

## **REPORT ON GEOTECHNICAL INVESTIGATION**

**for**

### **PROPOSED ALTERATION AND ADDITIONS**

**at**

**7 PACIFIC ROAD, PALM BEACH, NSW**

**Prepared For**

**Carlton Lamb**

**Project No.: 2024-155**

**September, 2024**

#### **Document Revision Record**

| <b>Issue No</b> | <b>Date</b>                     | <b>Details of Revisions</b> |
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| 0               | 13 <sup>th</sup> September 2024 | Original issue              |
|                 |                                 |                             |

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**GEOTECHNICAL RISK MANAGEMENT POLICY FOR PITTWATER**  
**FORM NO. 1(a) - Checklist of Requirements For Geotechnical Risk Management Report for Development Application**

|                                                         |                         |
|---------------------------------------------------------|-------------------------|
| Development Application for _____                       | Name of Applicant _____ |
| Address of site __7 Pacific Road, Palm Beach, NSW _____ |                         |

The following checklist covers the minimum requirements to be addressed in a Geotechnical Risk Management Geotechnical Report. This checklist is to accompany the Geotechnical Report and its certification (Form No. 1).

**Geotechnical Report Details:**


|                                                                                 |                                                 |
|---------------------------------------------------------------------------------|-------------------------------------------------|
| <b>Report Title:</b> Geotechnical Report for Proposed Alterations and Additions | <b>Project No.:</b> 2024-155                    |
| <b>Report Date:</b> 13/09/2024                                                  | <b>Author:</b> J. Dee and J. Dee and T. Crozier |
| <b>Author's Company/Organisation:</b> Crozier Geotechnical Consultants          |                                                 |

**Please mark appropriate box**

- Comprehensive site mapping conducted \_\_20<sup>th</sup> August 2024\_\_\_\_\_
- Mapping details presented on contoured site plan with geomorphic mapping to a minimum scale of 1:200 (as appropriate)
- Subsurface investigation required
  - No Justification .....
  - Yes Date conducted 20<sup>th</sup> August 2024.....
- Geotechnical model developed and reported as an inferred subsurface type-section
- Geotechnical hazards identified
  - Above the site
  - On the site
  - Below the site
  - Beside the site
- Geotechnical hazards described and reported
- Risk assessment conducted in accordance with the Geotechnical Risk Management Policy for Pittwater - 2009
  - Consequence analysis
  - Frequency analysis
- Risk calculation
- Risk assessment for property conducted in accordance with the Geotechnical Risk Management Policy for Pittwater - 2009
- Risk assessment for loss of life conducted in accordance with the Geotechnical Risk Management Policy for Pittwater - 2009
- Assessed risks have been compared to "Acceptable Risk Management" criteria as defined in the Geotechnical Risk Management Policy for Pittwater - 2009
- Opinion has been provided that the design can achieve the "Acceptable Risk Management" criteria provided that the specified conditions are achieved.
- Design Life Adopted:
  - 100 years
  - Other ..... 50 years.....

specify
- Geotechnical Conditions to be applied to all four phases as described in the Geotechnical Risk Management Policy for Pittwater - 2009 have been specified
- Additional action to remove risk where reasonable and practical have been identified and included in the report.
- Risk assessment within Bushfire Asset Protection Zone.

I am aware that Pittwater Council will rely on the Geotechnical Report, to which this checklist applies, as the basis for ensuring that the geotechnical risk management aspects of the proposal have been adequately addressed to achieve an "Acceptable Risk Management" level for the life of the structure, taken as at least 100 years unless otherwise stated, and justified in the Report and that reasonable and practical measures have been identified to remove foreseeable risk.

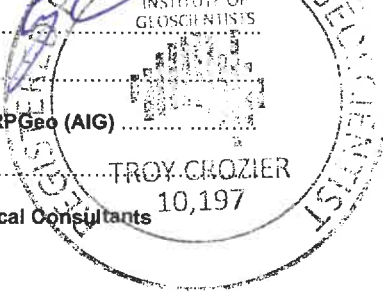
Signature ..... 

Name ... **Troy Crozier** .....

Chartered Professional Status... **RPGeo (AIG)** .....

Membership No. ... **10197** .....

Company... **Crozier Geotechnical Consultants** .....



**GEOTECHNICAL RISK MANAGEMENT POLICY FOR PITTWATER  
FORM NO. 1 – To be submitted with Development Application**

|                                                 |                         |
|-------------------------------------------------|-------------------------|
| Development Application for _____               | Name of Applicant _____ |
| Address of site 7 Pacific Road, Palm Beach, NSW |                         |

**Declaration made by geotechnical engineer or engineering geologist or coastal engineer (where applicable) as part of a geotechnical report**

I, Troy Crozier on behalf of Crozier Geotechnical Consultants on this the 13<sup>th</sup> September 2024, certify that I am a geotechnical engineer or engineering geologist or coastal engineer as defined by the Geotechnical Risk Management Policy for Pittwater - 2009 and I am authorised by the above organisation/company to issue this document and to certify that the organisation/company has a current professional indemnity policy of at least \$2million. I:

- have prepared the detailed Geotechnical Report referenced below in accordance with the Australia Geomechanics Society's Landslide Risk Management Guidelines (AGS 2007) and the Geotechnical Risk Management Policy for Pittwater - 2009
- am willing to technically verify that the detailed Geotechnical Report referenced below has been prepared in accordance with the Australian Geomechanics Society's Landslide Risk Management Guidelines (AGS 2007) and the Geotechnical Risk Management Policy for Pittwater - 2009
- have examined the site and the proposed development in detail and have carried out a risk assessment in accordance with Section 6.0 of the Geotechnical Risk Management Policy for Pittwater - 2009. I confirm that the results of the risk assessment for the proposed development are in compliance with the Geotechnical Risk Management Policy for Pittwater - 2009 and further detailed geotechnical reporting is not required for the subject site.
- have examined the site and the proposed development/alteration in detail and I am of the opinion that the Development Application only involves Minor Development/Alteration that does not require a Geotechnical Report or Risk Assessment and hence my Report is in accordance with the Geotechnical Risk Management Policy for Pittwater - 2009 requirements.
- have examined the site and the proposed development/alteration is separate from and is not affected by a Geotechnical Hazard and does not require a Geotechnical Report or Risk Assessment and hence my Report is in accordance with the Geotechnical Risk Management Policy for Pittwater - 2009 requirements.
- have provided the coastal process and coastal forces analysis for inclusion in the Geotechnical Report

**Geotechnical Report Details:**

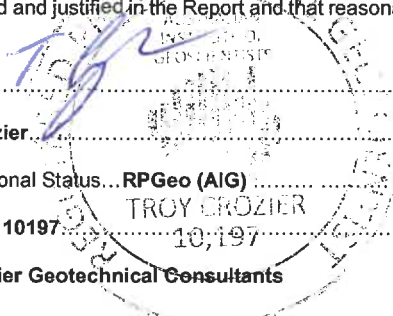
|                                                                                 |                              |
|---------------------------------------------------------------------------------|------------------------------|
| <b>Report Title:</b> Geotechnical Report for Proposed Alterations and Additions |                              |
| <b>Report Date:</b> 13/09/2024                                                  | <b>Project No.:</b> 2024-155 |
| <b>Author:</b> J. Dee and T. Crozier                                            |                              |
| <b>Author's Company/Organisation:</b> Crozier Geotechnical Consultants          |                              |

**Documentation which relate to or are relied upon in report preparation:**

|                                                                                                                       |
|-----------------------------------------------------------------------------------------------------------------------|
| Architectural Drawings – Gartner Trovato Architects, Project No.: 2412, Drawing No.: DA-00 – DA-11, Dated: 19/07/2024 |
| Survey Drawing – CMS Surveyors Pty Ltd, Drawing No.: 6424B, Dated: 1/05/2024                                          |

I am aware that the above Geotechnical Report, prepared for the abovementioned site is to be submitted in support of a Development Application for this site and will be relied on by Pittwater Council as the basis for ensuring that the Geotechnical Risk Management aspects of the proposed development have been adequately addressed to achieve an "Acceptable Risk Management" level for the life of the structure, taken as at least 100 years unless otherwise stated and justified in the Report and that reasonable and practical measures have been identified to remove foreseeable risk.

Signature .....  
 Name ... **Troy Crozier** .....  
 Chartered Professional Status... **RPGeo (AIG)** .....  
 Membership No. ... **10197** .....  
 Company... **Crozier Geotechnical Consultants**



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**Date:** 13<sup>th</sup> September 2024

**Project No:** 2024-155

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**GEOTECHNICAL REPORT FOR PROPOSED ALTERATIONS AND ADDITIONS  
7 PACIFIC ROAD, PALM BEACH, NSW**

**1. INTRODUCTION:**

This report details the results of a geotechnical investigation carried out for proposed alterations and additions at 7 Pacific Road, Palm Beach, NSW. The investigation was undertaken by Crozier Geotechnical Consultants (CGC) at the request of Gartner Trovato Architects on behalf of the client Carlton Lamb.

It is understood that the proposed works involve alterations and additions which will include the demolition of the existing single storey structure within the front of the site and construction of a new two and three storey front extension which will feature a garage level, granny flat level and connecting ground floor level. It is understood an elevator is proposed within the extension which will require bulk excavation to a maximum of approximately 3.00m depth below existing ground levels. It appears a new retaining wall is also proposed along the western side of the ground floor level to facilitate filling and landscaping in the road reserve.

The site is located within the H1 (highest category) landslip hazard zone as identified within Northern Beaches Councils precinct (Geotechnical Risk Management Policy for Pittwater – 2009). For Development Application purposes, to meet the Councils Policy requirements for land classified as H1 a detailed Geotechnical Report which meets the requirements of Paragraph 6.5 of that policy must be submitted. This report must include a landslide risk assessment to the methods of AGS 2007 for the site and proposed works, plans, geological sections and provide recommendations for construction and to ensure stability is maintained for a preferred design life of 100 years.

The site is also classified under Northern Beaches Council's Local Environmental Plan (LEP) 2012 as being within 'Class 5' ASS hazard zones however it is not located within 500m of 'Class 1 – Class 4' land and the proposed works will not lower or impact the water table. As such, a preliminary assessment of Acid Sulphate Soils confirms that and ASSMP will not be required as part of the Development Application.

This report is provided for DA submission and includes a description of site and sub-surface conditions including groundwater, soil logs and in-site test results, a geotechnical assessment of the proposed works, assessment of landslide hazards, site plan and recommendations for the design of works.

The investigation and reporting were undertaken as per Proposal No.: P24-347, Dated: 1<sup>st</sup> August 2024.

The investigation comprised:

- a) DBYD plan review for service mains and visual onsite safety assessment;
- b) Detailed geotechnical inspection and mapping of the site and adjacent properties with a photographic record and identification of geotechnical conditions and hazards related to the existing site and proposed works;
- c) Drilling of three boreholes using hand auger techniques along with five Dynamic Cone Penetrometer (DCP) tests across the site
- d) A photographic record of site conditions

The following plans and drawings were supplied for the proposal, investigation and reporting:

- Architectural Drawings – Gartner Trovato Architects, Project No.: 2412, Drawing No.: DA-00 – DA-11, Dated: 19/07/2024
- Survey Drawing – CMS Surveyors Pty Ltd, Drawing No.: 6424B, Dated: 1/05/2024

### **1.1 Proposed Development**

It is understood that the proposed works involve alterations and additions which will include the demolition of the existing single storey structure within the front of the property and construction of a new two and three storey front extension which will feature a garage level, granny flat level and connecting ground floor level. The bulk excavation required for the new elevator will extend to a maximum anticipated depth of 3.00m below existing ground levels and the excavation is proposed to be setback from the side southern boundary by a minimum of 1.50m whilst the setbacks to other boundaries will be in excess of 10m.

## **2. SITE FEATURES:**

### **2.1. Description:**

The site is a broadly rectangular shaped block situated on the low eastern side of Pacific Road within generally gentle to moderate east dipping topography however the rear eastern portion of the block transitions to a steep to very steep east dipping vegetated slope with the site situated adjacent to a narrow, east plunging drainage gully. Site surface levels reduce from approximately RL89 along the front western boundary to a low of approximately RL65 along the rear eastern boundary. An aerial photograph of the site and its



surrounds with boundary designations is provided below (Photograph 1), as sourced from NSW Government Six Map spatial data system.



*Photograph 1: Aerial photo of site and surrounds*

## **2.2. Geology:**

Reference to the Sydney 1: 100,000 Geological Series sheet (9130) indicates that the site is underlain by Hawkesbury Sandstone (Rh) which is of Triassic Age. The rock unit typically comprises medium to coarse grained quartz sandstone with minor lenses of shale and laminite. This rock unit was identified in surface exposures within the site.

Morphological features often associated with the weathering of Hawkesbury Sandstone are the formation of near flat ridge tops with steep angular side slopes that consist of sandstone terraces and cliffs in part covered with sandy colluvium. The terraced areas often contain thin sandy clay to clayey sand residual soil profiles with intervening rock (ledge) outcrops. The outline of the cliff areas are often rectilinear in plan view, controlled by large bed thickness and wide spaced near vertical joint patterns. The dominant defect orientations being south-east and north-east. Many cliff areas are undercut by differential weathering along sub-horizontal to gently west dipping bedding defects or weaker sandstone/siltstone/shale horizons. Slopes are often steep (15° to 23°) and are randomly covered by sandstone boulders. An extract of the relevant Geology Series Sheet is provided as Extract 1 with the site indicated.



*Extract 1: Sydney (9130 Geology Series Map): 1: 100000 – Geology underlying the site*

### **3. FIELD WORK:**

#### **3.1. Methods:**

The field investigation comprised a walk over inspection and mapping of the site and adjacent properties on 20<sup>th</sup> August 2024 by a Geotechnical Engineer. It included a photographic record of site conditions as well as geological/geomorphological mapping of the site and adjacent land with examination of soil slopes, existing structures and neighbouring properties. It also included the drilling of three boreholes (BH1-BH3) using hand tools.

Geotechnical logging of the subsurface conditions was undertaken by a Geotechnical Engineer by inspection of disturbed soil recovered from the augers. Logging was undertaken in accordance with AS1726:2017 ‘Geotechnical Site Investigations’.

DCP testing was carried out from ground surface adjacent to the boreholes and at one additional location in accordance with AS1289.6.3.2 – 1997, “Determination of the penetration resistance of a soil – 9kg Dynamic Cone Penetrometer” to estimate near surface ground conditions.

Explanatory notes are included in Appendix: 1. Mapping information and test locations are shown on Figure: 1, along with detailed Borehole Log sheets and Dynamic Penetrometer Test Sheet in Appendix: 2. A geological model/section is provided as Figure: 2, Appendix: 2.



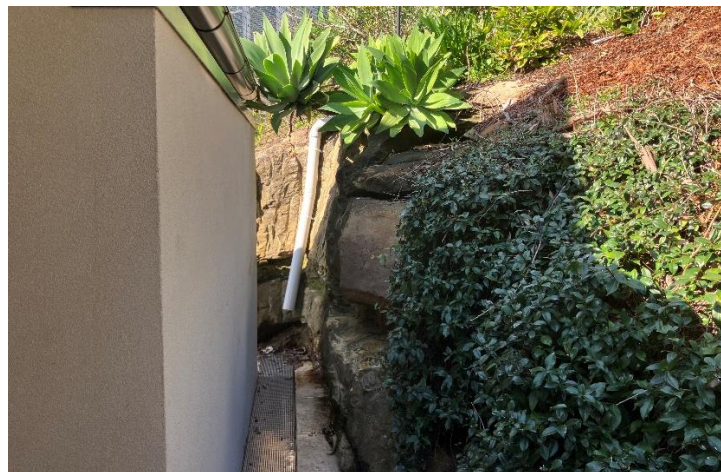
### 3.2. Field Observations:

The site is situated on the low eastern side of Pacific Road within generally gentle to moderate east dipping topography. Pacific Road comprises a relatively flat bituminous sealed pavement where it passes the site. The road reserve currently did not exhibit any signs of significant cracking or settlement to indicate any impending geotechnical concern however a shotcrete wall extends from the road pavement down towards No. 9 Pacific Road, indicative of previous slope stabilisation. Anecdotal evidence from the client indicated this was undertaken following a minor landslip.

Sandstone bedrock was observed outcropping at existing ground levels from the roadway in multiple locations where it was predominantly assessed as at least low strength and characterised by sub-horizontal bedding planes.

A steep suspended concrete driveway extends from the roadway down into the site with a relatively new timber carport structure and associated timber stairway constructed atop the concrete driveway. The driveway extends down through the front garden of the site to a single storey timber garage/studio structure. Sandstone bedrock was observed to be outcropping within the front garden of the site towards the front western site boundary including below the driveway where it was characterised by sub-horizontal bedding planes and very steep ( $\approx 45^\circ$ ) east dipping convex joint sets that form low cliff faces.

A pathway extends further down into the site and provides access to a small courtyard area adjacent to the main site dwelling. The courtyard area appeared to be excavated below pre-development ground levels with visibly excavated sandstone bedrock observed in numerous locations adjacent to the courtyard. Photograph 2 below provides a view of one of the exposures.



*Photograph 2: View of the exposed sandstone adjacent to the front of the dwelling, looking broadly south*

The main site dwelling structure comprises a two and three storey masonry and timber structure anticipated to be of approximately 30 years construction age. The structure appears to be in good condition with no signs of excessive settlement or cracking to indicate any impending geotechnical concern.

Gently east dipping pathways extend around either side of the dwelling structure and provide access to the rear garden area. The rear garden initially comprises a gently east dipping lawn area that is retained along its eastern edge by a timber wall of maximum height  $\approx 2.50\text{m}$ . The timber crib wall exhibited signs of significant deterioration and deflection near its crest and is collapsing.

Beyond the timber retaining wall the site transitions to a steep to very steep east dipping slope featuring multiple sandstone outcrops interpreted as both bedrock and detached boulders. The bedrock portion (shown below in photograph 3) is situated towards the northern site boundary and features a minor overhang ( $< 1.0\text{m}$ ). The detached boulder (shown below in Photograph 4) is approximately  $10\text{m}^3$  in overall size and appears to have rotated up to  $90^\circ$  from its estimated original position. The boulder is considered relatively stable in-situ.



*Photograph 3: View of the exposed sandstone bedrock within the rear garden, looking broadly south west, also showing deterioration in the timber retaining wall*





*Photograph 4: View of the detached sandstone boulder within the rear garden, looking broadly south*

The neighbouring property to the north (No. 9 Pacific Road) comprises a one and two storey masonry dwelling setback from the shared boundary by a minimum of 1.00m as well as a separate suspended concrete carport area situated towards the front of the property and similarly setback from the shared boundary. Sandstone bedrock was observed outcropping at existing ground levels in multiple locations within the neighbouring property. The visible aspects of the neighbouring structures appeared to be in good condition with no signs of excessive settlement or cracking to indicate any impending geotechnical concern.

The neighbouring property to the south (No. 5 Pacific Road) comprises a one and two storey sandstone block and weatherboard structure setback from the shared boundary by a minimum of 0.60m. Ground levels within the property are relatively similar to the site along the shared boundary with the exception of the vicinity of the site's front courtyard area where the neighbouring property is situated up to 2.00m above the site. The dwelling was in relatively poor cosmetic condition (understood to be abandoned) however there were no signs of any impending geotechnical concern to the site.

The neighbouring property to the east (No. 320 Whale Beach Road) was unable to be extensively assessed due to dense boundary vegetation and elevation differences however it is understood to contain a multistorey dwelling setback from the shared boundary by a minimum of 19m within very steep sloping topography. There were no signs of impending geotechnical concern to the site observed on any of the visible aspects of the property.

The neighbouring properties and structures were inspected from the site or road reserves, however visible aspects showed no indications of geotechnical hazard that may impact the site.

### **3.3. Ground Conditions:**

The boreholes (BH1 – BH3) were drilled across site broadly in the vicinity of proposed works. All boreholes extended through a variable layer of topsoil/fill and encountered refusal atop sandstone bedrock of at least low strength at depths ranging between 0.10m and 1.20m.

DCP tests were carried out from the ground surface adjacent to the boreholes and at two additional locations with refusal encountered atop interpreted LS Sandstone bedrock at depths varying from 0.10m (DCP3) and 1.55m (DCP1).

Based on the borehole logs and DCP test results, the subsurface conditions at the site can be classified as follows:

- **TOPSOIL/FILL** – Topsoil/Fill was encountered from ground surface in all boreholes and is anticipated to extend to a maximum depth of 1.20m. The fill predominantly comprises a very loose to loose, brown silty sand with roots and sandstone gravels and also featured sandstone cobbles, boulders and drainage aggregate.
- **SANDSTONE BEDROCK** – Sandstone bedrock of at least low strength was both observed as outcropping within and adjacent to the site as well as being intersected within all test locations via borehole or DCP refusal. It was generally intersected within 1.00m from existing ground levels.

Whilst a freestanding groundwater table was not identified within the investigation, minor seepage was observed above the bedrock surface.

#### **4. COMMENTS:**

##### **4.1. Geotechnical Assessment:**

The site investigation identified a variable layer of predominantly topsoil/fill overlying sandstone bedrock across site. The sandstone was encountered from ground surface in multiple locations and generally intersected within 1.00m of existing ground surface levels. Minor seepage was observed overlying the bedrock surface however a freestanding groundwater table or significant seepage was not encountered in the investigation and will not be intersected within the envelope of proposed works.

It is understood that the proposed works will involve alterations and additions predominantly confined to the front portion of the site and involving the construction of a new two and three storey extension to the existing site dwelling to remain. The proposed works will require bulk excavation to a maximum anticipated depth of 3.00m to facilitate a lift pit and stairway that will link the new addition to the existing site structure.

Based on the results of the investigation, the excavation will extend predominantly through sandstone bedrock with minor intersection of topsoil/fill towards existing ground surface levels.

Due to the relatively shallow bedrock encountered across site, safe batter slopes will be feasible along all excavation edges with respect to neighbouring properties.

Sandstone bedrock of at least low strength can be excavated at steep to vertical batter slopes provided it is unfractured by the excavation works and does not contain unfavourable defects. Where these are encountered then support systems (i.e. rock bolts/shotcrete) can be implemented as excavation works progress. There were limited stability hazards identified in the investigation. Regardless, the inherent variability of subsurface geology dictates the potential for poorly oriented defects or localized zones of highly weathered bedrock (particularly near the upper surface) may exist between the test locations. Therefore, geotechnical inspection following initial clearing of the bedrock surface is required to confirm site conditions along with inspection at regular depth intervals ( $\leq 1.50\text{m}$ ) during excavation, where unsupported.

If any of the inspections ascertain that the excavation is likely to induce instability within the adjacent properties, then excavation support or alteration of excavation methodology may be deemed necessary. Instability in the excavation could be effectively negated via construction of a pre-excavation soldier pile wall extending to below excavation level. However, medium to high strength bedrock will generally self-support with low potential for significant destabilising defects and will be difficult/expensive to drill.

Fill, natural soils and very low strength bedrock can be excavated using conventional earthmoving equipment (e.g. buckets and rippers), however low up to high strength rock requires the use of rock excavation

equipment which can produce ground vibrations of a level which can potentially cause damage to nearby structures. Therefore, selection of suitable equipment and a sensible methodology are critical. The need for full time vibration monitoring will be determined based upon the type of rock excavation equipment proposed for use. Crozier Geotechnical Consultants should be consulted for assessment of the proposed equipment prior to its use. It is recommended that a rock saw and small ( $\leq 250\text{kg}$ ) rock hammers be proposed for use at this site to avoid the need for full time monitoring. Larger rock hammers may be preferred and as such, further assessment and potentially full time monitoring will be necessary.

At the time of the investigation the boulders within the site and immediate surrounds were all considered to be relatively stable with no impending instability identified provided site conditions do not significantly change. The rotated boulder within the lower portion of the site, although considered relatively stable during the investigation, may present a significant risk of movement if site stormwater is not adequately diverted. It is imperative that, if this boulder is to be left in position, that stormwater is not discharged into the ground in the vicinity as the associated erosion may undermine the boulder. Alternatively, the risk could be entirely mitigated via removal of the boulder.

Additionally, the bulk excavation proposed may impose risk of inducing instability within unidentified boulders. Therefore, careful excavation methodology and frequent geotechnical inspections by CGC to assess exposed conditions and ensure slope stability will be required.

The deteriorated timber crib wall within the rear garden area exhibited significant signs of deflection and, as such, presents an inherent risk of collapse, likely triggering a minor landslip/earth slide of the pre-existing retained soils. As such, it is recommended that this wall be replaced in the near future.

The groundwater table was not intersected during investigation and is not expected within the site works based on site location/topography.

The proposed works are considered suitable for the site and may be completed with negligible impact to existing nearby structures within the site or neighbouring properties provided the recommendations of this report are implemented in the design and construction phases.

The recommendations and conclusions in this report are based on an investigation utilising only surface observations and several augered boreholes. This test equipment provides limited data from small, isolated test points across the entire site with limited penetration into rock, therefore some minor variation to the interpreted subsurface conditions is possible, especially between test locations. However, the results of the



investigation provide a reasonable basis for the Development Application analysis and subsequent initial design of the proposed works.

#### 4.2. Site Specific Risk Assessment:

Based on our site investigation and the proposed works, it is considered that the only stability hazard associated with the proposed works is limited to the existing site dwelling. The hazard is:

- A. Rockslide/topple (<5m<sup>3</sup>) of bedrock around perimeter of excavation due to poorly oriented defects.
- B. Landslip (Earth slide <5m<sup>3</sup>) of soils atop bedrock surface
- C. Landslip (Rockslide/topple <10m<sup>3</sup>) of boulder in rear garden due to poor stormwater control

A qualitative assessment of risk to life and property related to this hazard is presented in Table A and B, Appendix: 3, and is based on methods outlined in Appendix: C of the Australian Geomechanics Society (AGS) Guidelines for Landslide Risk Management 2007. AGS terms and their descriptions are provided in Appendix: 4.

The Risk to Life from Hazard A, Hazard B and Hazard C was estimated to be up to **9.38 x 10<sup>-5</sup>**, whilst the Risk to Property was considered to be **‘High’**. The hazard was therefore considered to be **‘Unacceptable’** when assessed against the criteria of the AGS 2007 and the Geotechnical Risk Management Policy.

However, the above risk to life and property from Hazard A and Hazard B has been assessed assuming insufficient stabilizing measures/retention and worst condition stormwater control systems are implemented within the site. Where appropriate systems are installed the anticipated risks are expected to reduce further within “Acceptable” risk management criteria of the Council’s policy. As such, the works are considered suitable for the site.

#### 4.3. Design & Construction Recommendations:

Design and construction recommendations are tabulated below:

| 4.3.1. New Footings:                                            |                                                                     |
|-----------------------------------------------------------------|---------------------------------------------------------------------|
| Site Classification as per AS2870 – 2011 for new footing design | - Class ‘A’ for footings in excavation base within bedrock          |
| Type of Footing                                                 | Strip/Pad, Slab or piers                                            |
| Sub-grade material and Maximum Allowable Bearing Capacity       | - Weathered, VLS Bedrock: 800kPa<br>- Weathered LS Bedrock: 1000kPa |

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                            |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|
| Site sub-soil classification as per <i>Structural design actions AS1170.4 – 2007, Part 4: Earthquake actions in Australia</i>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | B <sub>e</sub> – Rock Site |
| <b>Remarks:</b><br><p>These values are subject to confirmation by geotechnical inspection/testing during construction.</p> <p>All permanent structure footings should be founded off bedrock similar strength to reduce the potential for differential settlement unless designed for by the structural engineer.</p> <p>All new footings must be inspected by an experienced geotechnical professional before concrete or steel are placed to verify their bearing capacity and the in-situ nature of the founding strata. This is mandatory to allow them to be ‘certified’ at the end of the project.</p> |                            |

| <b>4.3.2. Excavation:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                  |                          |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|--------------------------|
| Depth of Excavation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Up to 3.00m                                                                      |                          |
| Type of Material to be Excavated                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Loose topsoil/fill with cobbles and boulders to potential maximum of 1.20m depth |                          |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Sandstone bedrock – VLS – MS, potentially HS from minimum of surface level       |                          |
| Guidelines for <u>un-surcharged</u> batter slopes for this site are tabulated below:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                  |                          |
| Material                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Safe Batter Slope (H:V)                                                          |                          |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Short Term/Temporary                                                             | Long Term/Permanent      |
| Fill and natural soils                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 1.5:1                                                                            | 2.0:1                    |
| Very Low (VLS) strength or fractured bedrock                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 0.75:1                                                                           | 0.5:1*                   |
| Medium strength (MS), defect free bedrock                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Vertical*                                                                        | Vertical*                |
| *Dependent on defects and assessment by engineering geologist.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                  |                          |
| <b>Remarks:</b><br><p>Seepage at the bedrock surface or along defects in the rock can also reduce the stability of batter slopes or rock cuts and invoke the need to implement additional support measures.</p> <p>Where safe batter slopes are not implemented, the stability of the excavation cannot be guaranteed until permanent support measures are installed. This should also be considered with respect to safe working conditions. Batter slopes should not be left unsupported without geotechnical inspection and approval.</p> <p>Should further detail on rock strengths or conditions for excavation costing be required, then cored boreholes and laboratory testing will be required.</p> |                                                                                  |                          |
| Equipment for Excavation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Fill/natural soils                                                               | Bucket                   |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | VLS bedrock                                                                      | Bucket and ripper        |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | LS – MS/HS bedrock                                                               | Rock hammer and rock saw |
| VLS – very low strength, LS – low strength, MS – medium strength, HS – high strength                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                  |                          |

**Remarks:**

Rock sawing of the hard rock excavation perimeters is recommended as it has several advantages. It often reduces the need for rock bolting as the cut faces generally remain more stable and require a lower level of rock support than hammer cut excavations, ground vibrations from rock saws are minimal and the saw cuts will provide a slight increase in buffer distance for use of rock hammers. It also reduces deflection across boundary of detached sections of bedrock near surface.

Based on previous testing of ground vibrations created by various rock excavation equipment within medium strength Hawkesbury Sandstone bedrock, to achieve a low level of vibration (5mm/s PPV) the below hammer weights and buffer distances are generally required:

| Maximum Hammer Weight | Required Buffer Distance from Structure |
|-----------------------|-----------------------------------------|
| 300kg                 | 2.00m                                   |
| 400kg                 | 3.00m                                   |
| 600kg                 | 6.00m                                   |
| ≥1 tonne              | Up to 20.00m                            |

Onsite calibration and full time vibration monitoring will provide accurate vibration levels to the site specific conditions and will generally allow for larger excavation machinery or smaller buffers to be used. Inspection of equipment and review of dilapidation surveys and excavation location is necessary to determine need for full time monitoring.

|                                                                           |                                                                                                                                                                                                                                                                                                           |
|---------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Recommended Vibration Limits<br>(Maximum Peak Particle Velocity<br>(PPV)) | Neighbouring residential dwellings = 5mm/s<br>Services = 3mm/s                                                                                                                                                                                                                                            |
| Vibration Calibration Tests Required                                      | If larger scale (i.e. rock hammer >250kg) excavation equipment is proposed                                                                                                                                                                                                                                |
| Full time vibration Monitoring<br>Required                                | Pending proposed excavation equipment and vibration calibration testing results, if required                                                                                                                                                                                                              |
| Geotechnical Inspection Requirement                                       | Yes, recommended that these inspections be undertaken as per below mentioned sequence: <ul style="list-style-type: none"> <li>• At 1.50m depth intervals of excavation</li> <li>• At completion of the excavation</li> <li>• Where ground conditions are exposed that differ to those expected</li> </ul> |
| Dilapidation Surveys Requirement                                          | Not necessary                                                                                                                                                                                                                                                                                             |

**Remarks:**

Water ingress into exposed excavations can result in erosion and stability concerns in both soil and rock portions. Drainage measures will need to be in place during excavation works to divert any surface flow away from the excavation crest and any batter slope.

| <b>4.3.3. Retaining Structures:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                  |                                                                                                                                                   |                             |                           |                                      |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|---------------------------|--------------------------------------|
| Required                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                  | New retaining structures are proposed as part of proposed works                                                                                   |                             |                           |                                      |
| Types                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                  | Steel reinforced concrete/concrete block post excavation designed in accordance with Australian Standards AS4678-2002 Earth Retaining Structures. |                             |                           |                                      |
| Parameters for calculating pressures acting on retaining walls for the materials likely to be retained:                                                                                                                                                                                                                                                                                                                                                                                                                             |                                  |                                                                                                                                                   |                             |                           |                                      |
| Material                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Unit Weight (kN/m <sup>3</sup> ) | Long Term (Drained)                                                                                                                               | Earth Pressure Coefficients |                           | Passive Earth Pressure Coefficient * |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                  |                                                                                                                                                   | Active (K <sub>a</sub> )    | At Rest (K <sub>0</sub> ) |                                      |
| Fill and Residual Clay Soils/<br>EW bedrock                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 20                               | $\phi' = 30^\circ$                                                                                                                                | 0.33                        | 0.47                      | N/A                                  |
| VLS -LS bedrock                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 22                               | $\phi' = 38^\circ$                                                                                                                                | 0.10                        | 0.20                      | 200kPa                               |
| <b>Remarks:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                  |                                                                                                                                                   |                             |                           |                                      |
| In suggesting these parameters, it is assumed that the retaining walls will be fully drained with suitable subsoil drains provided at the rear of the wall footings. If this is not done, then the walls should be designed to support full hydrostatic pressure in addition to pressures due to the soil backfill. It is suggested that the retaining walls should be backfilled with free-draining granular material (preferably not recycled concrete) which is only lightly compacted in order to minimize horizontal stresses. |                                  |                                                                                                                                                   |                             |                           |                                      |
| Retaining structures near site boundaries or existing structures should be designed with the use of at rest (K <sub>0</sub> ) earth pressure coefficients to reduce the risk of movement in the excavation support and resulting surface movement in adjoining areas. Backfilled retaining walls within the site, away from site boundaries or existing structures, that may deflect can utilize active earth pressure coefficients (K <sub>a</sub> ).                                                                              |                                  |                                                                                                                                                   |                             |                           |                                      |

| <b>4.3.4. Drainage and Hydrogeology</b>                  |                                                                                                  |                                                                   |
|----------------------------------------------------------|--------------------------------------------------------------------------------------------------|-------------------------------------------------------------------|
| Groundwater Table or Seepage identified in Investigation | Seepage identified and anticipated above bedrock surface                                         |                                                                   |
| Excavation likely to intersect                           | Water Table                                                                                      | No                                                                |
|                                                          | Seepage                                                                                          | Minor (<0.50L/min), within soil interface and at bedrock surface. |
| Site Location and Topography                             | Low eastern side of the road within gentle to steep east dipping topography near a natural gully |                                                                   |
| Impact of development on local hydrogeology              | Negligible                                                                                       |                                                                   |

|                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                    |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Onsite Stormwater Disposal                                                                                                                                                                                                                                                                                                                                                                                     | Due to the shallow bedrock the property is not suitable for onsite absorption disposal system, however a dispersion system may be possible in accordance with council requirements |
| <b>Remarks:</b><br>As the excavation faces are expected to encounter some seepage, an excavation trench should be installed at the base of excavation cuts to below floor slab levels to reduce the risk of resulting dampness issues. Trenches, as well as all new building gutters, downpipes and stormwater intercept trenches should be connected to a stormwater system designed by a Hydraulic Engineer. |                                                                                                                                                                                    |

#### 4.4. Conditions Relating to Design and Construction Monitoring:

To comply with Councils conditions and to enable us to complete Forms: 2b and 3 required as part of construction, building and post-construction certificate requirements of the Councils Geotechnical Risk Management Policy 2009, it will be necessary for Crozier Geotechnical Consultants to:

1. Review and approve the structural design drawings for compliance with the recommendations of this report prior to construction,
2. Inspection of site and works as per Section 4.3 of this report
3. Inspect all new footings and earthworks to confirm compliance to design assumptions with respect to allowable bearing pressure, basal cleanness and the stability prior to the placement of steel or concrete,
4. Inspect completed works to ensure construction activity has not created any new hazards and that all retention and stormwater control systems are completed.

The client and builder should make themselves familiar with the Councils Geotechnical Policy and the requirements spelled out in this report for inspections during the construction phase. Crozier Geotechnical Consultants cannot sign Form: 3 of the Policy if it has not been called to site to undertake the required inspections.

#### 4.5. Design Life of Structure:

We have interpreted the design life requirements specified within Council's Risk Management Policy to refer to structural elements designed to support the existing structures, control stormwater and maintain the risk of instability within acceptable limits. Specific structures and features that may affect the maintenance and stability of the site in relation to the proposed and existing development are considered to comprise:

- stormwater and subsoil drainage systems,
- retaining walls and instability,
- maintenance of trees/vegetation on this and adjacent properties.

Man-made features should be designed and maintained for a design life consistent with surrounding structures (as per AS2870 – 2011 (50 years)). It will be necessary for the structural and geotechnical engineers to incorporate appropriate design and inspection procedures during the construction period. Additionally, the property owner should adopt and implement a maintenance and inspection program.

If this maintenance and inspection schedule are not maintained the design life of the property cannot be attained. A recommended program is given in Table: C in Appendix: 3 and should also include the following guidelines.

- The conditions on the block don't change from those present at the time this report was prepared, except for the changes due to this development.
- There is no change to the property due to an extraordinary event external to this site
- The property is maintained in good order and in accordance with the guidelines set out in;
  - a) CSIRO sheet BTF 18
  - b) Australian Geomechanics "Landslide Risk Management" Volume 42, March 2007.
  - c) AS 2870 – 2011, Australian Standard for Residential Slabs and Footings

Where changes to site conditions are identified during the maintenance and inspection program, reference should be made to relevant professionals (e.g. structural engineer, geotechnical engineer or Council). Where the property owner has any lack of understanding or concerns about the implementation of any component of the maintenance and inspection program the relevant engineer should be contacted for advice or to complete the component. It is assumed that Council will control development on neighbouring properties, carry out regular inspections and maintenance of the road verge, stormwater systems and large trees on public land adjacent to the site so as to ensure that stability conditions do not deteriorate with potential increase in risk level to the site.

Also, individual Government Departments will maintain public utilities in the form of power lines, water and sewer mains to ensure they don't leak and increase either the local groundwater level or landslide potential.

## **5. CONCLUSION:**

The site investigation identified the presence of sandstone bedrock of at least low strength at relatively shallow depths across site, overlain by a relatively thin layer of topsoil/fill featuring sandstone cobbles and boulders. A freestanding water table or signs of significant seepage were not observed within the investigation range and are considered unlikely within the envelope of proposed works.

It is understood that the proposed works will involve alterations and additions predominantly confined to the front portion of the site and involving the construction of a new two and three storey extension to the existing



site dwelling to remain. The proposed works will require bulk excavation to a maximum anticipated depth of 3.00m to facilitate a lift pit and stairway that will link the new addition to the existing site structure.

The relatively shallow nature of the bedrock (typically  $\leq 1.00\text{m}$  depth) is anticipated to allow for the implementation of safe batter slopes with respect to boundaries and neighbouring properties.

There is expected to be negligible impact to the local hydrogeology with the water table not intersected or expected with the depth of proposed works.

It is recommended that all new footings extend through any topsoil/fill and residual soils encountered and bear within competent bedrock to avoid variable settlement within the structure.

It is recommended that a preliminary vibration limit (Maximum Peak Particle Velocity, PPV) of 5mm/s be set at the founding level for neighbouring structures for all excavation work on this site to maintain human comfort levels and provide a very low probability of structural damage.

The risks associated with the proposed development can be maintained within 'Acceptable' risk management levels with negligible impact to neighbouring or site structures provided the recommendations of this report and any future geotechnical directive are implemented. As such the site is considered suitable for the proposed construction works provided that the recommendations outlined in this report are followed.

Prepared By:



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Reviewed By:



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Principal  
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**6. REFERENCES:**

1. Australian Geomechanics Society 2007, “Landslide Risk Assessment and Management”, Australian Geomechanics Journal Vol. 42, No 1, March 2007.
2. Geological Society Engineering Group Working Party 1972, “The preparation of maps and plans in terms of engineering geology” Quarterly Journal Engineering Geology, Volume 5, Pages 295 - 382.
3. C. W. Fetter 1995, “Applied Hydrology” by Prentice Hall. V. Gardiner & R. Dackombe 1983, “Geomorphological Field Manual” by George Allen & Unwin
4. Australian Standard AS 3798 – 2007, Guidelines on Earthworks for Commercial and Residential Developments.
5. Australian Standard AS 2870 – 2011, Residential Slabs and Footings – Construction
6. Australian Standard AS1170.4 – 2007, Part 4: Earthquake actions in Australia
7. Australian Standard AS 1726 – 2017, Geotechnical Site Investigations

# Appendix 1

## NOTES RELATING TO THIS REPORT

### Introduction

These notes have been provided to amplify the geotechnical report in regard to classification methods, specialist field procedures and certain matters relating to the Discussion and Comments section. Not all, of course, are necessarily relevant to all reports.

Geotechnical reports are based on information gained from limited subsurface test boring and sampling, supplemented by knowledge of local geology and experience. For this reason, they must be regarded as interpretive rather than factual documents, limited to some extent by the scope of information on which they rely.

### Description and classification Methods

The methods of description and classification of soils and rocks used in this report are based on Australian Standard 1726, Geotechnical Site Investigation Code. In general, descriptions cover the following properties - strength or density, colour, structure, soil or rock type and inclusions.

Soil types are described according to the predominating particle size, qualified by the grading of other particles present (eg. Sandy clay) on the following bases:

| <u>Soil Classification</u> | <u>Particle Size</u> |
|----------------------------|----------------------|
| Clay                       | less than 0.002 mm   |
| Silt                       | 0.002 to 0.06 mm     |
| Sand                       | 0.06 to 2.00 mm      |
| Gravel                     | 2.00 to 60.00mm      |

Cohesive soils are classified on the basis of strength either by laboratory testing or engineering examination. The strength terms are defined as follows:

| <u>Classification</u> | <u>Undrained Shear Strength kPa</u> |
|-----------------------|-------------------------------------|
| Very soft             | Less than 12                        |
| Soft                  | 12 - 25                             |
| Firm                  | 25 - 50                             |
| Stiff                 | 50 - 100                            |
| Very stiff            | 100 - 200                           |
| Hard                  | Greater than 200                    |

Non-cohesive soils are classified on the basis of relative density, generally from the results of standard penetration tests (SPT) or Dutch cone penetrometer tests (CPT) as below:

| <u>Relative Density</u> | <u>SPT "N" Value (blows/300mm)</u> | <u>CPT Cone Value (Qc - MPa)</u> |
|-------------------------|------------------------------------|----------------------------------|
| Very loose              | less than 5                        | less than 2                      |
| Loose                   | 5 - 10                             | 2 - 5                            |
| Medium dense            | 10 - 30                            | 5 - 15                           |
| Dense                   | 30 - 50                            | 15 - 25                          |
| Very dense              | greater than 50                    | greater than 25                  |

Rock types are classified by their geological names. Where relevant, further information regarding rock classification is given on the following sheet.

## Sampling

Sampling is carried out during drilling to allow engineering examination (and laboratory testing where required) of the soil or rock.

Disturbed samples taken during drilling to allow information on colour, type, inclusions and, depending upon the degree of disturbance, some information on strength and structure.

Undisturbed samples are taken by pushing a thin-walled sample tube into the soil and withdrawing a sample of the soil in a relatively undisturbed state. Such samples yield information on structure and strength, and are necessary for laboratory determination of shear strength and compressibility. Undisturbed sampling is generally effective only in cohesive soils.

## Drilling Methods

The following is a brief summary of drilling methods currently adopted by the company and some comments on their use and application.

**Test Pits** – these are excavated with a backhoe or a tracked excavator, allowing close examination of the insitu soils if it is safe to descent into the pit. The depth of penetration is limited to about 3m for a backhoe and up to 6m for an excavator. A potential disadvantage is the disturbance caused by the excavation.

**Large Diameter Auger (eg. Pengo)** – the hole is advanced by a rotating plate or short spiral auger, generally 300mm or larger in diameter. The cuttings are returned to the surface at intervals (generally of not more than 0.5m) and are disturbed but usually unchanged in moisture content. Identification of soil strata is generally much more reliable than with continuous spiral flight augers, and is usually supplemented by occasional undisturbed tube sampling.

**Continuous Sample Drilling** – the hole is advanced by pushing a 100mm diameter socket into the ground and withdrawing it at intervals to extrude the sample. This is the most reliable method of drilling soils, since moisture content is unchanged and soil structure, strength, etc. is only marginally affected.

**Continuous Spiral Flight Augers** – the hole is advanced using 90 – 115mm diameter continuous spiral flight augers which are withdrawn at intervals to allow sampling or insitu testing. This is a relatively economical means of drilling in clays and in sands above the water table. Samples are returned to the surface, or may be collected after withdrawal of the auger flights, but they are very disturbed and may be contaminated. Information from the drilling (as distinct from specific sampling by SPT's or undisturbed samples) is of relatively lower reliability, due to remoulding, contamination or softening of samples by ground water.

**Non-core Rotary Drilling** - the hole is advanced by a rotary bit, with water being pumped down the drill rods and returned up the annulus, carrying the drill cuttings. Only major changes in stratification can be determined from the cuttings, together with some information from 'feel' and rate of penetration.

**Rotary Mud Drilling** – similar to rotary drilling, but using drilling mud as a circulating fluid. The mud tends to mask the cuttings and reliable identification is again only possible from separate intact sampling (eg. From SPT).

**Continuous Core Drilling** – a continuous core sample is obtained using a diamond-tipped core barrel, usually 50mm internal diameter. Provided full core recovery is achieved (which is not always possible in very weak rocks and granular soils), this technique provides a very reliable (but relatively expensive) method of investigation.

## Standard Penetration Tests

Standard penetration tests (abbreviated as SPT) are used mainly in non-cohesive soils, but occasionally also in cohesive soils as a means of determining density or strength and also of obtaining a relatively undisturbed sample. The test procedures is described in Australian Standard 1289, "Methods of Testing Soils for Engineering Purposes" – Test 6.3.1.

The test is carried out in a borehole by driving a 50mm diameter split sample tube under the impact of a 63kg hammer with a free fall of 760mm. It is normal for the tube to be driven in three successive 150mm increments and the 'N' value is taken

as the number of blows for the last 300mm. In dense sands, very hard clays or weak rock, the full 450mm penetration may not be practicable and the test is discontinued.

The test results are reported in the following form.

- In the case where full penetration is obtained with successive blow counts for each 150mm of say 4, 6 and 7 as 4, 6, 7 then  $N = 13$
- In the case where the test is discontinued short of full penetration, say after 15 blows for the first 150mm and 30 blows for the next 40mm then as 15, 30/40mm.

The results of the test can be related empirically to the engineering properties of the soil. Occasionally, the test method is used to obtain samples in 50mm diameter thin wall sample tubes in clay. In such circumstances, the test results are shown on the borelogs in brackets.

## Cone Penetrometer Testing and Interpretation

Cone penetrometer testing (sometimes referred to as Dutch Cone – abbreviated as CPT) described in this report has been carried out using an electrical friction cone penetrometer. The test is described in Australia Standard 1289, Test 6.4.1.

In tests, a 35mm diameter rod with a cone-tipped end is pushed continually into the soil, the reaction being provided by a specially designed truck or rig which is fitted with an hydraulic ram system. Measurements are made of the end bearing resistance on the cone and the friction resistance on a separate 130mm long sleeve, immediately behind the cone. Transducers in the tip of the assembly are connected by electrical wires passing through the centre of the push rods to an amplifier and recorder unit mounted on the control truck.

As penetration occurs (at a rate of approximately 20mm per second) their information is plotted on a computer screen and at the end of the test is stored on the computer for later plotting of the results.

The information provided on the plotted results comprises: -

- Cone resistance – the actual end bearing force divided by the cross-sectional area of the cone – expressed in MPa.
- Sleeve friction – the frictional force on the sleeve divided by the surface area – expressed in kPa.
- Friction ratio - the ratio of sleeve friction to cone resistance, expressed in percent.

There are two scales available for measurement of cone resistance. The lower scale (0 – 5 MPa) is used in very soft soils where increased sensitivity is required and is shown in the graphs as a dotted line. The main scale (0 – 50 MPa) is less sensitive and is shown as a full line. The ratios of the sleeve friction to cone resistance will vary with the type of soil encountered, with higher relative friction in clays than in sands. Friction ratios 1% - 2% are commonly encountered in sands and very soft clays rising to 4% - 10% in stiff clays.

In sands, the relationship between cone resistance and SPT value is commonly in the range: -

$$Q_c \text{ (MPa)} = (0.4 \text{ to } 0.6) N \text{ blows (blows per 300mm)}$$

In clays, the relationship between undrained shear strength and cone resistance is commonly in the range: -

$$Q_c = (12 \text{ to } 18) C_u$$

Interpretation of CPT values can also be made to allow estimation of modulus or compressibility values to allow calculations of foundation settlements.

Inferred stratification as shown on the attached reports is assessed from the cone and friction traces and from experience and information from nearby boreholes, etc. This information is presented for general guidance, but must be regarded as being to some extent interpretive. The test method provides a continuous profile of engineering properties, and where precise information on soil classification is required, direct drilling and sampling may be preferable.

## Dynamic Penetrometers

Dynamic penetrometer tests are carried out by driving a rod into the ground with a falling weight hammer and measuring the blows for successive 150mm increments of penetration. Normally, there is a depth limitation of 1.2m but this may be extended in certain conditions by the use of extension rods.



Two relatively similar tests are used.

- Perth sand penetrometer – a 16mm diameter flattened rod is driven with a 9kg hammer, dropping 600mm (AS1289, Test 6.3.3). The test was developed for testing the density of sands (originating in Perth) and is mainly used in granular soils and filling.
- Cone penetrometer (sometimes known as Scala Penetrometer) – a 16mm rod with a 20mm diameter cone end is driven with a 9kg hammer dropping 510mm (AS 1289, Test 6.3.2). The test was developed initially for pavement sub-grade investigations, and published correlations of the test results with California bearing ratio have been published by various Road Authorities.

## Laboratory Testing

Laboratory testing is generally carried out in accordance with Australian Standard 1289 “Methods of Testing Soil for Engineering Purposes”. Details of the test procedure used are given on the individual report forms.

## Borehole Logs

The bore logs presented herein are an engineering and/or geological interpretation of the subsurface conditions, and their reliability will depend to some extent on frequency of sampling and the method of drilling. Ideally, continuous undisturbed sampling or core drilling will provide the most reliable assessment, but this is not always practicable, or possible to justify on economic grounds. In any case, the boreholes represent only a very small sample of the total subsurface profile.

Interpretation of the information and its application to design and construction should therefore take into account the spacing of boreholes, the frequency of sampling and the possibility of other than ‘straight line’ variations between the boreholes.

Details of the type and method of sampling are given in the report and the following sample codes are on the borehole logs where applicable:

|     |                              |     |                           |    |         |
|-----|------------------------------|-----|---------------------------|----|---------|
| D   | Disturbed Sample             | E   | Environmental sample      | DT | Diatube |
| B   | Bulk Sample                  | PP  | Pocket Penetrometer Test  |    |         |
| U50 | 50mm Undisturbed Tube Sample | SPT | Standard Penetration Test |    |         |
| U63 | 63mm “ “ “ “ “               | C   | Core                      |    |         |

## Ground Water

Where ground water levels are measured in boreholes there are several potential problems:

- In low permeability soils, ground water although present, may enter the hole slowly or perhaps not at all during the time it is left open.
- A localised perched water table may lead to an erroneous indication of the true water table.
- Water table levels will vary from time to time with seasons or recent weather changes. They may not be the same at the time of construction as are indicated in the report.
- The use of water or mud as a drilling fluid will mask any ground water inflow. Water has to be blown out of the hole and drilling mud must first be washed out of the hole if water observations are to be made. More reliable measurements can be made by installing standpipes which are read at intervals over several days, or perhaps weeks for low permeability soils. Piezometers, sealed in a particular stratum, may be interference from a perched water table.

## Engineering Reports

Engineering reports are prepared by qualified personnel and are based on the information obtained and on current engineering standards of interpretation and analysis. Where the report has been prepared for a specific design proposal (eg. A three-storey building), the information and interpretation may not be relevant if the design proposal is changed (eg. to a twenty-storey building). If this happens, the Company will be pleased to review the report and the sufficiency of the investigation work.

Every care is taken with the report as it relates to interpretation of subsurface condition, discussion of geotechnical aspects and recommendations or suggestions for design and construction. However, the Company cannot always anticipate or assume responsibility for:

- unexpected variations in ground conditions – the potential for this will depend partly on bore spacing and sampling frequency,
- changes in policy or interpretation of policy by statutory authorities,
- the actions of contractors responding to commercial pressures,

If these occur, the Company will be pleased to assist with investigation or advice to resolve the matter.

### **Site Anomalies**

In the event that conditions encountered on site during construction appear to vary from those which were expected from the information contained in the report, the Company requests that it immediately be notified. Most problems are much more readily resolved when conditions are exposed than at some later stage, well after the event.

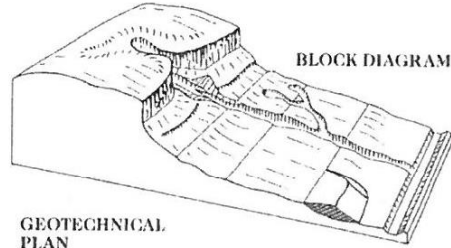
### **Reproduction of Information for Contractual Purposes**

Attention is drawn to the document “Guidelines for the Provision of Geotechnical Information in Tender Documents”, published by the Institution of Engineers Australia. Where information obtained from this investigation is provided for tendering purposes, it is recommended that all information, including the written report and discussion, be made available. In circumstances where the discussion or comments section is not relevant to the contractual situation, it may be appropriate to prepare a special ally edited document. The Company would be pleased to assist in this regard and/or to make additional report copies available for contract purposes at a nominal charge.

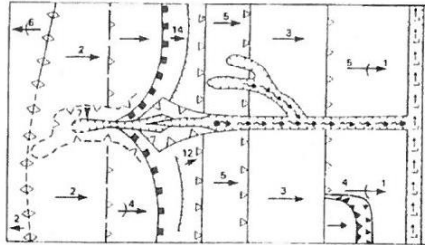
### **Site Inspection**

The Company will always be pleased to provide engineering inspection services for geotechnical aspects of work to which this report is related. This could range from a site visit to confirm that conditions exposed are as expected, to full time engineering presence on site.

PRACTICE NOTE GUIDELINES FOR LANDSLIDE RISK MANAGEMENT 2007



GEOTECHNICAL PLAN



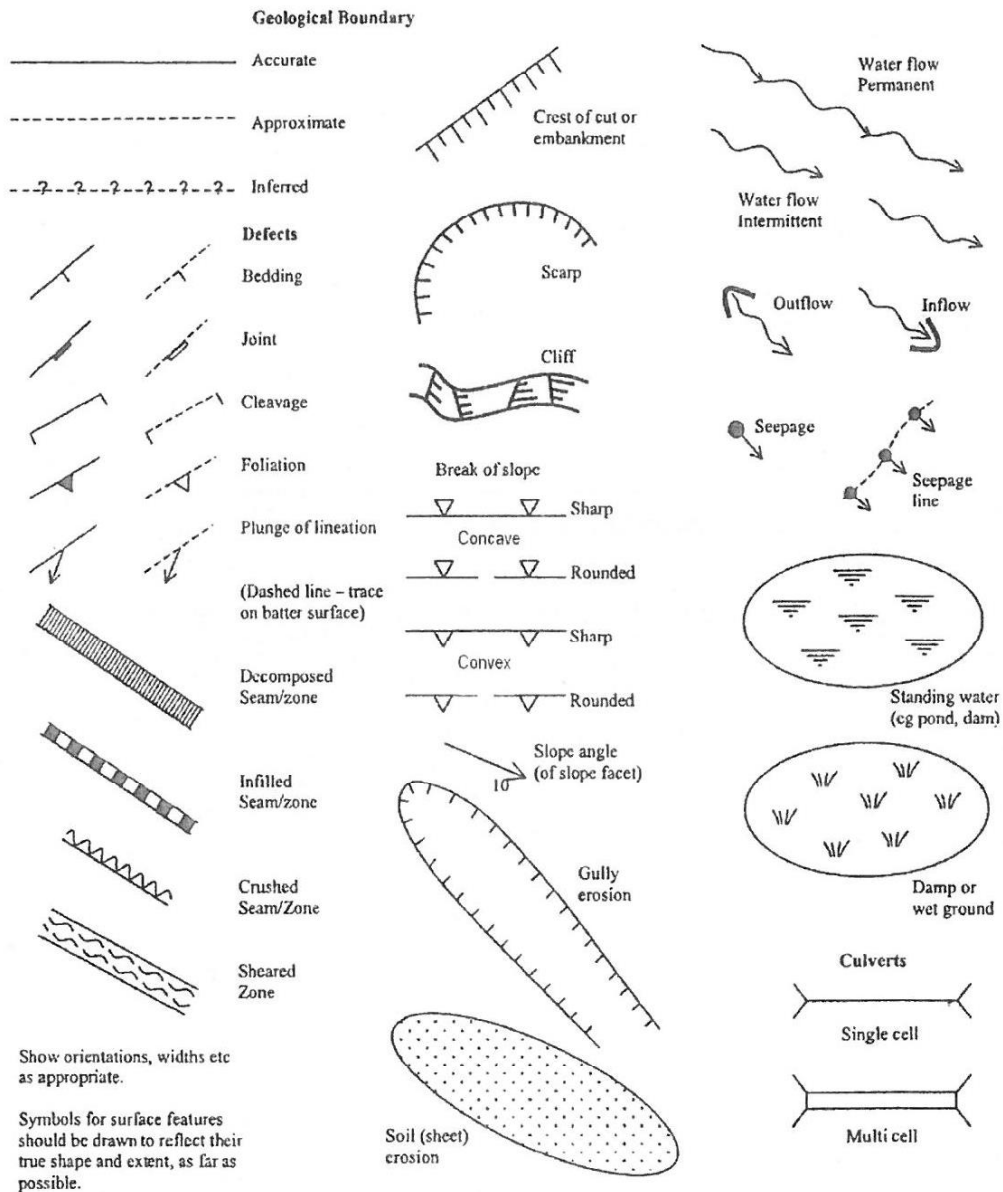
| SYMBOL | GROUND PROFILE |                                                                             |                                                                            |
|--------|----------------|-----------------------------------------------------------------------------|----------------------------------------------------------------------------|
|        |                | Convex                                                                      | Well defined or angular break of slope                                     |
|        |                | Concave                                                                     |                                                                            |
|        |                | Convex                                                                      | Poorly defined or smooth change of slope                                   |
|        |                | Concave                                                                     |                                                                            |
|        |                | Breaks of slope                                                             | Convex and concave too close together to allow the use of separate symbols |
|        |                | Changes of slope                                                            |                                                                            |
|        |                | Sharp                                                                       | Ridge crest                                                                |
|        |                | Rounded                                                                     |                                                                            |
|        |                | Cliff or escarpment or sharp break 40° or more (estimated height in metres) |                                                                            |
|        |                | Uniform slope                                                               | Slope direction and angle (Degrees)                                        |
|        |                | Concave slope                                                               |                                                                            |
|        |                | Convex slope                                                                |                                                                            |
|        |                | Top                                                                         | Cut or fill slope, arrows pointing down slope                              |
|        |                | Bottom                                                                      |                                                                            |
|        |                | Hummocky or irregular ground                                                |                                                                            |
|        |                | Open drain, unlined                                                         |                                                                            |
|        |                | Open drain, lined                                                           |                                                                            |
|        |                | Fence line                                                                  |                                                                            |
|        |                | Property boundary                                                           |                                                                            |
|        |                | Dry stone wall                                                              |                                                                            |
|        |                | Major joint in rock face (opening in millimetres)                           |                                                                            |
|        |                | Tension crack (opening in millimetres)                                      |                                                                            |

Example of Mapping Symbols

(after V Gardiner & R V Dackombe (1983). Geomorphological Field Manual. George Allen & Unwin).

PRACTICE NOTE GUIDELINES FOR LANDSLIDE RISK MANAGEMENT 2007

APPENDIX E - GEOLOGICAL AND GEOMORPHOLOGICAL MAPPING SYMBOLS AND TERMINOLOGY

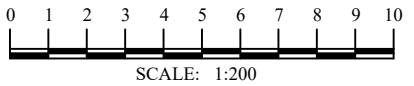
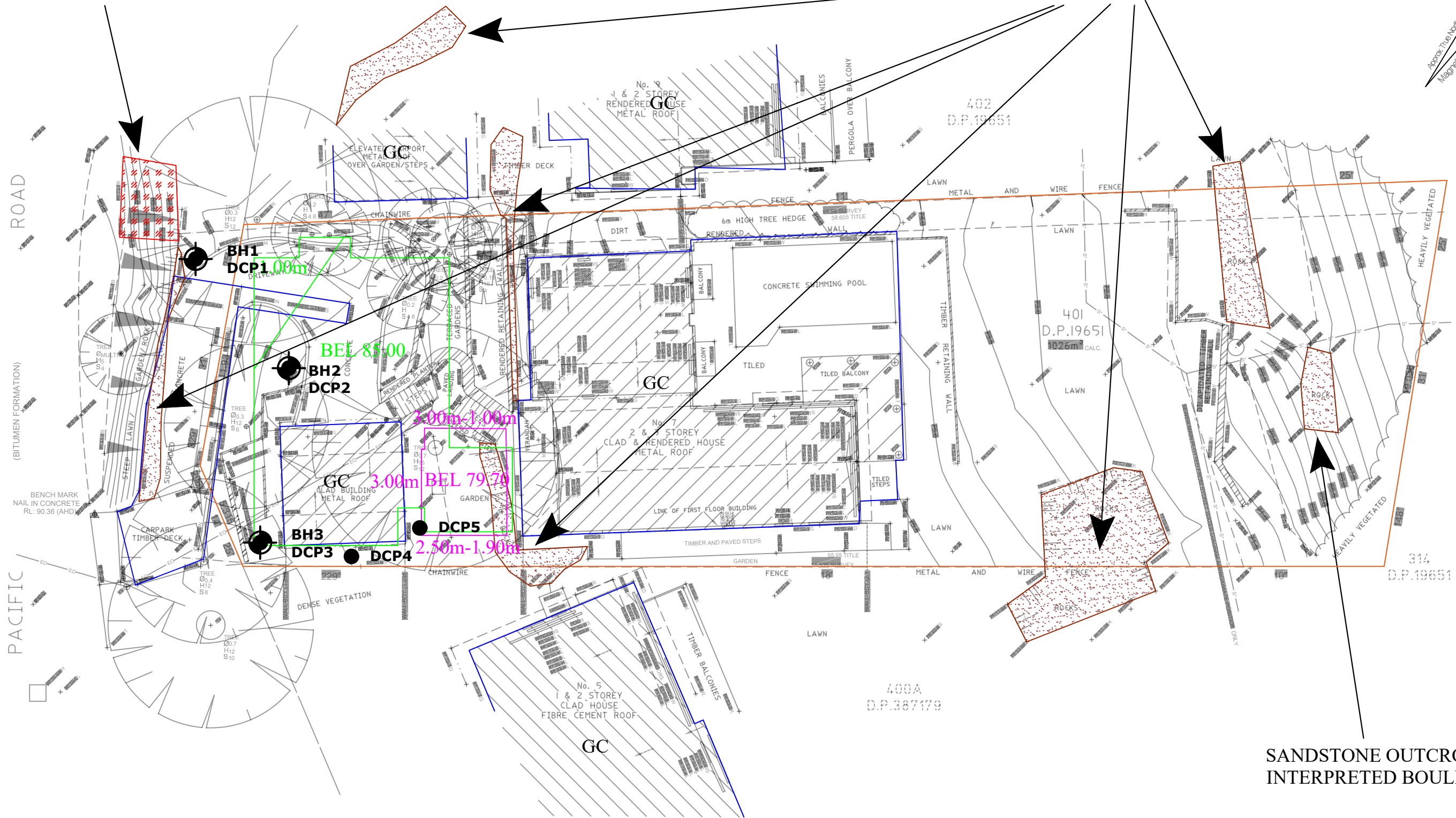


Examples of Mapping Symbols (after Guide to Slope Risk Analysis Version 3.1 November 2001, Roads and Traffic Authority of New South Wales).

# Appendix 2

SHOTCRETE STABILISED SLOPE

SANDSTONE OUTCROPS:  
INTERPRETED BEDROCK



SITE PLAN & TEST LOCATIONS **FIGURE 1.**

**LEGEND**

- PROPOSED WORKS
- EXISTING STRUCTURES
- PROPERTY BOUNDARY
- BH / DCP AUGER / DYNAMIC CONE PENETROMETER LOCATION
- A — A' CROSS-SECTION REFERENCE LINE

SCALE: 1:200 @ A3  
 DRAWING: FIGURE 1  
 DATE: 09 / 2024  
 APPROVED BY: TMC  
 DRAWN BY: JD  
 PROJECT: 2024-155

PREPARED FOR:  
 Carlton Lamb  
 ADDRESS:  
 7 Pacific Road, Palm Beach

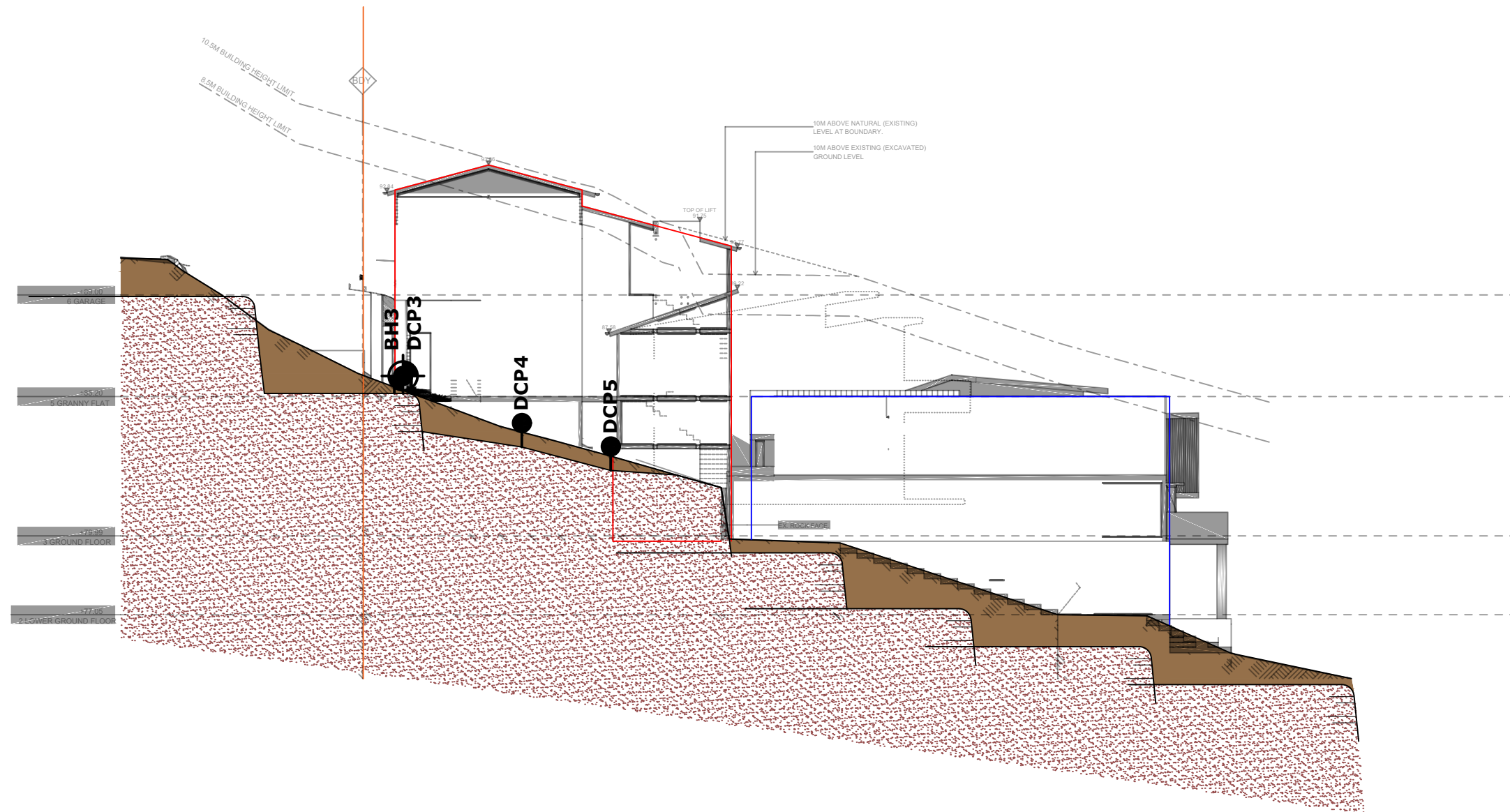
Crozier Geotechnical  
 Unit 12, 42-46 Wattle Road  
 Brookvale NSW 2100  
 Crozier Geotechnical is a division of PJC Geo-Engineering Pty Ltd

ABN: 96 113 453 624  
 Phone: (02) 9939 1882  
 Fax: (02) 9939 1883



A

A'



SECTION A: FIGURE 2

LEGEND

- PROPERTY BOUNDARY
- EXISTING STRUCTURES
- PROPOSED WORKS
- FILL
- SANDSTONE BEDROCK
- DCP DYNAMIC CONE PENETROMETER
- BH DCP AUGER / DYNAMIC CONE PENETROMETER LOCATION
- A — A' SECTION LINE

SCALE: 1:200 @ A3  
 DRAWING: FIGURE 2  
 DATE: 09/2024

PREPARED FOR:  
 Carlton Lamb

APPROVED BY: TMC  
 DRAWN BY: JD  
 PROJECT: 2024-155

ADDRESS:  
 7 Pacific Road, Palm Beach



Crozier Geotechnical ABN: 96 113 453 624  
 Unit 12, 42-46 Wattle Road Phone: (02) 9939 1882  
 Brookvale NSW 2100 Fax: (02) 9939 1883  
 Crozier Geotechnical is a division of PJC Geo-Engineering Pty Ltd

# BOREHOLE LOG

CLIENT: Carlton Lamb

DATE: 20/08/2024

BORE No.: 1

PROJECT: Alterations and Additions

PROJECT No.: 2024-155

SHEET: 1 of 1

LOCATION: 7 Pacific Road Palm Beach

SURFACE LEVEL: RL 86.60

| Depth (m) | Classification | Description of Strata<br>PRIMARY SOIL - consistency / density, colour, grainsize or plasticity, moisture condition, soil type and secondary constituents, other remarks | Sampling |       | In Situ Testing |         |
|-----------|----------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|-------|-----------------|---------|
|           |                |                                                                                                                                                                         | Type     | Tests | Type            | Results |
| 0.00      | X              | Topsoil/Fill: loose, dark brown organic silty sand with roots and gravels                                                                                               |          |       |                 |         |
| 1.00      |                |                                                                                                                                                                         |          |       |                 |         |
| 1.10      |                | ... wet                                                                                                                                                                 |          |       |                 |         |
| 1.20      |                | Hand auger refusal @ 1.20m depth atop interpreted sandstone bedrock of at least low strength                                                                            |          |       |                 |         |
|           |                |                                                                                                                                                                         |          |       |                 |         |
|           |                |                                                                                                                                                                         |          |       |                 |         |

RIG: Not applicable

DRILLER: AC

METHOD: Hand Auger

LOGGED: JD

GROUND WATER OBSERVATIONS: Not encountered

REMARKS:

CHECKED: BT

# BOREHOLE LOG

CLIENT: Carlton Lamb

DATE: 20/08/2024

BORE No.: 2

PROJECT: Alterations and Additions

PROJECT No.: 2024-155

SHEET: 1 of 1

LOCATION: 7 Pacific Road Palm Beach

SURFACE LEVEL: RL 84.50

| Depth (m) | Classification | Description of Strata<br>PRIMARY SOIL - consistency / density, colour, grainsize or plasticity, moisture condition, soil type and secondary constituents, other remarks | Sampling |       | In Situ Testing |         |
|-----------|----------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|-------|-----------------|---------|
|           |                |                                                                                                                                                                         | Type     | Tests | Type            | Results |
| 0.00      |                | Topsoil/Fill: loose, brown silty sand with gravels and roots                                                                                                            |          |       |                 |         |
| 0.80      |                | Hand auger refusal @ 0.80m depth atop interpreted sandstone bedrock of at least low strength                                                                            |          |       |                 |         |
|           |                |                                                                                                                                                                         |          |       |                 |         |
|           |                |                                                                                                                                                                         |          |       |                 |         |
|           |                |                                                                                                                                                                         |          |       |                 |         |
|           |                |                                                                                                                                                                         |          |       |                 |         |

RIG: Not applicable

DRILLER: AC

METHOD: Hand Auger

LOGGED: JD

GROUND WATER OBSERVATIONS: Not encountered

REMARKS:

CHECKED: BT

# BOREHOLE LOG

CLIENT: Carlton Lamb

DATE: 20/08/2024

BORE No.: 3/3a

PROJECT: Alterations and Additions

PROJECT No.: 2024-155

SHEET: 1 of 1

LOCATION: 7 Pacific Road Palm Beach

SURFACE LEVEL: RL 85.25

| Depth (m) | Classification | Description of Strata<br>PRIMARY SOIL - consistency / density, colour, grainsize or plasticity, moisture condition, soil type and secondary constituents, other remarks | Sampling |       | In Situ Testing |         |
|-----------|----------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|-------|-----------------|---------|
|           |                |                                                                                                                                                                         | Type     | Tests | Type            | Results |
| 0.00      |                | Topsoil/Fill: loose organic silty sand and mulch                                                                                                                        |          |       |                 |         |
| 0.10      |                | Hand auger refusal @ 0.10m depth atop sandstone bedrock of at least low strength                                                                                        |          |       |                 |         |
|           |                |                                                                                                                                                                         |          |       |                 |         |
|           |                |                                                                                                                                                                         |          |       |                 |         |
|           |                |                                                                                                                                                                         |          |       |                 |         |
|           |                |                                                                                                                                                                         |          |       |                 |         |
|           |                |                                                                                                                                                                         |          |       |                 |         |

RIG: Not applicable

DRILLER: AC

METHOD: Hand Auger

LOGGED: JD

GROUND WATER OBSERVATIONS: Not encountered

REMARKS:

CHECKED: BT

**DYNAMIC PENETROMETER TEST SHEET**

**CLIENT:** Carlton Lamb  
**PROJECT:** Alterations and Additions  
**LOCATION:** 7 Pacific Road, Palm Beach

**DATE:** 20/08/2024  
**PROJECT No.:** 2024-155  
**SHEET:** 1 of 1

| Depth (m)   | Test Location |        |        |        |        |  |  |  |  |  |
|-------------|---------------|--------|--------|--------|--------|--|--|--|--|--|
|             | 1             | 2      | 2a     | 3      | 4      |  |  |  |  |  |
| 0.00 - 0.10 | SW            | SW     | 1      | SW     | 2      |  |  |  |  |  |
| 0.10 - 0.20 | 1             | SW     | 0      | B@0.10 | 1      |  |  |  |  |  |
| 0.20 - 0.30 | 1             | 1      | 1      |        | 7      |  |  |  |  |  |
| 0.30 - 0.40 | 1             | 1      | 2      |        | 2      |  |  |  |  |  |
| 0.40 - 0.50 | 4             | 0      | 2      |        | 2      |  |  |  |  |  |
| 0.50 - 0.60 | 3             | 7      | 2      |        | B@0.55 |  |  |  |  |  |
| 0.60 - 0.70 | 2             | 5      | 3      |        |        |  |  |  |  |  |
| 0.70 - 0.80 | 2             | 4      | 4      |        |        |  |  |  |  |  |
| 0.80 - 0.90 | 4             | B@0.80 | 6      |        |        |  |  |  |  |  |
| 0.90 - 1.00 | 2             |        | 5      |        |        |  |  |  |  |  |
| 1.00 - 1.10 | 10            |        | 2      |        |        |  |  |  |  |  |
| 1.10 - 1.20 | 8             |        | B@1.10 |        |        |  |  |  |  |  |
| 1.20 - 1.30 | 15            |        |        |        |        |  |  |  |  |  |
| 1.30 - 1.40 | 13            |        |        |        |        |  |  |  |  |  |
| 1.40 - 1.50 | 21            |        |        |        |        |  |  |  |  |  |
| 1.50 - 1.60 | 17            |        |        |        |        |  |  |  |  |  |
| 1.60 - 1.70 | B@1.55        |        |        |        |        |  |  |  |  |  |
| 1.70 - 1.80 |               |        |        |        |        |  |  |  |  |  |
| 1.80 - 1.90 |               |        |        |        |        |  |  |  |  |  |
| 1.90 - 2.00 |               |        |        |        |        |  |  |  |  |  |
| 2.00 - 2.10 |               |        |        |        |        |  |  |  |  |  |
| 2.10 - 2.20 |               |        |        |        |        |  |  |  |  |  |
| 2.20 - 2.30 |               |        |        |        |        |  |  |  |  |  |
| 2.30 - 2.40 |               |        |        |        |        |  |  |  |  |  |
| 2.40 - 2.50 |               |        |        |        |        |  |  |  |  |  |
| 2.50 - 2.60 |               |        |        |        |        |  |  |  |  |  |
| 2.60 - 2.70 |               |        |        |        |        |  |  |  |  |  |
| 2.70 - 2.80 |               |        |        |        |        |  |  |  |  |  |
| 2.80 - 2.90 |               |        |        |        |        |  |  |  |  |  |
| 2.90 - 3.00 |               |        |        |        |        |  |  |  |  |  |
| 3.00 - 3.10 |               |        |        |        |        |  |  |  |  |  |
| 3.10 - 3.20 |               |        |        |        |        |  |  |  |  |  |
| 3.20 - 3.30 |               |        |        |        |        |  |  |  |  |  |
| 3.30 - 3.40 |               |        |        |        |        |  |  |  |  |  |
| 3.40 - 3.50 |               |        |        |        |        |  |  |  |  |  |
| 3.50 - 3.60 |               |        |        |        |        |  |  |  |  |  |
| 3.60 - 3.70 |               |        |        |        |        |  |  |  |  |  |
| 3.70 - 3.80 |               |        |        |        |        |  |  |  |  |  |
| 3.80 - 3.90 |               |        |        |        |        |  |  |  |  |  |
| 3.90 - 4.00 |               |        |        |        |        |  |  |  |  |  |

**TEST METHOD:** AS 1289. F3.2, CONE PENETROMETER

**REMARKS:** (B) Test hammer bouncing upon refusal on solid object  
 -- No test undertaken at this level due to prior excavation of soils

# Appendix 3

**TABLE : A**  
**Landslide risk assessment for Risk to life**

| HAZARD   | Description                                                                                                            | Impacting                                                             | Likelihood of Slide                                                                           | Spatial Impact of Slide                                                                                                                                                 |                               | Occupancy                                                                                                         | Evacuation                                                                 | Vulnerability                                                                          | Risk to Life                       |
|----------|------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------|-------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------|
| <b>A</b> | Landslip (rockslide/topple <5m <sup>3</sup> ) of bedrock around perimeter of excavation due to poorly oriented defects |                                                                       | Up to 3.00m depth of bedrock in proposed excavation                                           | a) Excavation setback minimum of 1500mm from shared boundary                                                                                                            |                               | a) Person in Garden 1hr/day avge.<br><br>0.0417                                                                   | a) Unlikely to not evacuate<br><br>0.25                                    | a) Person in open space, buried<br><br>1.00                                            |                                    |
|          |                                                                                                                        |                                                                       | <b>Possible</b><br>0.001                                                                      | <b>Prob. of Impact</b><br>0.20                                                                                                                                          | <b>Impacted</b><br>0.05       |                                                                                                                   |                                                                            |                                                                                        |                                    |
| <b>B</b> | Landslip (Earth slide <5m <sup>3</sup> ) of soils atop bedrock surface                                                 |                                                                       |                                                                                               | a) front garden area at base of steep soil slope atop exposed bedrock, evidence of previous instability<br>b) rear garden area below deteriorated timber retaining wall |                               | a) person in front garden 2hrs/day avge.<br>b) person in rear garden area <1hrs/day avge.<br><br>0.0833<br>0.0417 | a) likely to not evacuate<br>b) likely to not evacuate<br><br>0.75<br>0.75 | a) person in open space, buried<br>b) person in open space, buried<br><br>1.00<br>1.00 |                                    |
|          |                                                                                                                        |                                                                       | <b>Possible</b><br>0.001                                                                      | <b>Prob. of Impact</b><br>0.3<br>0.3                                                                                                                                    | <b>Impacted</b><br>0.1<br>0.1 |                                                                                                                   |                                                                            |                                                                                        |                                    |
| <b>C</b> | Landslip (Rockslide/topple <10m <sup>3</sup> ) of boulder in rear garden due to poor stormwater control                |                                                                       | Boulder relatively stable currently, poor stormwater control may undermine and cause movement | a) Dwelling directly downslope of boulder                                                                                                                               |                               | a) Person in Dwelling 20hrs/day avge.<br><br>0.8333                                                               | a) Likely to not evacuate<br><br>0.75                                      | a) Person in dwelling likely buried<br><br>1.00                                        |                                    |
|          |                                                                                                                        |                                                                       | <b>Possible</b><br>0.001                                                                      | <b>Prob. of Impact</b><br>0.50                                                                                                                                          | <b>Impacted</b><br>0.30       |                                                                                                                   |                                                                            |                                                                                        |                                    |
|          |                                                                                                                        | a) Garden of No. 5 Pacific Road                                       |                                                                                               |                                                                                                                                                                         |                               |                                                                                                                   |                                                                            |                                                                                        | <b>1.04E-07</b>                    |
|          |                                                                                                                        | a) front garden of site<br>b) rear garden area below timber crib wall |                                                                                               |                                                                                                                                                                         |                               |                                                                                                                   |                                                                            |                                                                                        | <b>1.88E-06</b><br><b>9.38E-07</b> |
|          |                                                                                                                        | a) Dwelling of No 320 Whale Beach Road                                |                                                                                               |                                                                                                                                                                         |                               |                                                                                                                   |                                                                            |                                                                                        | <b>9.38E-05</b>                    |

\* hazards considered in current condition and/or without remedial/stabilisation measures or poor support systems

\* likelihood of occurrence for design life of 100 years

\* Spatial Impact - Probability of Impact refers to slide impacting structure/area expressed as a % (i.e. 1.00 = 100% probability of slide impacting area if slide occurs).

Impacted refers to expected % of area/structure damaged if slide impacts (i.e. small, slow earth slide will damage small portion of house structure such as 1 bedroom (5%), where as large boulder roll may damage/destroy >50%)

\* neighbouring houses considered for impact of slide to bedroom unless specified, due to high occupancy and lower potential for evacuation.

\* considered for person most at risk, where multiple people occupy area then increased risk levels

\* for excavation induced landslip then considered for adjacent premises/buildings founded off shallow footings, unless indicated

\* evacuation scale from Almost Certain to not evacuate (1.0), Likely (0.75), Possible (0.5), Unlikely (0.25), Rare to not evacuate (0.01). Based on likelihood of person knowing of landslide and completely evacuating area prior to landslide impact.

\* vulnerability assessed using Appendix F - AGS Practice Note Guidelines for Landslide Risk Management 2007



**TABLE : B****Landslide risk assessment for Risk to Property**

| HAZARD   | Description                                                                                                            | Impacting                                  | Likelihood |                                                                              | Consequences | Risk to Property                                                                                                                              |          |
|----------|------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|------------|------------------------------------------------------------------------------|--------------|-----------------------------------------------------------------------------------------------------------------------------------------------|----------|
| <b>A</b> | Landslip (rockslide/topple <5m <sup>3</sup> ) of bedrock around perimeter of excavation due to poorly oriented defects | a) Garden of No. 5 Pacific Road            | Unlikely   | The event might occur under very adverse circumstances over the design life. | Medium       | Moderate damage to some of structure or significant part of site or MINOR damage to neighbouring property, requires large stabilising works . | Moderate |
| <b>B</b> | Landslip (Earth slide <5m <sup>3</sup> ) of soils atop bedrock surface                                                 | a) Front garden of site                    | Unlikely   | The event might occur under very adverse circumstances over the design life. | Minor        | Site structures completely destroyed or MAJOR damage to neighbouring property, significant stabilising .                                      | Low      |
|          |                                                                                                                        | b) Rear garden area below timber crib wall | Unlikely   | The event might occur under very adverse circumstances over the design life. | Minor        | Site structures completely destroyed or MAJOR damage to neighbouring property, significant                                                    | Low      |
| <b>C</b> | Landslip (Rockslide/topple <10m <sup>3</sup> ) of boulder in rear garden due to poor stormwater control                | a) Dwelling of No 320 Whale Beach Road     | Unlikely   | The event might occur under very adverse circumstances over the design life. | Catastrophic | Site structures completely destroyed or MAJOR damage to neighbouring property, significant stabilising .                                      | High     |

\* hazards considered in current condition, without remedial/stabilisation measures and during construction works.

\* qualitative expression of likelihood incorporates both frequency analysis estimate and spatial impact probability estimate as per AGS guidelines.

\* qualitative measures of consequences to property assessed per Appendix C in AGS Guidelines for Landslide Risk Management.

- indicative cost or damage expressed as cost of site development with respect to consequence values:  
Catastrophic : 200%, Major:

**TABLE: 2**

Recommended Maintenance and Inspection Program

| Structure                             | Maintenance/ Inspection Item                                                                                                                                                   | Frequency                                          |
|---------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------|
| Stormwater drains.                    | Owner to inspect to ensure that the open drains, and pipes are free of debris & sediment build-up. Clear surface grates and litter.                                            | Every year or following each major rainfall event. |
|                                       | Owner to check and flush retaining wall drainage pipes/systems                                                                                                                 | Every 7 years or where dampness/moisture           |
| Retaining Walls. or remedial measures | Owner to inspect walls for deveation from as constructed condition and repair/replace.                                                                                         | Every two years or following major rainfall event. |
|                                       | Replace non engineered rock/timber walls prior to collapse including existing timber crib wall                                                                                 | As soon as practicable                             |
| Large Trees on or adjacent to site    | Arborist to check condition of trees and remove as required. Where tree within steep slopes (>18°) or adjacent to structures requires geotechnical inspection prior to removal | Every five years                                   |
| Slope Stability                       | Geotechnical Engineering Consultant to check on site stability and maintenance                                                                                                 | Five years after construction is completed.        |

**N.B.** Provided the above shedule is maintained the design life of the property should conform with Councils Risk Management Policy.

# Appendix 4

## APPENDIX A

## DEFINITION OF TERMS

INTERNATIONAL UNION OF GEOLOGICAL SCIENCES WORKING GROUP  
ON LANDSLIDES, COMMITTEE ON RISK ASSESSMENT

- Risk** – A measure of the probability and severity of an adverse effect to health, property or the environment. Risk is often estimated by the product of probability x consequences. However, a more general interpretation of risk involves a comparison of the probability and consequences in a non-product form.
- Hazard** – A condition with the potential for causing an undesirable consequence (*the landslide*). The description of landslide hazard should include the location, volume (or area), classification and velocity of the potential landslides and any resultant detached material, and the likelihood of their occurrence within a given period of time.
- Elements at Risk** – Meaning the population, buildings and engineering works, economic activities, public services utilities, infrastructure and environmental features in the area potentially affected by landslides.
- Probability** – The likelihood of a specific outcome, measured by the ratio of specific outcomes to the total number of possible outcomes. Probability is expressed as a number between 0 and 1, with 0 indicating an impossible outcome, and 1 indicating that an outcome is certain.
- Frequency** – A measure of likelihood expressed as the number of occurrences of an event in a given time. See also Likelihood and Probability.
- Likelihood** – used as a qualitative description of probability or frequency.
- Temporal Probability** – The probability that the element at risk is in the area affected by the landsliding, at the time of the landslide.
- Vulnerability** – The degree of loss to a given element or set of elements within the area affected by the landslide hazard. It is expressed on a scale of 0 (no loss) to 1 (total loss). For property, the loss will be the value of the damage relative to the value of the property; for persons, it will be the probability that a particular life (the element at risk) will be lost, given the person(s) is affected by the landslide.
- Consequence** – The outcomes or potential outcomes arising from the occurrence of a landslide expressed qualitatively or quantitatively, in terms of loss, disadvantage or gain, damage, injury or loss of life.
- Risk Analysis** – The use of available information to estimate the risk to individuals or populations, property, or the environment, from hazards. Risk analyses generally contain the following steps: scope definition, hazard identification, and risk estimation.
- Risk Estimation** – The process used to produce a measure of the level of health, property, or environmental risks being analysed. Risk estimation contains the following steps: frequency analysis, consequence analysis, and their integration.
- Risk Evaluation** – The stage at which values and judgements enter the decision process, explicitly or implicitly, by including consideration of the importance of the estimated risks and the associated social, environmental, and economic consequences, in order to identify a range of alternatives for managing the risks.
- Risk Assessment** – The process of risk analysis and risk evaluation.
- Risk Control or Risk Treatment** – The process of decision making for managing risk, and the implementation, or enforcement of risk mitigation measures and the re-evaluation of its effectiveness from time to time, using the results of risk assessment as one input.
- Risk Management** – The complete process of risk assessment and risk control (*or risk treatment*).

**Individual Risk** – The risk of fatality or injury to any identifiable (named) individual who lives within the zone impacted by the landslide; or who follows a particular pattern of life that might subject him or her to the consequences of the landslide.

**Societal Risk** – The risk of multiple fatalities or injuries in society as a whole: one where society would have to carry the burden of a landslide causing a number of deaths, injuries, financial, environmental, and other losses.

**Acceptable Risk** – A risk for which, for the purposes of life or work, we are prepared to accept as it is with no regard to its management. Society does not generally consider expenditure in further reducing such risks justifiable.

**Tolerable Risk** – A risk that society is willing to live with so as to secure certain net benefits in the confidence that it is being properly controlled, kept under review and further reduced as and when possible.

In some situations risk may be tolerated because the individuals at risk cannot afford to reduce risk even though they recognise it is not properly controlled.

**Landslide Intensity** – A set of spatially distributed parameters related to the destructive power of a landslide. The parameters may be described quantitatively or qualitatively and may include maximum movement velocity, total displacement, differential displacement, depth of the moving mass, peak discharge per unit width, kinetic energy per unit area.

**Note:** Reference should also be made to Figure 1 which shows the inter-relationship of many of these terms and the relevant portion of Landslide Risk Management.

# PRACTICE NOTE GUIDELINES FOR LANDSLIDE RISK MANAGEMENT 2007

## APPENDIX C: LANDSLIDE RISK ASSESSMENT

### QUALITATIVE TERMINOLOGY FOR USE IN ASSESSING RISK TO PROPERTY

#### QUALITATIVE MEASURES OF LIKELIHOOD

| Approximate Annual Probability |                    | Implied Indicative Landslide Recurrence Interval | Description   | Descriptor                                                                              | Level           |   |
|--------------------------------|--------------------|--------------------------------------------------|---------------|-----------------------------------------------------------------------------------------|-----------------|---|
| Indicative Value               | Notional Boundary  |                                                  |               |                                                                                         |                 |   |
| 10 <sup>-1</sup>               | 5x10 <sup>-2</sup> | 10 years                                         | 20 years      | The event is expected to occur over the design life.                                    | ALMOST CERTAIN  | A |
| 10 <sup>-2</sup>               |                    | 100 years                                        |               | The event will probably occur under adverse conditions over the design life.            | LIKELY          | B |
| 10 <sup>-3</sup>               | 5x10 <sup>-3</sup> | 1000 years                                       | 200 years     | The event could occur under adverse conditions over the design life.                    | POSSIBLE        | C |
| 10 <sup>-4</sup>               | 5x10 <sup>-4</sup> | 10,000 years                                     | 2000 years    | The event might occur under very adverse circumstances over the design life.            | UNLIKELY        | D |
| 10 <sup>-5</sup>               | 5x10 <sup>-5</sup> | 100,000 years                                    | 20,000 years  | The event is conceivable but only under exceptional circumstances over the design life. | RARE            | E |
| 10 <sup>-6</sup>               | 5x10 <sup>-6</sup> | 1,000,000 years                                  | 200,000 years | The event is inconceivable or fanciful over the design life.                            | BARELY CREDIBLE | F |

**Note:** (1) The table should be used from left to right; use Approximate Annual Probability or Description to assign Descriptor, not *vice versa*.

#### QUALITATIVE MEASURES OF CONSEQUENCES TO PROPERTY

| Approximate Cost of Damage |                   | Description                                                                                                                                                                                     | Descriptor    | Level |
|----------------------------|-------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|-------|
| Indicative Value           | Notional Boundary |                                                                                                                                                                                                 |               |       |
| 200%                       | 100%              | Structure(s) completely destroyed and/or large scale damage requiring major engineering works for stabilisation. Could cause at least one adjacent property major consequence damage.           | CATASTROPHIC  | 1     |
| 60%                        |                   | Extensive damage to most of structure, and/or extending beyond site boundaries requiring significant stabilisation works. Could cause at least one adjacent property medium consequence damage. | MAJOR         | 2     |
| 20%                        | 40%               | Moderate damage to some of structure, and/or significant part of site requiring large stabilisation works. Could cause at least one adjacent property minor consequence damage.                 | MEDIUM        | 3     |
| 5%                         | 10%               | Limited damage to part of structure, and/or part of site requiring some reinstatement stabilisation works.                                                                                      | MINOR         | 4     |
| 0.5%                       | 1%                | Little damage. (Note for high probability event (Almost Certain), this category may be subdivided at a notional boundary of 0.1%. See Risk Matrix.)                                             | INSIGNIFICANT | 5     |

**Notes:** (2) The Approximate Cost of Damage is expressed as a percentage of market value, being the cost of the improved value of the unaffected property which includes the land plus the unaffected structures.

(3) The Approximate Cost is to be an estimate of the direct cost of the damage, such as the cost of reinstatement of the damaged portion of the property (land plus structures), stabilisation works required to render the site to tolerable risk level for the landslide which has occurred and professional design fees, and consequential costs such as legal fees, temporary accommodation. It does not include additional stabilisation works to address other landslides which may affect the property.

(4) The table should be used from left to right; use Approximate Cost of Damage or Description to assign Descriptor, not vice versa

**PRACTICE NOTE GUIDELINES FOR LANDSLIDE RISK MANAGEMENT 2007**

**APPENDIX C: – QUALITATIVE TERMINOLOGY FOR USE IN ASSESSING RISK TO PROPERTY (CONTINUED)**

***QUALITATIVE RISK ANALYSIS MATRIX – LEVEL OF RISK TO PROPERTY***

| LIKELIHOOD                 |                                                    | CONSEQUENCES TO PROPERTY (With Indicative Approximate Cost of Damage) |                 |                  |                |                          |
|----------------------------|----------------------------------------------------|-----------------------------------------------------------------------|-----------------|------------------|----------------|--------------------------|
|                            | Indicative Value of Approximate Annual Probability | 1: CATASTROPHIC<br>200%                                               | 2: MAJOR<br>60% | 3: MEDIUM<br>20% | 4: MINOR<br>5% | 5: INSIGNIFICANT<br>0.5% |
| <b>A – ALMOST CERTAIN</b>  | 10 <sup>-1</sup>                                   | VH                                                                    | VH              | VH               | H              | M or L (5)               |
| <b>B - LIKELY</b>          | 10 <sup>-2</sup>                                   | VH                                                                    | VH              | H                | M              | L                        |
| <b>C - POSSIBLE</b>        | 10 <sup>-3</sup>                                   | VH                                                                    | H               | M                | M              | VL                       |
| <b>D - UNLIKELY</b>        | 10 <sup>-4</sup>                                   | H                                                                     | M               | L                | L              | VL                       |
| <b>E - RARE</b>            | 10 <sup>-5</sup>                                   | M                                                                     | L               | L                | VL             | VL                       |
| <b>F - BARELY CREDIBLE</b> | 10 <sup>-6</sup>                                   | L                                                                     | VL              | VL               | VL             | VL                       |

**Notes:** (5) For Cell A5, may be subdivided such that a consequence of less than 0.1% is Low Risk.

(6) When considering a risk assessment it must be clearly stated whether it is for existing conditions or with risk control measures which may not be implemented at the current time.

***RISK LEVEL IMPLICATIONS***

| Risk Level |                | Example Implications (7)                                                                                                                                                                                                                                                  |
|------------|----------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| VH         | VERY HIGH RISK | Unacceptable without treatment. Extensive detailed investigation and research, planning and implementation of treatment options essential to reduce risk to Low; may be too expensive and not practical. Work likely to cost more than value of the property.             |
| H          | HIGH RISK      | Unacceptable without treatment. Detailed investigation, planning and implementation of treatment options required to reduce risk to Low. Work would cost a substantial sum in relation to the value of the property.                                                      |
| M          | MODERATE RISK  | May be tolerated in certain circumstances (subject to regulator’s approval) but requires investigation, planning and implementation of treatment options to reduce the risk to Low. Treatment options to reduce to Low risk should be implemented as soon as practicable. |
| L          | LOW RISK       | Usually acceptable to regulators. Where treatment has been required to reduce the risk to this level, ongoing maintenance is required.                                                                                                                                    |
| VL         | VERY LOW RISK  | Acceptable. Manage by normal slope maintenance procedures.                                                                                                                                                                                                                |

**Note:** (7) The implications for a particular situation are to be determined by all parties to the risk assessment and may depend on the nature of the property at risk; these are only given as a general guide.



# Appendix 5

# PRACTICE NOTE GUIDELINES FOR LANDSLIDE RISK MANAGEMENT 2007

## APPENDIX G - SOME GUIDELINES FOR HILLSIDE CONSTRUCTION

### GOOD ENGINEERING PRACTICE

### POOR ENGINEERING PRACTICE

#### ADVICE

|                         |                                                                                                                         |                                                                        |
|-------------------------|-------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|
| GEOTECHNICAL ASSESSMENT | Obtain advice from a qualified, experienced geotechnical practitioner at early stage of planning and before site works. | Prepare detailed plan and start site works before geotechnical advice. |
|-------------------------|-------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|

#### PLANNING

|               |                                                                                                                                       |                                               |
|---------------|---------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------|
| SITE PLANNING | Having obtained geotechnical advice, plan the development with the risk arising from the identified hazards and consequences in mind. | Plan development without regard for the Risk. |
|---------------|---------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------|

#### DESIGN AND CONSTRUCTION

|                               |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                         |
|-------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| HOUSE DESIGN                  | Use flexible structures which incorporate properly designed brickwork, timber or steel frames, timber or panel cladding.<br>Consider use of split levels.<br>Use decks for recreational areas where appropriate.                                                                                                                           | Floor plans which require extensive cutting and filling.<br>Movement intolerant structures.                                                                                                                                                                                             |
| SITE CLEARING                 | Retain natural vegetation wherever practicable.                                                                                                                                                                                                                                                                                            | Indiscriminately clear the site.                                                                                                                                                                                                                                                        |
| ACCESS & DRIVEWAYS            | Satisfy requirements below for cuts, fills, retaining walls and drainage.<br>Council specifications for grades may need to be modified.<br>Driveways and parking areas may need to be fully supported on piers.                                                                                                                            | Excavate and fill for site access before geotechnical advice.                                                                                                                                                                                                                           |
| EARTHWORKS                    | Retain natural contours wherever possible.                                                                                                                                                                                                                                                                                                 | Indiscriminatory bulk earthworks.                                                                                                                                                                                                                                                       |
| CUTS                          | Minimise depth.<br>Support with engineered retaining walls or batter to appropriate slope.<br>Provide drainage measures and erosion control.                                                                                                                                                                                               | Large scale cuts and benching.<br>Unsupported cuts.<br>Ignore drainage requirements                                                                                                                                                                                                     |
| FILLS                         | Minimise height.<br>Strip vegetation and topsoil and key into natural slopes prior to filling.<br>Use clean fill materials and compact to engineering standards.<br>Batter to appropriate slope or support with engineered retaining wall.<br>Provide surface drainage and appropriate subsurface drainage.                                | Loose or poorly compacted fill, which if it fails, may flow a considerable distance including onto property below.<br>Block natural drainage lines.<br>Fill over existing vegetation and topsoil.<br>Include stumps, trees, vegetation, topsoil, boulders, building rubble etc in fill. |
| ROCK OUTCROPS & BOULDERS      | Remove or stabilise boulders which may have unacceptable risk.<br>Support rock faces where necessary.                                                                                                                                                                                                                                      | Disturb or undercut detached blocks or boulders.                                                                                                                                                                                                                                        |
| RETAINING WALLS               | Engineer design to resist applied soil and water forces.<br>Found on rock where practicable.<br>Provide subsurface drainage within wall backfill and surface drainage on slope above.<br>Construct wall as soon as possible after cut/fill operation.                                                                                      | Construct a structurally inadequate wall such as sandstone flagging, brick or unreinforced blockwork.<br>Lack of subsurface drains and weepholes.                                                                                                                                       |
| FOOTINGS                      | Found within rock where practicable.<br>Use rows of piers or strip footings oriented up and down slope.<br>Design for lateral creep pressures if necessary.<br>Backfill footing excavations to exclude ingress of surface water.                                                                                                           | Found on topsoil, loose fill, detached boulders or undercut cliffs.                                                                                                                                                                                                                     |
| SWIMMING POOLS                | Engineer designed.<br>Support on piers to rock where practicable.<br>Provide with under-drainage and gravity drain outlet where practicable.<br>Design for high soil pressures which may develop on uphill side whilst there may be little or no lateral support on downhill side.                                                         |                                                                                                                                                                                                                                                                                         |
| DRAINAGE                      |                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                         |
| SURFACE                       | Provide at tops of cut and fill slopes.<br>Discharge to street drainage or natural water courses.<br>Provide general falls to prevent blockage by siltation and incorporate silt traps.<br>Line to minimise infiltration and make flexible where possible.<br>Special structures to dissipate energy at changes of slope and/or direction. | Discharge at top of fills and cuts.<br>Allow water to pond on bench areas.                                                                                                                                                                                                              |
| SUBSURFACE                    | Provide filter around subsurface drain.<br>Provide drain behind retaining walls.<br>Use flexible pipelines with access for maintenance.<br>Prevent inflow of surface water.                                                                                                                                                                | Discharge roof runoff into absorption trenches.                                                                                                                                                                                                                                         |
| SEPTIC & SULLAGE              | Usually requires pump-out or mains sewer systems; absorption trenches may be possible in some areas if risk is acceptable.<br>Storage tanks should be water-tight and adequately founded.                                                                                                                                                  | Discharge sullage directly onto and into slopes.<br>Use absorption trenches without consideration of landslide risk.                                                                                                                                                                    |
| EROSION CONTROL & LANDSCAPING | Control erosion as this may lead to instability.<br>Revegetate cleared area.                                                                                                                                                                                                                                                               | Failure to observe earthworks and drainage recommendations when landscaping.                                                                                                                                                                                                            |

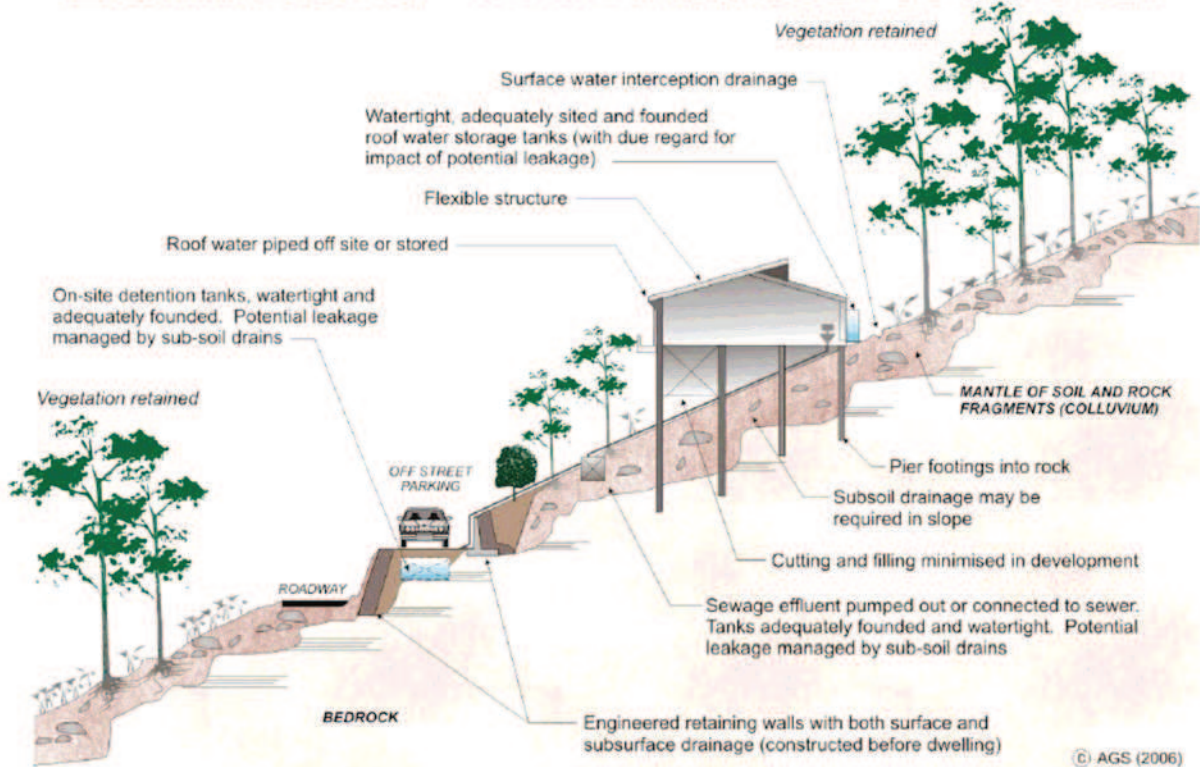
#### DRAWINGS AND SITE VISITS DURING CONSTRUCTION

|             |                                                                           |  |
|-------------|---------------------------------------------------------------------------|--|
| DRAWINGS    | Building Application drawings should be viewed by geotechnical consultant |  |
| SITE VISITS | Site Visits by consultant may be appropriate during construction/         |  |

#### INSPECTION AND MAINTENANCE BY OWNER

|                        |                                                                                                                                                                                                                |  |
|------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| OWNER'S RESPONSIBILITY | Clean drainage systems; repair broken joints in drains and leaks in supply pipes.<br>Where structural distress is evident see advice.<br>If seepage observed, determine causes or seek advice on consequences. |  |
|------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|

## EXAMPLES OF **GOOD** HILLSIDE PRACTICE



## EXAMPLES OF **POOR** HILLSIDE PRACTICE

