GEOTECHNICAL RISK MANAGEMENT POLICY FOR PITTWATER FORM NO. 1 – To be submitted with Development Application

Devel	opment Application t	Name of Applicant	
	ess of site	3 Capua Place, Avalon	
		the minimum requirements to be addressed in a Geotechnical Risk Declaration made by Ingineering geologist or coastal engineer (where applicable) as part of a geotechnical report	
l,	Ben White (Insert Name)	on behalf of White Geotechnical Group Pty Ltd (Trading or Company Name)	
organisa	engineer as defined by	certify that I am a geotechnical engineer or engineering geologist or y the Geotechnical Risk Management Policy for Pittwater - 2009 and I am authorised by the above e this document and to certify that the organisation/company has a current professional indemnity	
: Please	mark appropriate bo	×	
\boxtimes	have prepared the	detailed Geotechnical Report referenced below in accordance with the Australia Geomechanics Risk Management Guidelines (AGS 2007) and the Geotechnical Risk Management Policy for	
\boxtimes	accordance with the	nically verify that the detailed Geotechnical Report referenced below has been prepared in a Australian Geomechanics Society's Landslide Risk Management Guidelines (AGS 2007) and the Management Policy for Pittwater - 2009	
	have examined the site and the proposed development in detail and have carried out a risk assessment in accordance with Section 6.0 of the Geotechnical Risk Management Policy for Pittwater - 2009. I confirm that the results of the risk assessment for the proposed development are in compliance with the Geotechnical Risk Management Policy for Pittwater - 2009 and further detailed geotechnical reporting is not required for the subject site.		
	have examined the Application only in	site and the proposed development/alteration in detail and I am of the opinion that the Development volves Minor Development/Alteration that does not require a Geotechnical Report or Risk ence my Report is in accordance with the Geotechnical Risk Management Policy for Pittwater - 2009	
	have examined the s Hazard and does no the Geotechnical Ri	site and the proposed development/alteration is separate from and is not affected by a Geotechnical of require a Geotechnical Report or Risk Assessment and hence my Report is in accordance with isk Management Policy for Pittwater - 2009 requirements.	
	·		
Geotec	hnical Report Details Report Title: Geotec	hnical Report 3 Capua Place, Avalon	
	Report Date: 27/3/1	9	
	Author: BEN WHIT	E	
	Author's Company/C	Organisation: WHITE GEOTECHNICAL GROUP PTY LTD	
Docum	entation which relate	e to or are relied upon in report preparation:	
	Australian Geo	mechanics Society Landslide Risk Management March 2007.	
	White Geotech	nnical Group company archives.	
Develop Risk Ma Manage	oment Application for tanagement aspects of ement" level for the life	Geotechnical Report, prepared for the abovementioned site is to be submitted in support of a this site and will be relied on by Pittwater Council as the basis for ensuring that the Geotechnical fithe proposed development have been adequately addressed to achieve an "Acceptable Risk of the structure, taken as at least 100 years unless otherwise stated and justified in the Report and measures have been identified to remove foreseeable risk.	

Name

Ben White

Chartered Professional Status

MScGEOLAusIMM CP GEOL

Membership No.

222757

Company

White Geotechnical Group Pty Ltd

GEOTECHNICAL RISK MANAGEMENT POLICY FOR PITTWATER FORM NO. 1(a) - Checklist of Requirements for Geotechnical Risk Management Report for Development Application

Develo	pment Application	forName	of Applicant	
Addres	ss of site	3 Capua Place, Avalon	4-1 ₂₋₁₀₀₀	
Report.		rs the minimum requirements to be ccompany the Geotechnical Repo	e addressed in a Geotechnical Risk Mart and its certification (Form No. 1).	anagement Geotechnical
	· · · · · · · · · · · · · · · · · · ·	Report 3 Capua Place, Avalo	n	
Report	Date: 27/3/19			
	: BEN WHITE			
Author	r's Company/Orgar	nisation: WHITE GEOTECHNICA	L GROUP PTY LTD	
Please r	nark appropriate b	ох		
\boxtimes	Comprehensive site	mapping conducted 28/2/19		
	Mapping details pre Subsurface investig ☐ No		eomorphic mapping to a minimum scale	of 1:200 (as appropriate)
		e the site e site	red subsurface type-section	
	☐ Besid Geotechnical hazar Risk assessment cc ☐ Consc	e the site ds described and reported	otechnical Risk Management Policy for Pi	ttwater - 2009
	Risk calculation Risk assessment fo Risk assessment fo Assessed risks hav	r property conducted in accordance r loss of life conducted in accordanc	with the Geotechnical Risk Management e with the Geotechnical Risk Managemer k Management" criteria as defined in the	nt Policy for Pittwater - 2009
	Opinion has been p specified conditions Design Life Adopted	rovided that the design can achieve are achieved. d:	the "Acceptable Risk Management" criter	ia provided that the
	⊠ 100 y □ Other			
	Geotechnical Cond Pittwater - 2009 have	specify itions to be applied to all four phases re been specified	as described in the Geotechnical Risk Moractical have been identified and include	
	Risk assessment w	thin Bushfire Asset Protection Zone		
that the o	geotechnical risk ma ment" level for the li	nagement aspects of the proposal	Report, to which this checklist applies have been adequately addressed to a st 100 years unless otherwise stated, ed to remove foreseeable risk.	chieve an "Acceptable Risk
		Signature	lute	
		Name	Ben White	
		Chartered Professional Status	MScGEOLAusIMM CP GEOL	
		Membership No.	222757	

White Geotechnical Group Pty Ltd

Company



J2117. 27th March, 2019. Page 1.

GEOTECHNICAL INVESTIGATION:

Proposed Pool and Alterations and Additions at 3 Capua Place, Avalon

1. Proposed Development

- 1.1 Install a pool on the downhill side of the property by excavating to a maximum depth of ~1.9m into the slope.
- **1.2** Construct a balcony to the downhill side of the house.
- 1.3 Details of the proposed development are shown on 4 drawings prepared by Space Landscape Designs, project number 181616, Revision C, drawings number DA-01 to DA-04, drawings dated 14.3.18.

2. Site Description

- **2.1** The site was inspected on the 28th February, 2019.
- 2.2 This residential property is on the low side of the road and has a S aspect. It is positioned on the gentle to moderately graded lower reaches of a hillslope. From the road frontage to the downhill side of the house the slope is broken by a series of terraced steps that fall at moderate angles. The slope below the property falls at gradually decreasing angles. The land surface above rises at gradually increasing angles to the crest of the slope.
- 2.3 At the road frontage a concrete driveway runs down the slope to a garage under E side of the house (Photo 1 & 2). A series of low stable sandstone block retaining walls and a ~1.2m brick retaining wall terrace the slope above the house (Photo 3). The brick retaining wall displays cracking through the mortar (Photo 4). No significant deflection was observed in the wall and it is currently considered stable. A ~2.5m high excavation has been made to level an area for the house, the excavation runs along a portion of the E side of the driveway. The cut is supported by a brick



J2117. 27th March, 2019. Page 2.

retaining wall (Photo 5). The cracked portion of the wall shows slight deflection downslope but this is limited to the top ~0.5m of the wall (Photo 6). The reason for cracking is unclear but it may have been due to the growth of shrub/tree that has since been removed. See recommendations in **Section 16** for advice. The two storey brick house is supported on brick walls (Photo 7). Cracking was observed in the external supporting walls of the house (Photos 8 & 9). Some of the cracking appears to be related to rusting window lintels. The majority of the cracking appears to be due to uneven settlement of the house. This type of settlement is common in houses with shallow or variable foundations on soil and surface clay. Brick paving and a level lawn area extend from the downhill side of the house (Photo 10). The ground surface around the paving and lawn has settled in places (Photo 11). Stable mortared sandstone block retaining walls reaching a maximum height of ~1.0m terrace the slope below the lawn and paved area (Photo 12). A gently sloping lawn continues from the walls to the lower common boundary (Photos 13 & 14).

3. Geology

The Sydney 1:100 000 Geological sheet indicates the site is underlain by the Newport Formation of the Narrabeen Group. This is described as interbedded laminite, shale and quartz to lithic quartz sandstone.

4. Subsurface Investigation

One Hand Auger Hole (AH) was put down to identify the soil materials. Two Dynamic Cone Penetrometer (DCP) tests were put down to determine the relative density of the overlying soil and the depth to weathered rock. The location of the tests are shown on the site plan. It should be noted that a level of caution should be applied when interpreting DCP test results. The test will not pass through hard buried objects so in some instances it can be difficult to determine whether refusal has occurred on an obstruction in the profile or on the natural rock surface. This is not expected to be an issue for the testing on this site and the results are as follows:



J2117. 27th March, 2019. Page 3.

AUGER HOLE 1 (~RL 36.7) – AH1 (Photo 15)

Depth (m)	Material Encountered
0.0 to 0.05	SANDY SOIL , brown, loose, fine to medium grained, organic matter, roots, dry.
0.05 to 0.2	SANDY CLAY , orange and brown, firm, fine to medium grained, dry.
0.2 to 0.7	SILTY SAND , light brown to medium tan, loose, fine to medium grained, damp.
0.7 to 1.0	SANDY CLAY , light brown, orange mottling, firm, fine to medium grained, damp.
1.0 to 1.3	SANDY CLAY , orange and brown, firm, fine to medium grained, slightly damp.

End of Hole @ 1.3m in sandy clay. No watertable encountered

DCP TEST RESULTS – Dynamic Cone Penetrometer			
Equipment: 9kg hammer, 510mm drop, conical tip.		Standard: AS1289.6.3.2- 1997	
Depth(m)	DCP 1	DCP 2	
Blows/0.3m	(~RL33.7)	(~RL36.8)	
0.0 to 0.3	3	3F	
0.3 to 0.6	7	3F	
0.6 to 0.9	15	10	
0.9 to 1.2	14	14	
1.2 to 1.5	16	43	
1.5 to 1.8	21	#	
1.8 to 2.1	16		
2.1 to 2.4	23		
2.4 to 2.7	46		
2.7 to 3.0	#		
	End of Test @ 2.7m	End of Test @ 1.5m	

#refusal/end of test. F=DCP fell after being struck showing little resistance through all or part of the interval.



J2117. 27th March, 2019.

Page 4.

DCP Notes:

DCP1 – End of test @ 2.7m, DCP still very slowly going down, orange shale on dry tip.

DCP2 – End of test @ 1.5m, DCP still very slowly going down, orange shale on dry tip.

5. Geological Interpretation

The slope materials are colluvial at the near surface and residual at depth. They consist of a

fill and sandy soil over clays. In the test locations, the sandy clays and clays merge into the

weathered zone of the underlying shale at depths of between ~1.5 to ~2.4m below the

current ground surface. It is interpreted from ground tests that the fill on the downhill side of

the house reaches a maximum depth of ~1.2m. The weathered zone is interpreted as

Extremely Low Strength Shale. It is to be noted that this material can appear as a mottled stiff

clay when it is cut up by excavation equipment. No other fill was encountered or observed

during the ground testing on the property. See Type Section attached for a diagrammatical

representation of the expected ground materials.

6. Groundwater

Normal ground water seepage is expected to move over the buried surface of the clay and

rock and through the cracks in the rock.

Due to the slope and elevation of the block, the water table is expected to be many metres

below the base of the proposed excavation.

7. Surface Water

No evidence of significant surface flows were observed on the property during the inspection.

Normal sheet wash from the slope above will be intercepted by the street drainage system

for Capua Place.



J2117. 27th March, 2019. Page 5.

8. Geotechnical Hazards and Risk Analysis

No geotechnical hazards were observed above, below or beside the property. The proposed pool excavation is a potential hazard until retaining walls are in place (**Hazard One**).

Geotechnical Hazards and Risk Analysis - Risk Analysis Summary

HAZARDS	Hazard One	
ТҮРЕ	The proposed pool excavation collapsing onto the work site before the retaining structures are in place.	
LIKELIHOOD	'Possible' (10 ⁻³)	
CONSEQUENCES TO PROPERTY	'Medium' (15%)	
RISK TO PROPERTY	'Moderate' (2 x 10 ⁻⁴)	
RISK TO LIFE	8.3 x 10 ⁻⁷ /annum	
COMMENTS	This level of risk to property is 'UNACCEPTABLE'. To move risk to 'ACCEPTABLE' levels the recommendations in Section 12 are followed.	

(See Aust. Geomech. Jnl. Mar 2007 Vol. 42 No 1, for full explanation of terms)

9. Suitability of the Proposed Development for the Site

The proposed development is suitable for the site. No geotechnical hazards will be created by the completion of the proposed development provided it is carried out in accordance with the requirements of this report and good engineering and building practice.

10. Stormwater

No significant stormwater runoff will be created by the proposed developments.



J2117. 27th March, 2019.

Page 6.

11. Excavations

An excavation to a maximum depth of ~1.9m is required to install the pool. It is expected to be through a shallow fill and topsoil over firm to stiff clays with Extremely Low Strength Shale expected at depths of between ~1.2 to ~2.1m below the current ground surface. It is envisaged the excavations can be carried out with a bucket and rock hammers will not be

required.

12. Vibrations

Any vibrations generated during the excavations through fill, soil, clay, and Extremely Low Strength Shale will be well below the threshold limit for infrastructure or building damage.

13. Excavation Support Requirements

No structures or boundaries will be within the zone of influence of the excavation for the pool. In this instance the zone of influence is the area above a theoretical 30° line through soil and a 45° line through clay from the top of shale or the base of the excavation, whichever is encountered first towards the surrounding structures and boundaries.

The fill, soil, clay, and shale portions of the cut will stand at near vertical angles for short periods of time until the pool structure is installed provided the cut batters are kept from becoming saturated. If the cut batters remain unsupported for more than a few days before the commencement of pool construction they are to be temporarily supported with typical pool shoring such as braced sheet metal or similar until the pool structure is in place.

During the excavation process, the geotechnical consultant is to inspect the cut in 1.5m intervals as it is lowered, while the machine/excavation equipment is on site, to ensure the ground materials are as expected and no additional temporary support is required.

Unsupported cut batters through soil, sand, and clay are to be covered to prevent access of water in wet weather and loss of moisture in dry weather. The covers are to be tied down with metal pegs or other suitable fixtures, so they can't blow off in a storm. Upslope runoff is



J2117. 27th March, 2019. Page 7.

to be diverted from the cut faces by sandbag mounds or other diversion works. The materials and labour to install the pool are to be organised so on completion of the excavation it can be installed as soon as possible. The excavations are to be carried out during a dry period. No excavations are to commence if heavy or prolonged rainfall is forecast.

All excavation spoil is to be removed from site.

14. Retaining Structures

For cantilever or singly propped retaining structures it is suggested the design be based on a triangular distribution of lateral pressures using the parameters shown in Table 1.

Table 1 – Likely Earth Pressures for Retaining Structures

	Earth Pressure Coefficients			
Unit	Unit weight (kN/m³)	'Active' K _a	'At Rest' K₀	
Fill and Sandy Soil	20	0.40	0.55	
Residual Clays	20	0.35	0.45	
Extremely Low Strength Shale	22	0.25	0.35	

For rock classes refer to Pells et al "Design Loadings for Foundations on Shale and Sandstone in the Sydney Region". Australian Geomechanics Journal 1978.

It is to be noted that the earth pressures in Table 1 assume a level surface above the structure, do not account for any surcharge loads and assume retaining structures are fully drained. Rock strength and relevant earth pressure coefficients are to be confirmed on site by the geotechnical consultant.

All retaining structures are to have sufficient back-wall drainage and be backfilled immediately behind the structure with free-draining material (such as gravel). This material is to be wrapped in a non-woven Geotextile fabric (i.e. Bidim A34 or similar), to prevent the



J2117.

27th March, 2019.

Page 8.

drainage from becoming clogged with silt and clay. If no back-wall drainage is installed in

retaining structures, the likely hydrostatic pressures are to be accounted for in the retaining

structure design.

15. Foundations

Due to the varying depths of the pool, it is expected to be partially seated in the Extremely

Low Strength Shale on the uphill side. To ensure a uniform bearing material shallow piers will

need to be taken to Extremely Low Strength Shale, where it is not exposed. It should be noted

that this material is a soft rock and a rock auger will cut through it so the builders should not

be looking for refusal to end the footings.

As the area around the pool will become saturated during pool use, it is recommended any

paving around the pool be supported on a slab supported off Extremely Low Strength Shale.

This will reduce the risk of settlement around the pool that can result from ongoing saturation

of the soil.

To prevent potential settlement of the proposed new balcony, we recommend footings be

taken to Extremely Low Strength Shale. A concrete slab and piers founded on the underlying

Extremely Low Strength Shale is a suitable footing for the paved entertaining area below the

balcony.

A maximum allowable bearing pressure of 600 kPa can be assumed for footings on Extremely

Low Strength Shale

Ideally, footings should be founded on the same footing material across the existing house

and new balcony and paved area. Where the footing material changes across the structure

construction joints or similar are to be installed to prevent differential settlement, where the

structure cannot tolerate such movement.

As the bearing capacity of clay and shale reduces when it is wet, we recommend the footings

be dug, inspected and poured in quick succession (ideally the same day if possible). If the



J2117. 27th March, 2019.

Page 9.

footings get wet, they will have to be drained and the soft layer of wet clay or shale on the

footing surface will have to be removed before concrete is poured.

If a rapid turnaround from footing excavation to the concrete pour is not possible, a sealing

layer of concrete may be added to the footing surface after it has been cleaned.

NOTE: If the contractor is unsure of the footing material required, it is more cost-effective to

get the geotechnical consultant on site at the start of the footing excavation to advise on

footing depth and material. This mostly prevents unnecessary over-excavation in clay-like

shaly-rock but can be valuable in all types of geology.

16. Maintenance

The brick retaining wall on the uphill side of the house is cracked and deflected slightly over

the top ~0.5m (Photo 6). To be prudent, we recommend it be inspected by the owners on an

annual basis or after heavy prolonged rainfall, whichever occurs first, keeping a photographic

record of the inspections. We can carry out these inspections upon request. Should any new

movement be observed, the geotechnical consultant is to be engaged to assess the

movement and provide remedial advice if necessary.

SEE OVER THE PAGE FOR REQUIRED INSPECTIONS



J2117. 27th March, 2019.

Page 10.

17. Inspections

The client and builder are to familiarise themselves with the following required inspection as well as council geotechnical policy. We cannot provide geotechnical certification for the

Occupation Certificate if the following inspection has not been carried out during the

construction process.

• During the excavation process, the geotechnical consultant is to inspect the cuts in

1.5m intervals as it is lowered, while the machine/excavation equipment is on site, to

ensure the ground materials are as expected and no additional temporary support is

required.

• All footings are to be inspected and approved by the geotechnical consultant while

the excavation equipment is still onsite and before steel reinforcing is placed or

concrete is poured.

White Geotechnical Group Pty Ltd.

Ben White M.Sc. Geol., AuslMM., CP GEOL.

Felit

No. 222757

Engineering Geologist



J2117. 27th March, 2019. Page 11.



Photo 1



Photo 2



J2117. 27th March, 2019. Page 12.



Photo 3



Photo 4



J2117. 27th March, 2019. Page 13.



Photo 5



Photo 6



J2117. 27th March, 2019. Page 14.



Photo 7



Photo 8



J2117. 27th March, 2019. Page 15.



Photo 9



Photo 10



J2117. 27th March, 2019. Page 16.



Photo 11



Photo 12



J2117. 27th March, 2019. Page 17.



Photo 13



Photo 14



J2117. 27th March, 2019. Page 18.



Photo 15: Auger Hole 1: Base of image is base of hole.



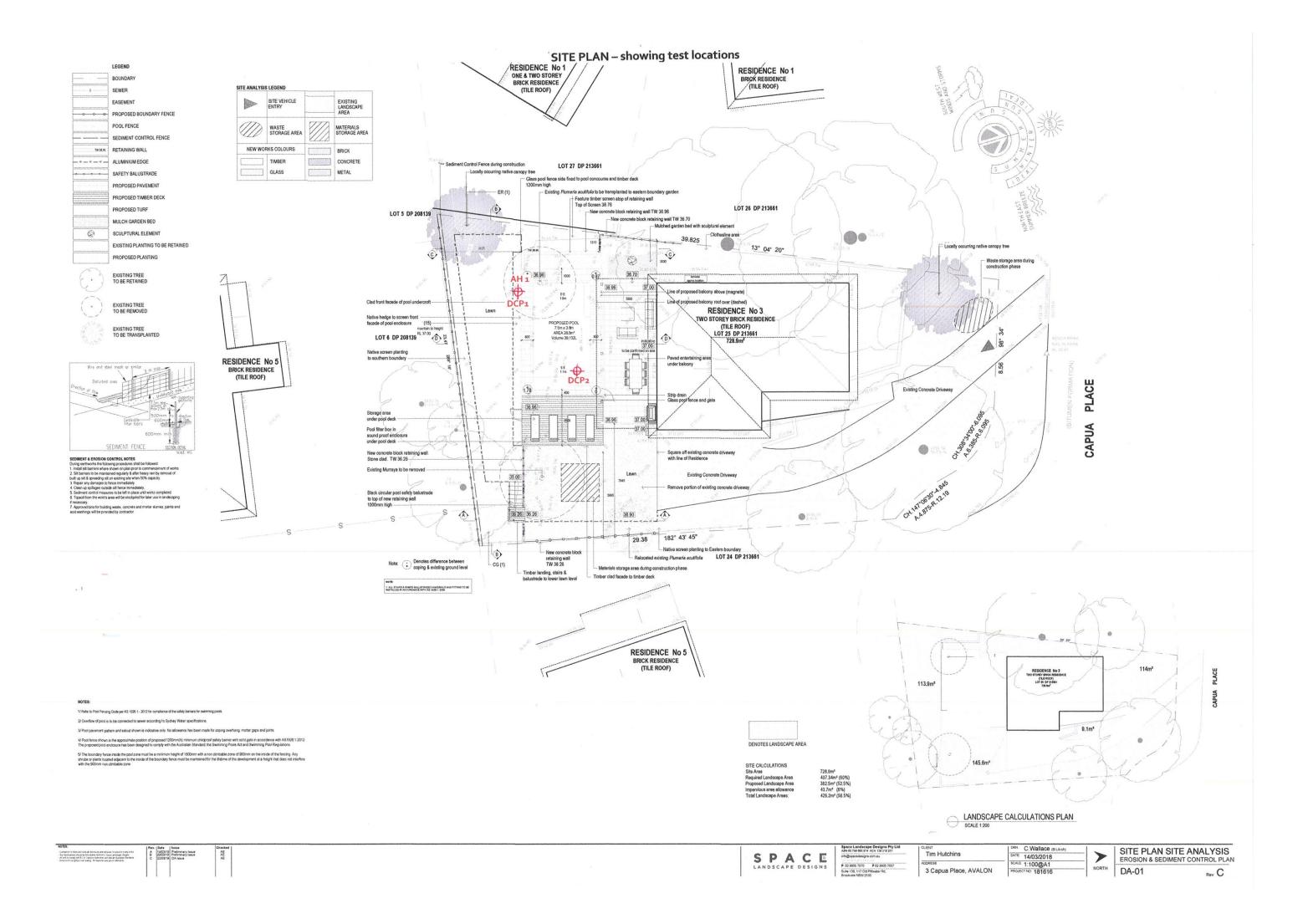
J2117. 27th March, 2019. Page 19.

Important Information about Your Report

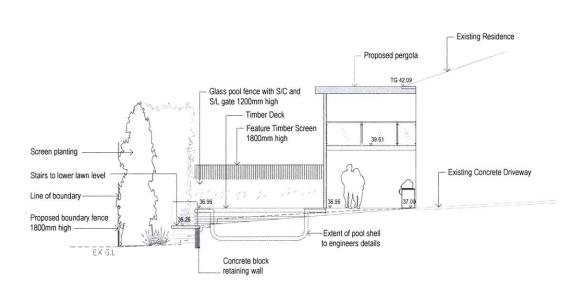
It should be noted that Geotechnical Reports are documents that build a picture of the subsurface conditions from the observation of surface features and testing carried out at specific points on the site. The spacing and location of the test points can be limited by the location of existing structures on the site or by budget and time constraints of the client. Additionally the test themselves, although chosen for their suitability for the particular project, have their own limiting factors. The testing gives accurate information at the location of the test, within the confines of the tests capability. A geological interpretation or model is developed by joining these test points using all available data and drawing on previous experience of the geotechnical professional. Even the most experienced practitioners cannot determine every possible feature or change that may lie below the earth. All of the subsurface features can only be known when they are revealed by excavation. As such a Geotechnical report can be considered an interpretive document. It is based on factual data but also on opinion and judgement that comes with a level of uncertainty. This information is provided to help explain the nature and limitations of your report.

With this in mind, the following points are to be noted:

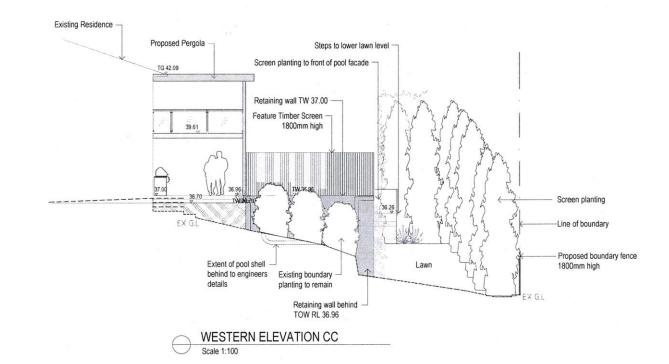
- If upon the commencement of the works the subsurface ground or ground water conditions prove different from those described in this report it is advisable to contact White Geotechnical Group immediately, as problems relating to the ground works phase of construction are far easier and less costly to overcome if they are addressed early.
- If this report is used by other professionals during the design or construction process any questions should be directed to White Geotechnical Group as only we understand the full methodology behind the report's conclusions.
- The report addresses issues relating to your specific design and site. If the proposed project design changes, aspects of the report may no longer apply. Contact White Geotechnical if this occurs.
- This report should not be applied to any other project other than that outlined in section 1.0.
- This report is to be read in full and should not have sections removed or included in other documents as this can result in misinterpretation of the data by others.
- It is common for the design and construction process to be adapted as it progresses (sometimes
 to suit the previous experience of the contractors involved). If alternative design and construction
 processes are required to those described in this report contact White Geotechnical Group. We
 are familiar with a variety of techniques to reduce risk and can advise if your proposed methods
 are suitable for the site conditions.

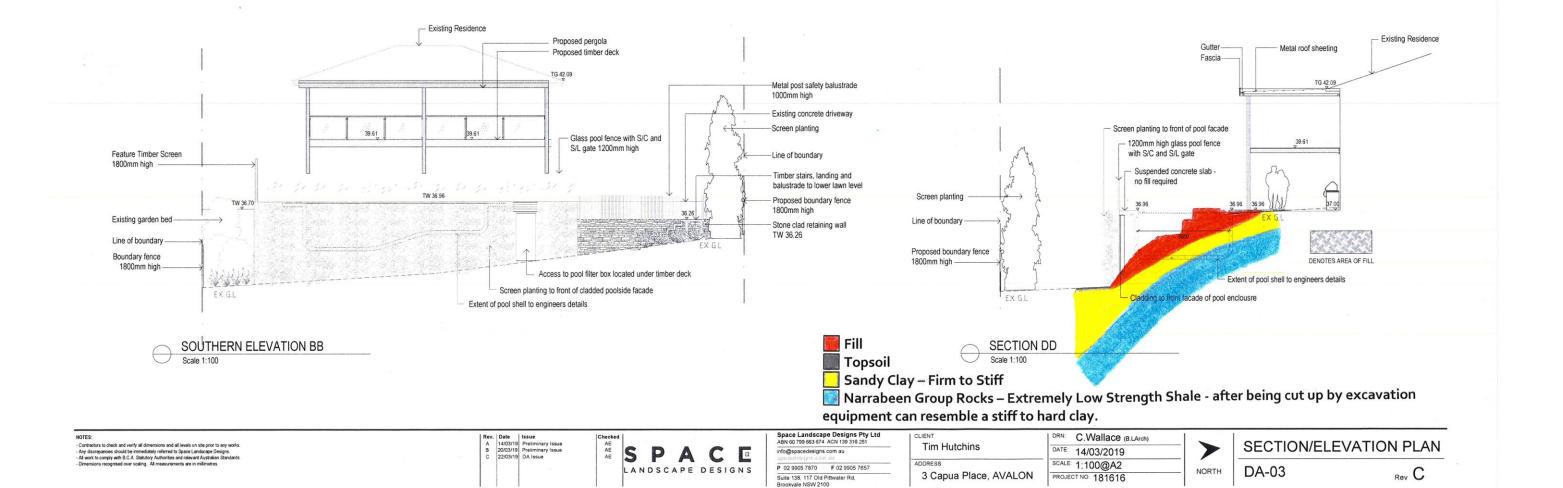


TYPE SECTION - Diagrammatical Interpretation of expected Ground Materials

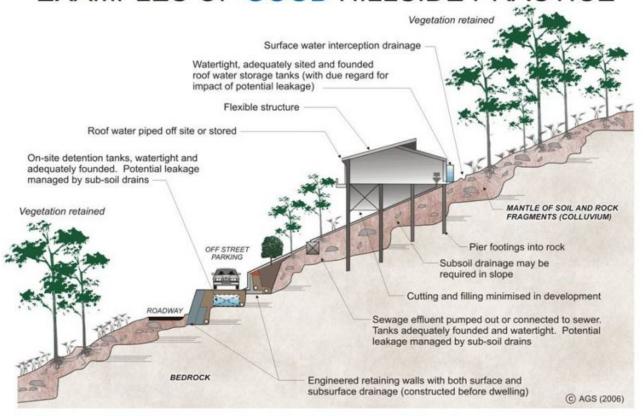








EXAMPLES OF GOOD HILLSIDE PRACTICE



EXAMPLES OF POOR HILLSIDE PRACTICE

