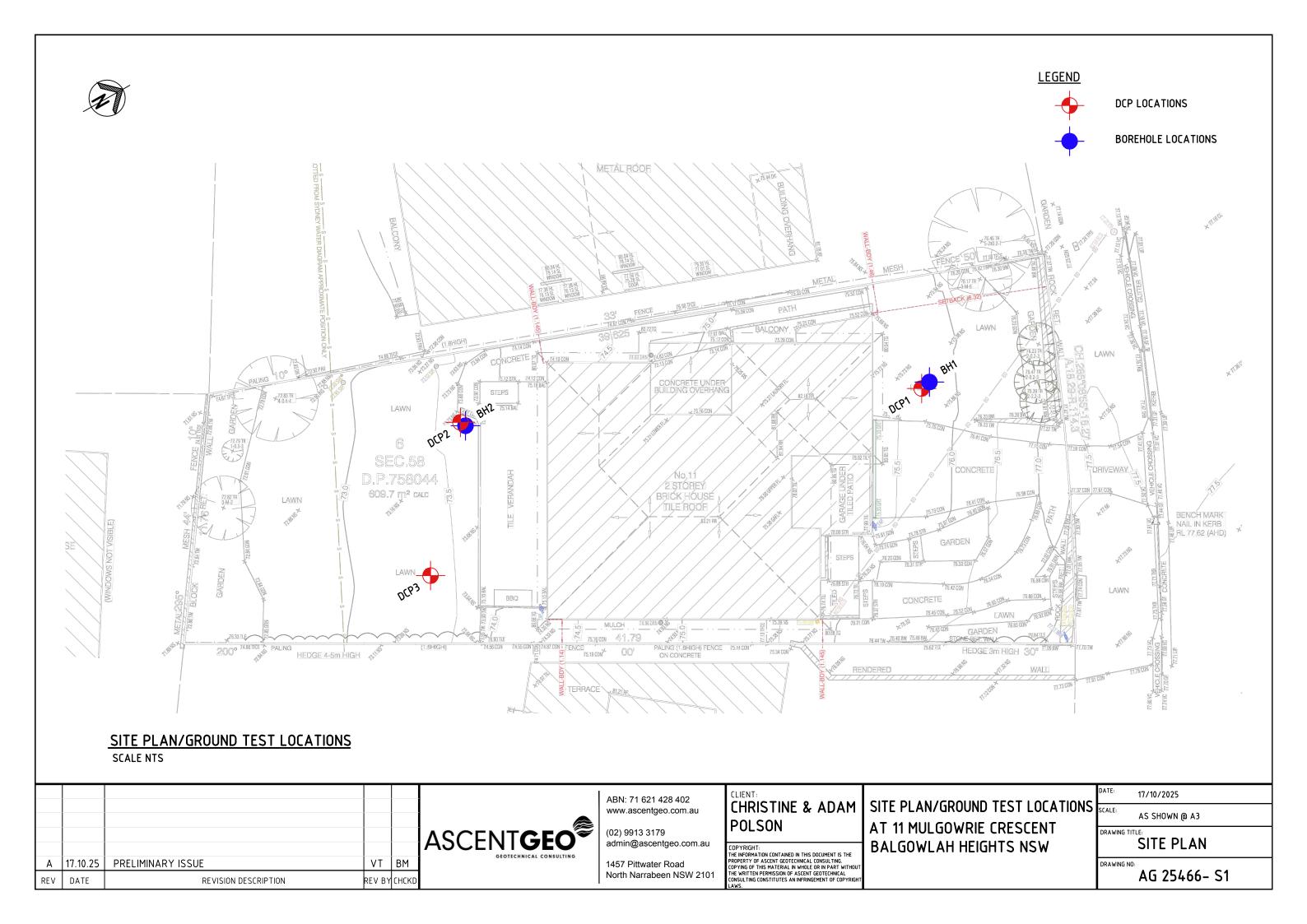
Standards Australia 2011, Residential Slabs and Footings, AS 2870–2011, Standards Australia, NSW.

Standards Australia 2017, Geotechnical Site Investigations, AS 1726–2017, Standards Australia, NSW.



Appendix A

Site plans



INTERPRETED SUBSURFACE SECTION ONLY. ACTUAL GROUND CONDITIONS MAY VARY.



<u>LEGEND</u>

SANDY TOPSOIL / UNCONTROLLED FILL

SAND

HAWKESBURY SANDSTONE BEDROCK

INFERRED GEOLOGICAL SECTION

SCALE NTS

					/
					<i> </i>
Α	17.10.25	PRELIMINARY ISSUE	VT	ВМ	
REV	DATE	REVISION DESCRIPTION	REV BY	CHCKD	



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CHRISTINE & ADAM POLSON

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I AWS

INFERRED GEOLOGICAL SECTION AT 11 MULGOWRIE CRESCENT **BALGOWLAH HEIGHTS NSW**

DRAWING I	AG 25466- S2	
DRAWING 1	SECTION	
SCALE:	AS SHOWN @ A3	
DATE:	17/10/2025	



Appendix B

Site photos







Photo 1: Site frontage, looking south-west.

Photo 2: Site Backyard, looking north-east.





Photo 3: Subsurface soil profile of BH1.

Photo 4: Subsurface soil profile of BH2.



Appendix C

Bore Logs | DCP Test Results



Borehoe Log: BH01

PROJECT NUMBER AG 25466
PROJECT NAME Alterations & Additions
CLIENT Christine & Adam Polson

DRILLING DATE 16/10/2025 TOTAL DEPTH 0.8 m METHOD Hand Auger ADDRESS 11 Mulgowrie Crescent, Balgowlah Heights SHEET 1 of 1

COMMENTS See plan for location LOGGED BY KP Graphic Log Consistency Depth (m) Moisture **Material Description Additional Observations** nscs Water FILL FILL: Silty SAND: fine to medium grained, brown, trace of clay, appears to be poorly compacted, moist. 0.0 0.1 0.1 0.2 0.25 0.3 0.35 0.4 SAND: medium to coarse grained, grey, with clay, wet SC MD 0.4 0.5 0.55 0.6 0.65 0.7 0.75 Termination Depth at: 0.8 m. 0.85 0.9 0.95



Borehoe Log: BH2

PROJECT NUMBER AG 25466
PROJECT NAME Alterations & Additions
CLIENT Christine & Adam Polson

0.3

0.3

0.4

0.45

0.5

0.5

0.6

0.65

0.7

0.7

0.8

0.85

0.9

0.9

DRILLING DATE 16/10/2025 TOTAL DEPTH 1.0 m METHOD Hand Auger ADDRESS 11 Mulgowrie Crescent, Balgowlah Heights SHEET 1 of 1

COMMENTS See plan for location LOGGED BY KP Graphic Log Consistency Depth (m) Moisture **Material Description Additional Observations** nscs Water FILL FILL: Silty SAND: fine to medium grained, brown, trace of clay, appears to be poorly compacted, moist. 0.05 0.1 0.1 0.2 0.2

SC VL

SAND: medium to coarse grained, grey, trace of clay, wet

Termination Depth at: 1.0 m.

Rod Diameter:

16 mm



Dynamic Cone Penetration Test Report

Client: Christine & Adam Polson Job No: AG 25466 Project: Alterations & Additions Date: 16/10/2025

Location: 11 Mulgowrie Crescent, Balgowlah Heights ΚP Operator:

Test Procedu	re:	AS 1289.6.3.	2 – 1997						
				Test	Data				
Test No:	DCP 1	Test No	: DCP 2	Test No	: DCP 3	Test	No:	Test	No:
Test Lo	cation:	Test Lo	cation:	Test Lo	cation:	Test Lo	cation:	Test Lo	cation:
Refer to S	ite Plan	Refer to 9	Site Plan	Refer to S	Site Plan				
RL	·	RI	<u>:</u>	RL:		RL:		RL:	
Soil Class	sification:	Soil Class	sification:	Soil Clas	sification:	Soil Class	sification:	Soil Class	sification:
Р	ı	F)	F	ס				
Depth (m)	Blows	Depth (m)	Blows	Depth (m)	Blows	Depth (m)	Blows	Depth (m)	Blows
0.0 - 0.3	11	0.0 - 0.3	4	0.0 - 0.3	7				
0.3 - 0.6	10	0.3 - 0.6	1	0.3 - 0.6	3				
0.6 - 0.9	15	0.6 - 0.9	1	0.6 - 0.9	4				
0.9 - 1.2	31 Rs	0.9 - 1.2	D	0.9 - 1.2	8				
1.2 - 1.5		1.2 - 1.5	1	1.2 - 1.5	15 Rs				
1.5 - 1.8		1.5 - 1.8	18 Rs	1.5 - 1.8					
1.8 - 2.1		1.8 - 2.1		1.8 - 2.1					
2.1 - 2.4		2.1 - 2.4		2.1 - 2.4					
2.4 - 2.7		2.4 - 2.7		2.4 - 2.7					
2.7 - 3.0		2.7 - 3.0		2.7 - 3.0					
3.0 - 3.3		3.0 - 3.3		3.0 - 3.3					
3.3 - 3.6		3.3 - 3.6		3.3 - 3.6					
3.6 - 3.9		3.6 - 3.9		3.6 - 3.9					
3.9 - 4.2		3.9 - 4.2		3.9 - 4.2					
4.2 - 4.5		4.2 - 4.5		4.2 - 4.5					
4.5 - 4.8		4.5 - 4.8		4.5 - 4.8					
DCP 1: Refus Bouncing on Brown claye very moist to	bedrock. y sand on	DCP 2: Refu Bouncing on Grey sand o	bedrock.	DCP 3: Refu Bouncing on Grey sand o	bedrock.				
		locations limi				Dro	ight: op:	510	kg mm

Rs = Solid ring/Hammer bouncing

D = Equipment dropping under own weight



Appendix D

Information Sheets

General Notes About This Report



INTRODUCTION

These notes have been prepared by Ascent Geotechnical Consulting Pty Ltd (Ascent) to help our Clients interpret and understand the limitations of this report. Not all sections below are necessarily relevant to all reports.

SCOPE OF SERVICES

This report has been prepared in accordance with the scope of services set out in Ascent's proposal under Ascent's Terms and Conditions, or as otherwise agreed with the Client. The scope of work may have been limited by a range of factors including time, budget, access and/or site constraints.

RELIANCE ON INFORMATION PROVIDED

In preparing the report, Ascent has necessarily relied upon information provided by the Client and/or their Agents. Such data may include surveys, analyses, designs, maps and design plans. Ascent has not verified the accuracy or completeness of the data except as stated in this report.

GEOTECHNICAL AND ENVIRONMENTAL REPORTING

Geotechnical and environmental reporting relies on the interpretation of factual information, based on judgment and opinion, and is far less exact than other engineering or design disciplines.

Geotechnical and environmental reports are prepared for a specific purpose, development, and site, as described in the report, and may not contain sufficient information for other purposes, developments, or sites (including adjacent sites), other than that described in the report.

SUBSURFACE CONDITIONS

Subsurface conditions can change with time and can vary between test locations. For example, the actual interface between the materials may be far more gradual or abrupt than indicated.

Therefore, actual conditions in areas not sampled may differ from those predicted, since no subsurface investigation, no matter how comprehensive, can reveal all subsurface details and anomalies.

Construction operations at or adjacent to the site and natural events such as floods, earthquakes or groundwater fluctuations can also affect subsurface conditions, and thus the continuing adequacy of a geotechnical report. Ascent should be kept informed of any such events, and should be retained to identify variances, conduct additional tests if required, and recommend solutions to problems encountered on site.

GROUNDWATER

Groundwater levels indicated on borehole and test pit logs are recorded at specific times. Depending on ground permeability, measured levels may or may not reflect actual levels if measured over a longer time period. Also, groundwater levels and seepage inflows may fluctuate with seasonal and environmental variations and construction activities.

INTERPRETATION OF DATA

Data obtained from nominated discrete locations, subsequent laboratory testing and empirical or external sources are interpreted by trained professionals in order to provide an opinion about overall site conditions, their likely impact with respect to the report purpose and recommended actions in accordance with any relevant industry standards, guidelines or procedures.

SOIL AND ROCK DESCRIPTIONS

Soil and rock descriptions are based on AS 1726 – 1993, using visual and tactile assessment, except at discrete locations where field and / or laboratory tests have been carried out. Refer to the accompanying soil and rock terms sheet for further information.

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FURTHER ADVICE

Ascent would be pleased to further discuss how any of the above issues could affect a specific project. We would also be pleased to provide further advice or assistance including:

Assessment of suitability of designs and construction
techniques;
Contract documentation and specification;

Construction advice (foundation assessments, excavation support).

Abbreviations, Notes & Symbols

SUBSURFACE INVESTIGATION

١E		

METIOD				
Borehole	Logs	Excavation Logs		
AS#	Auger screwing (#-bit)	ВН	Backhoe/excavator bucket	
AD#	Auger drilling (#-bit)	NE	Natural exposure	
В	Blank bit	HE	Hand excavation	
V	V-bit	Χ	Existing excavation	
T	TC-bit			
HA	Hand auger	Cored Bo	orehole Logs	
R	Roller/tricone	NMLC	NMLC core drilling	
W	Washbore	NQ/HQ	Wireline core drilling	
AH	Air hammer			
AT	Air track			
LB	Light bore push tube			
MC	Macro core push tube			
DT	Dual core push tube			

SUPPORT

Boreh	iole Logs	Excava	ation Logs
С	Casing	S	Shoring
M	Mud	В	Benched

SAMPLING

U#

В	Bulk sample
D	Disturbed sample

Thin-walled tube sample (#mmdiameter)

ES

EW Environmental water sample

FIELD TESTING

PP	Pocket penetrometer (kPa)
DCP	Dynamic cone penetrometer
PSP	Perth sand penetrometer
SPT	Standard penetration test
PBT	Plate bearing test

Vane shear strength peak/residual (kPa) and vane size (mm)

N* SPT (blows per 300mm) SPT with solid cone Refusal

*denotes sample taken

BOUNDARIES

 Known
 Probable
 Possible

SOIL

MOISTURE CONDITION

D	Dry
M	Moist
W	Wet
Wp	Plastic Limit
WI	Liquid Limit
MC	Moisture Content

CONSISTENCY **DENSITY INDEX** VLVery Loose Very Soft s Soft Loose F Firm MD Medium Dense St Stiff D Dense VSt Very Stiff VD Very Dense

Hard Friable

USCS SYMBOLS

GW	Well graded gravels and gravel-sand mixtures, little or no fines
GP	Poorly graded gravels and gravel-sand mixtures, little or no

GM Silty gravels, gravel-sand-silt mixtures GC Clayey gravels, gravel-sand-clay mixtures

SW	Well graded sands and gravelly sands, little orno fines
SP	Poorly graded sands and gravelly sands, little or no fines

SM Silty sand, sand-silt mixtures SC Clayey sand, sand-clay mixtures

ML Inorganic silts of low plasticity, very fine sands, rock flour, silty

or clayey fine sands

CL Inorganic clays of low to medium plasticity, gravelly clays,

OL

organic clays of now of meeting plasticity, gravely, sandy clays, silty clays
Organic silts and organic silty clays of low plasticity
Inorganic clays of high plasticity
Organic clays of medium to high plasticity
Deat much and other highly organic soils МН СН ОН

Peat muck and other highly organicsoils

ROCK

WEATHE	RING	STREN	GTH
RS	Residual Soil	EL	Extremely Low
XW	Extremely Weathered	VL	Very Low
HW	Highly Weathered	L	Low
MW	Moderately Weathered	M	Medium
DW*	Distinctly Weathered	Н	High
SW	Slightly Weathered	VH	Very High
FR	Fresh	EH	Extremely High

*covers both HW & MW

ROCK QUALITY DESIGNATION (%)

= sum of intact core pieces > 100mm x 100 total length of section being evaluated

CORE RECOVERY (%)

= core recovered x 100 core IIft

NATURAL FRACTURES

_	٠.	_	_
	v	n	Е

VN

JT	Joint
BP	Bedding plane
SM	Seam
FZ	Fractured zone
S7	Shear zone

Vein

Infill or Coating

Cn	Clean
St	Stained
Vn	Veneer
Co	Coating
CI	Clay
Ca	Calcite
Fe	Iron oxide
Mi	Micaceous
Qz	Quartz

Shape

pl	Planar
cu	Curved
un	Undulose
st	Stepped
ir	Irregular

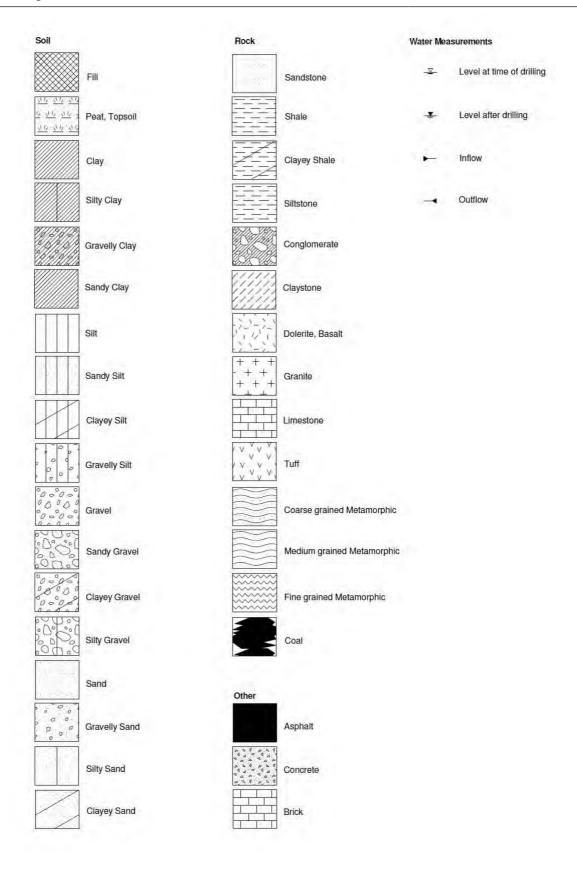
Roughness

pol	Polished
slk	Slickensided
smo	Smooth
rou	Rough

Soil & Rock Terms

	OCK I GI	1113					
SOIL				STRENGTH			
MOISTURE CON	DITION			STRENGTH Term	Is50 (MPa)	Term	Is50 (MPa)
Term	Description			Extremely Low	< 0.03	High	1 – 3
Dry			cemented soils are ed granular soils run	Very Low Low Medium	0.03 - 0.1 0.1 - 0.3 0.3 - 1	Very High Extremely High	3 – 10 > 10
Moist	Feels cool and da	arkened in colour. (WEATHERING			
Wet			ning on hands when	Term Residual Soil	Description Soil developed	on extremely weathe	red rock: the mass
	s, moisture content		bed in relation to an, > greater than, <	1.00.000		ubstance fabric are n	
less than, << muc	h less than].			Extremely Weathered		red to such an extent t either disintegrates	
CONSISTENCY Term	c (kPa)	Term	c (kPa)	Wallarda		vater. Fabric of origin	
Very Soft Soft	u < 12 12 - 25	Very Stiff Hard	u 100 200 > 200	Highly Weathered		usually highly change ghly discoloured	d by weathering;
Firm Stiff	25 - 50 50 - 100	Friable	-	Moderately Weathered		sually moderately ch	
DENSITY INDEX				Distinctly	•	athered' or 'Moderate	
Term	I _D (%)	Term	I _D (%)	Weathered			
Very Loose Loose	< 15 15 – 35	Dense Very Dense	65 – 8 > 85	Slightly Weathered		discoloured but shov gth from fresh rock	vs little or no
Medium Dense	35 – 65			Fresh	Rock shows no	signs of decomposit	ion or staining
PARTICLE SIZE		o: ()		NATURAL FRAC	TURES		
Name Boulders	Subdivision	Size (mm) > 200		Туре	Description		
Cobbles Gravel	coarse	63 - 200 20 - 63		Joint		or crack across which ength. May be open	
	medium	6 - 20		Bedding plane	Arrangement in or composition	layers of mineral gra	ains of similar sizes
Sand	fine coarse medium	2.36 - 6 0.6 -2.36 0.2 - 06		Seam	insitu rock (XW	osited soil (infill), extr), or disoriented usua e host rock (crushed)	illy angular
Silt & Clay	fine	0.075 0.2 < 0.075		Shear zone		nly parallel planar bou	
MINOR COMPON	IENTS				50mm) joints ar	nd /or microscopic fra	cture (cleavage)
Term	Proportion by Mass coarse grained	fine grained		Vein	planes Intrusion of any mass. Usually i	shape dissimilar to t gneous	he adjoining rock
	. 50/	4.50/					
Trace Some	≤ 5% 5 - 2%	≤ 15% 15 - 30%		Shape	Description		
	0 2/0	.0 0070		Planar	Consistent orier	ntation	
SOIL ZONING	Cantinuous avas			Curved	Gradual change	e in orientation	
Layers Lenses	Continuous expos	sures yers of lenticular sh	ane	Undulose	Wavy surface		
Pockets		ns of different mate	•	Stepped Irregular		ell defined steps anges in orientation	
SOIL CEMENTIN	G			I 6 11	D		
Weakly	Easily broken up	by hand		Infill or Coating	Description		
Moderately	Effort is required	to break up the soi	l by hand	Clean Stained		ng or discolouring	liggeloured
SOIL STRUCTUR				Veneer		ng but surfaces are d g of soil or mineral, to	
Massive		ny partings both ver ed at greater than			may be patchy		
Weak		nd barely observabl . 30% consist of pe	e on pit face. When ds smaller than	Coating	Visible coating described as se	≤ 1mm thick. Tickers eam	oil material
Strong	Peds are quite di	stinct in undisturbe		Roughness Polished	Description Shiny smooth s	urface	
	นเอเนเมธน /00% (orioloto ul peus SII	ianoi ilian 100mm	Slickensided Smooth		ated surface, usually h. Few or no surface	•
ROCK	DOOK TYPE DEEM	UTIONS		Rough	Many small sur	face irregularities (am e fine to coarse sand	plitude generally <
SEDIMENTARY F Rock Type	ROCK TYPE DEFIN Definition (more	NITIONS than 50% of rock of	consists of)		, 55.5 iii		r - r
Conglomerate Sandstone	gravel sized (>	> 2mm) fragments 06 to 2mm) grains	,		k descriptions are	generally in accorda	nce with AS1726-
Siltstone Claystone		06mm) particles, ro	ck is not laminated			-	
Shale	•	ed particles, rock is	laminated				

Graphic Symbols Index



Foundation Maintenance and Footing Performance: A Homeowner's Guide



BTF 18 replaces Information Sheet 10/91

Buildings can and often do move. This movement can be up, down, lateral or rotational. The fundamental cause of movement in buildings can usually be related to one or more problems in the foundation soil. It is important for the homeowner to identify the soil type in order to ascertain the measures that should be put in place in order to ensure that problems in the foundation soil can be prevented, thus protecting against building movement.

This Building Technology File is designed to identify causes of soil-related building movement, and to suggest methods of prevention of resultant cracking in buildings.

Soil Types

The types of soils usually present under the topsoil in land zoned for residential buildings can be split into two approximate groups — granular and clay. Quite often, foundation soil is a mixture of both types. The general problems associated with soils having granular content are usually caused by erosion. Clay soils are subject to saturation and swell/shrink problems.

Classifications for a given area can generally be obtained by application to the local authority, but these are sometimes unreliable and if there is doubt, a geotechnical report should be commissioned. As most buildings suffering movement problems are founded on clay soils, there is an emphasis on classification of soils according to the amount of swell and shrinkage they experience with variations of water content. The table below is Table 2.1 from AS 2870, the Residential Slab and Footing Code.

Causes of Movement

Settlement due to construction

There are two types of settlement that occur as a result of construction:

- Immediate settlement occurs when a building is first placed on its foundation soil, as a result of compaction of the soil under the weight of the structure. The cohesive quality of clay soil mitigates against this, but granular (particularly sandy) soil is susceptible.
- Consolidation settlement is a feature of clay soil and may take
 place because of the expulsion of moisture from the soil or because
 of the soil's lack of resistance to local compressive or shear stresses.
 This will usually take place during the first few months after
 construction, but has been known to take many years in
 exceptional cases.

These problems are the province of the builder and should be taken into consideration as part of the preparation of the site for construction. Building Technology File 19 (BTF 19) deals with these problems.

Erosion

All soils are prone to erosion, but sandy soil is particularly susceptible to being washed away. Even clay with a sand component of say 10% or more can suffer from erosion.

Saturation

This is particularly a problem in day soils. Saturation creates a boglike suspension of the soil that causes it to lose virtually all of its bearing capacity. To a lesser degree, sand is affected by saturation because saturated sand may undergo a reduction in volume particularly imported sand fill for bedding and blinding layers. However, this usually occurs as immediate settlement and should normally be the province of the builder.

Seasonal swelling and shrinkage of soil

All clays react to the presence of water by slowly absorbing it, making the soil increase in volume (see table below). The degree of increase varies considerably between different clays, as does the degree of decrease during the subsequent drying out caused by fair weather periods. Because of the low absorption and expulsion rate, this phenomenon will not usually be noticeable unless there are prolonged rainy or dry periods, usually of weeks or months, depending on the land and soil characteristics.

The swelling of soil creates an upward force on the footings of the building, and shrinkage creates subsidence that takes away the support needed by the footing to retain equilibrium.

Shear failure

This phenomenon occurs when the foundation soil does not have sufficient strength to support the weight of the footing. There are two major post-construction causes:

- Significant load increase.
- Reduction of lateral support of the soil under the footing due to erosion or excavation.
- In clay soil, shear failure can be caused by saturation of the soil adjacent to or under the footing.

	GENERAL DEFINITIONS OF SITE CLASSES
Class	Foundation
A	Most sand and rock sites with little or no ground movement from moisture changes
S	Slightly reactive clay sites with only slight ground movement from moisture changes
М	Moderately reactive clay or silt sites, which can experience moderate ground movement from moisture changes
Н	Highly reactive day sites, which can experience high ground movement from moisture changes
Е	Extremely reactive sites, which can experience extreme ground movement from moisture changes
A to P	Filled sites
P	Sites which include soft soils, such as soft clay or silt or loose sands; landslip; mine subsidence; collapsing soils; soils subject to crosion; reactive sites subject to abnormal moisture conditions or sites which cannot be classified otherwise

Tree root growth

Trees and shrubs that are allowed to grow in the vicinity of footings can cause foundation soil movement in two ways

- Roots that grow under footings may increase in cross-sectional size, exerting upward pressure on footings.
- Roots in the vicinity of footings will absorb much of the moisture in the foundation soil, causing shrinkage or subsidence.

Unevenness of Movement

The types of ground movement described above usually occur unevenly throughout the building's foundation soil. Settlement due to construction tends to be uneven because of:

- · Differing compaction of foundation soil prior to construction.
- · Differing moisture content of foundation soil prior to construction.

Movement due to non-construction causes is usually more uneven still. Erosion can undermine a footing that traverses the flow or can create the conditions for shear failure by eroding soil adjacent to a footing that runs in the same direction as the flow.

Saturation of clay foundation soil may occur where subfloor walls create a dam that makes water pond. It can also occur wherever there is a source of water near footings in clay soil. This leads to a severe reduction in the strength of the soil which may create local shear

Seasonal swelling and shrinkage of clay soil affects the perimeter of the building first, then gradually spreads to the interior. The swelling process will usually begin at the uphill extreme of the building, or on the weather side where the land is flat. Swelling gradually reaches the interior soil as absorption continues. Shrinkage usually begins where the sunk heat is greatest.

Effects of Uneven Soil Movement on Structures

Erosion and saturation

Erosion removes the support from under footings, tending to create subsidence of the part of the structure under which it occurs. Brickwork walls will resist the stress created by this removal of support by bridging the gap or cantilevering until the bricks or the mortar bedding fail. Older masonry has little resistance. Evidence of failure varies according to circumstances and symptoms may include:

- Step cracking in the mortar beds in the body of the wall or above/below openings such as doors or windows.
- Vertical cracking in the bricks (usually but not necessarily in line with the vertical beds or perpends).

Isolated piers affected by erosion or saturation of foundations will eventually lose contact with the bearers they support and may tilt or fall over. The floors that have lost this support will become bouncy, sometimes rattling ornaments etc.

Seasonal swelling/shrinkage in clay

Swelling foundation soil due to rainy periods first lifts the most exposed extremities of the footing system, then the remainder of the perimeter footings while gradually permeating inside the building footprint to lift internal footings. This swelling first tends to create a dish effect, because the external footings are pushed higher than the internal ones.

The first noticeable symptom may be that the floor appears slightly dished. This is often accompanied by some doors binding on the floor or the door head, together with some cracking of comice mitres. In buildings with timber flooring supported by bearers and joists, the floor can be bouncy. Externally there may be visible dishing of the hip or ridge lines.

As the moisture absorption process completes its journey to the innermost areas of the building, the internal footings will rise. If the spread of moisture is roughly even, it may be that the symptoms will temporarily disappear, but it is more likely that swelling will be uneven, creating a difference rather than a disappearance in symptoms. In buildings with timber flooring supported by bearers and joists, the isolated piers will rise more easily than the strip footings or piers under walls, creating noticeable doming of flooring.



As the weather pattern changes and the soil begins to dry out, the external footings will be first affected, beginning with the locations where the sun's effect is strongest. This has the effect of lowering the external footings. The doming is accentuated and cracking reduces or disappears where it occurred because of dishing, but other cracks open up. The roof lines may become convex.

Doming and dishing are also affected by weather in other ways. In areas where warm, wet summers and cooler dry winters prevail, water migration tends to be toward the interior and doming will be accentuated, whereas where summers are dry and winters are cold and wet, migration tends to be toward the exterior and the underlying propensity is toward dishing.

Movement caused by tree roots

In general, growing roots will exert an upward pressure on footings, whereas soil subject to drying because of tree or shrub roots will tend to remove support from under footings by inducing shrinkage.

Complications caused by the structure itself Most forces that the soil causes to be exerted on structures are vertical — i.e. either up or down. However, because these forces are seldom spread evenly around the footings, and because the building resists uneven movement because of its rigidity, forces are exerted from one part of the building to another. The net result of all these forces is usually rotational. This resultant force often complicates the diagnosis because the visible symptoms do not simply reflect the original cause. A common symptom is binding of doors on the

Effects on full masonry structures

vertical member of the frame

Brickwork will resist cracking where it can. It will attempt to span areas that loss support because of subsided foundations or raised points. It is therefore usual to see cracking at weak points, such as openings for windows or doors.

In the event of construction settlement, cracking will usually remain unchanged after the process of settlement has ceased.

With local shear or erosion, cracking will usually continue to develop until the original cause has been remedied, or until the subsidence has completely neutralised the affected portion of footing and the structure has stabilised on other footings that remain effective.

In the case of swell/shrink effects, the brickwork will in some cases return to its original position after completion of a cycle, however it is more likely that the rotational effect will not be exactly reversed, and it is also usual that brickwork will settle in its new position and will resist the forces trying to return it to its original position. This means that in a case where swelling takes place after construction and cracking occurs, the cracking is likely to at least partly remain after the shrink segment of the cycle is complete. Thus, each time the cycle is repeated, the likelihood is that the cracking will become wider until the sections of brickwork become virtually independent.

With repeated cycles, once the cracking is established, if there is no other complication, it is normal for the incidence of cracking to stabilise, as the building has the articulation it needs to cope with the problem. This is by no means always the case, however, and monitoring of cracks in walls and floors should always be treated exclusive.

Upheaval caused by growth of tree roots under footings is not a simple vertical shear stress. There is a tendency for the root to also exert lateral forces that attempt to separate sections of brickwork after initial cracking has occurred. The normal structural arrangement is that the inner leaf of brickwork in the external walls and at least some of the internal walls (depending on the roof type) comprise the load-bearing structure on which any upper floors, ceilings and the roof are supported. In these cases, it is internally visible cracking that should be the main focus of attention, however there are a few examples of dwellings whose external leaf of masonry plays some supporting role, so this should be checked if there is any doubt. In any case, externally visible cracking is important as a guide to stresses on the structure generally, and it should also be remembered that the external walls must be capable of supporting themselves.

Effects on framed structures

Timber or steel framed buildings are less likely to exhibit cracking due to swell/shrink than masonry buildings because of their flexibility. Also, the doming/dishing effects tend to be lower because of the lighter weight of walls. The main risks to framed buildings are encountered because of the isolated pier footings used under walls. Where crosion or saturation cause a footing to fall away, this can double the span which a wall must bridge. This additional stress can create cracking in wall linings, particularly where there is a weak point in the structure caused by a door or window opening. It is, however, unlikely that framed structures will be so stressed as to suffer serious damage without first exhibiting some or all of the above symptoms for a considerable period. The same warning period should apply in the case of upheaval. It should be noted, however, that where framed buildings are supported by strip footings there is only one leaf of brickwork and therefore the externally visible walls are the supporting structure for the building. In this case, the subfloor masonry walls can be expected to behave as full brickwork walls.

Effects on brick veneer structures

Because the load-bearing structure of a brick veneer building is the frame that makes up the interior leaf of the external walls plus perhaps the internal walls, depending on the type of roof, the building can be expected to behave as a framed structure, except that the external masonry will behave in a similar way to the external leaf of a full masonry structure.

Water Service and Drainage

Where a water service pipe, a sewer or stormwater drainage pipe is in the vicinity of a building, a water leak can cause erosion, swelling or saturation of susceptible soil. Even a minuscule leak can be enough to saturate a clay foundation. A leaking tap near a building can have the same effect. In addition, trenches containing pipes can become watercourses even though backfilled, particularly where broken nubble is used as fill. Water that runs along these trenches can be responsible for scrious crosion, interstrata scepage into subfloor areas and saturation.

Pipe leakage and trench water flows also encourage tree and shrub roots to the source of water, complicating and exacerbating the problem.

Poor roof plumbing can result in large volumes of rainwater being concentrated in a small area of soil:

 Incorrect falls in roof guttering may result in overflows, as may gutters blocked with leaves etc.

- · Corroded guttering or downpipes can spill water to ground.
- Downpipes not positively connected to a proper stormwater collection system will direct a concentration of water to soil that is directly adjacent to footings, sometimes causing large-scale problems such as erosion, saturation and migration of water under the building.

Seriousness of Cracking

In general, most cracking found in masonry walls is a cosmetic nuisance only and can be kept in repair or even ignored. The table below is a reproduction of Table C1 of AS 2870.

AS 2870 also publishes figures relating to cracking in concrete floors, however because wall cracking will usually reach the critical point significantly earlier than cracking in slabs, this table is not reproduced here.

Prevention/Cure

Plumbing

Where building movement is caused by water service, roof plumbing, sewer or stormwater failure, the remedy is to repair the problem. It is prudent, however, to consider also rerouting pipes away from the building where possible, and relocating taps to positions where any leakage will not direct water to the building vicinity. Even where gully traps are present, there is sometimes sufficient spill to create erosion or saturation, particularly in modern installations using smaller diameter PVC fixtures. Indeed, some gully traps are not situated directly under the taps that are installed to charge them, with the result that water from the tap may enter the backfilled trench that houses the sewer piping. If the trench has been poorly backfilled, the water will either pond or flow along the bottom of the trench. As these trenches usually run alongside the footings and can be at a similar depth, it is not hard to see how any water that is thus directed into a trench can easily affect the foundation's ability to support footings or even gain entry to the subfloor area.

Ground drainage

In all soils there is the capacity for water to travel on the surface and below it. Surface water flows can be established by inspection during and after heavy or prolonged rain. If necessary, a grated drain system connected to the stormwater collection system is usually an easy solution.

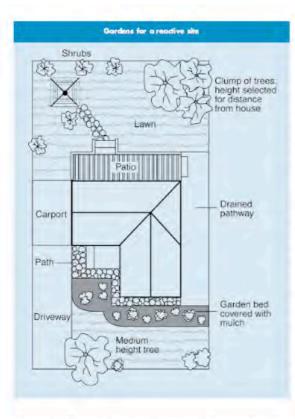
It is, however, sometimes necessary when attempting to prevent water migration that testing be carried out to establish watertable height and subsoil water flows. This subject is referred to in BTF 19 and may properly be regarded as an area for an expert consultant.

Protection of the building perimeter

It is essential to remember that the soil that affects footings extends well beyond the actual building line. Watering of garden plants, shrubs and trees causes some of the most senious water problems.

For this reason, particularly where problems exist or are likely to occur, it is recommended that an apron of paving be installed around as much of the building perimeter as necessary. This paving

Description of typical damage and required repair	Approximate crack width limit (see Note 3)	Damage
Hairline cracks	<0.1 mm	0
Fine cracks which do not need repair	<1 mm	1
Cracks noticeable but easily filled. Doors and windows stick slightly	⊲ mm	2
Cracks can be repaired and possibly a small amount of wall will need to be replaced. Doors and windows stick. Service pipes can fracture. Weathertightness often impaired	5-15 mm (or a number of cracks 3 mm or more in one group)	3
Extensive repair work involving breaking-out and replacing sections of walls, especially over doors and windows. Window and door frames distort. Walls lean or bulge noticeably, some loss of bearing in beams. Service pipes disrupted	15-25 mm but also depend on number of cracks	4



should extend outwards a minimum of 900 mm (more in highly reactive soil) and should have a minimum fall away from the building of 1:60. The finished paving should be no less than 100 mm below brick vent bases.

It is prudent to relocate drainage pipes away from this paving, if possible, to avoid complications from future leakage. If this is not practical, earthenware pipes should be replaced by PVC and backfilling should be of the same soil type as the surrounding soil and compacted to the same density.

Except in areas where freezing of water is an issue, it is wise to remove taps in the building area and relocate them well away from the building – preferably not uphill from it (see BTF 19).

It may be desirable to install a grated drain at the outside edge of the paying on the uphill side of the building. If subsoil drainage is needed this can be installed under the surface drain.

Condensation

In buildings with a subfloor void such as where bearers and joists support flooring, insufficient wentilation creates ideal conditions for condensation, particularly where there is little clearance between the floor and the ground. Condensation adds to the moisture already present in the subfloor and significantly slows the process of drying out. Installation of an adequate subfloor ventilation system, either natural or mechanical, is desirable.

Warning: Although this Building Technology File deals with cracking in buildings, it should be said that subfloor moisture can result in the development of other problems, notably:

- Water that is transmitted into masonry, metal or timber building elements causes damage and/or decay to those elements.
- High subfloor humidity and moisture content create an ideal environment for various pests, including termites and spiders.
- Where high moisture levels are transmitted to the flooring and walls, an increase in the dust mite count can ensue within the living areas. Dust mites, as well as dampness in general, can be a health hazard to inhabitants, particularly those who are abnormally susceptible to respiratory ailments.

The garden

The ideal vegetation layout is to have lawn or plants that require only light watering immediately adjacent to the drainage or paving edge, then more demanding plants, shrubs and trees spread out in that order.

Overwatering due to misuse of automatic watering systems is a common cause of saturation and water migration under footings. If it is necessary to use these systems, it is important to remove garden beds to a completely safe distance from buildings.

Existing trees

Where a tree is causing a problem of soil drying or there is the existence or threat of upheaval of footings, if the offending roots are subsidiary and their removal will not significantly damage the tree, they should be severed and a concrete or metal barrier placed vertically in the soil to prevent future root growth in the direction of the building. If it is not possible to remove the relevant roots without damage to the tree, an application to remove the tree should be made to the local authority. A prudent plan is to transplant likely offenders before they become a problem.

Information on trees, plants and shrubs State departments overseeing agriculture can give information

State departments overseeing agriculture can give information regarding root patterns, volume of water needed and safe distance from buildings of most species. Botanic gardens are also sources of information. For information on plant roots and drains, see Building Technology File 17.

Excavation

Excavation around footings must be properly engineered. Soil supporting footings can only be safely excavated at an angle that allows the soil under the footing to remain stable. This angle is called the angle of repose (or friction) and varies significantly between soil types and conditions. Removal of soil within the angle of repose will cause subsidence.

Remediation

Where erosion has occurred that has washed away soil adjacent to footings, soil of the same classification should be introduced and compacted to the same density. Where footings have been undermined, augmentation or other specialist work may be required. Remediation of footings and foundations is generally the realm of a specialist consultant.

Where isolated footings rise and fall because of swell/shrink effect, the homeowner may be tempted to alleviate floor bounce by filling the gap that has appeared between the bearer and the pier with blocking. The danger here is that when the next swell segment of the cycle occurs, the extra blocking will push the floor up into an accentuated dome and may also cause local shear failure in the soil. If it is necessary to use blocking, it should be by a pair of fine wedges and monitoring should be carried out fortnightly.

This BTF was prepared by John Lewer FAIB, MIAMA, Partner, Construction Diagnosis.

The information in this and other issues in the series was derived from various sources and was believed to be correct when published.

The information is advisory. It is provided in good faith and not claimed to be an exhaustive treatment of the relevant subject.

Further professional advice needs to be obtained before taking any action based on the information provided.

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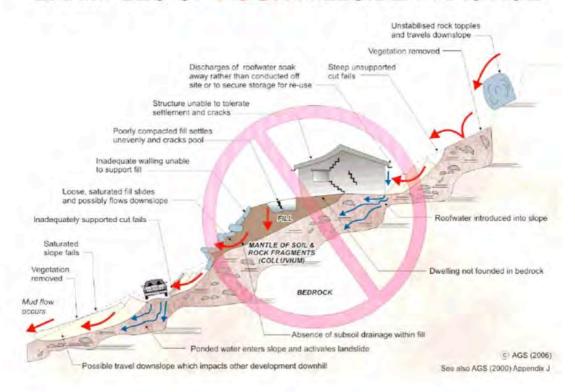
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EXAMPLES OF GOOD HILLSIDE PRACTICE



EXAMPLES OF POOR HILLSIDE PRACTICE



PRACTICE NOTE GUIDELINES FOR LANDSLIDE RISK MANAGEMENT 2007 APPENDIX C: LANDSLIDE RISK ASSESSMENT

QUALITATIVE TERMINOLOGY FOR USE IN ASSESSING RISK TO PROPERTY

QUALITATIVE MEASURES OF LIKELIHOOD

Approximate At	Approximate Annual Probability	Implied Indicative Landslide	ve Landslide			
Indicative Value	Notional Boundary	Recurrence Interval	Interval	Description	Descriptor	Level
10.1	5×10-2	10 years		The event is expected to occur over the design life.	ALMOST CERTAIN	A
10-2	OA10	100 years	20 years	The event will probably occur under adverse conditions over the design life.	LIKELY	В
10-3	OXIO	1000 years	200 years	The event could occur under adverse conditions over the design life.	POSSIBLE	C
10-4	5x10"	10,000 years	Super 000 00	The event might occur under very adverse circumstances over the design life.	UNLIKELY	D
10-5	5x10°6	100,000 years	20,000 years	The event is conceivable but only under exceptional circumstances over the design life.	RARE	Ε
10-6	OVYC	1,000,000 years	Zun,uuu years	The event is inconceivable or fanciful over the design life.	BARELY CREDIBLE	F

The table should be used from left to right; use Approximate Annual Probability or Description to assign Descriptor, not vice versa. \equiv Note:

QUALITATIVE MEASURES OF CONSEQUENCES TO PROPERTY

Approximate	Approximate Cost of Damage			
Indicative Value	Notional Boundary	Description	Descriptor	Level
200%	70001	Structure(s) completely destroyed and/or large scale damage requiring major engineering works for stabilisation. Could cause at least one adjacent property major consequence damage.	CATASTROPHIC	1
%09	%001	Extensive damage to most of structure, and/or extending beyond site boundaries requiring significant stabilisation works. Could cause at least one adjacent property medium consequence damage.	MAJOR	2
20%	40%	Moderate damage to some of structure, and/or significant part of site requiring large stabilisation works, Could cause at least one adjacent property minor consequence damage.	MEDIUM	3
5%	10%	Limited damage to part of structure, and/or part of site requiring some reinstatement stabilisation works.	MINOR	4
0.5%		Little damage. (Note for high probability event (Almost Certain), this category may be subdivided at a notional boundary of 0.1%. See Risk Matrix.)	INSIGNIFICANT	5

The Approximate Cost of Damage is expressed as a percentage of market value, being the cost of the improved value of the unaffected property which includes the land plus the 5 Notes:

The Approximate Cost is to be an estimate of the direct cost of the damage, such as the cost of reinstatement of the damaged portion of the property (land plus structures), stabilisation works required to render the site to tolerable risk level for the landslide which has occurred and professional design fees, and consequential costs such as legal fees, temporary accommodation. It does not include additional stabilisation works to address other landslides which may affect the property. 3

(4) The table should be used from left to right, use Approximate Cost of Damage or Description to assign Descriptor, not vice versa

APPENDIX C: - QUALITATIVE TERMINOLOGY FOR USE IN ASSESSING RISK TO PROPERTY (CONTINUED) PRACTICE NOTE GUIDELINES FOR LANDSLIDE RISK MANAGEMENT 2007

QUALITATIVE RISK ANALYSIS MATRIX – LEVEL OF RISK TO PROPERTY

LIKELIHOOD	000	CONSEQUE	NCES TO PROP	CONSEQUENCES TO PROPERTY (With Indicative Approximate Cost of Damage)	ve Approximate Cos	t of Damage)
	Indicative Value of Approximate Annual Probability	1: CATASTROPHIC 200%	2: MAJOR 60%	3: MEDIUM 20%	4: MINOR 5%	5: INSIGNIFICANT 0.5%
A - ALMOST CERTAIN	10-1	VIII	NH.	IIA	н	MorL(5)
B - LIKELY	10-2	VII	VII	Н	M	T
C - POSSIBLE	10-3	ΗΛ	Н	M	M	NF.
D - UNLIKELY	10-4	Н	M	ı	Ţ	TA
E - RARE	10-5	M	Г	Г	AL	VL
F - BARELY CREDIBLE	10-6	Г	N.	ΛΓ	AL	AL

ତ୍ର Notes:

For Cell A5, may be subdivided such that a consequence of less than 0.1% is Low Risk.

When considering a risk assessment it must be clearly stated whether it is for existing conditions or with risk control measures which may not be implemented at the current

RISK LEVEL IMPLICATIONS

Unacceptable without treatment. Extensive detailed investigation and research, planning and implementation options essential to reduce risk to Low; may be too expensive and not practical. Work likely to cost more property. HIGH RISK		Risk Level	Example Implications (7)
	TEA.	VERY HIGH RISK	Unacceptable without treatment. Extensive detailed investigation and research, planning and implementation of treatment options essential to reduce risk to Low; may be too expensive and not practical. Work likely to cost more than value of the property.
	Н	HIGH RISK	Unacceptable without treatment. Detailed investigation, planning and implementation of treatment options required to reduce risk to Low. Work would cost a substantial sum in relation to the value of the property.
	M	MODERATE RISK	May be tolerated in certain circumstances (subject to regulator's approval) but requires investigation, planning and implementation of treatment options to reduce the risk to Low. Treatment options to reduce to Low risk should be implemented as soon as practicable.
ij	Т	LOW RISK	Usually acceptable to regulators. Where treatment has been required to reduce the risk to this level, ongoing maintenance is required.
	N.	VERY LOW RISK	Acceptable. Manage by normal slope maintenance procedures.

The implications for a particular situation are to be determined by all parties to the risk assessment and may depend on the nature of the property at risk; these are only given as a general guide. Note: (7)



Appendix E

Map of Geotechnical Areas - Manly



Schedule 1 - Map C - Potential Geotechnical Landslip Hazard Areas

