
Arboricultural Impact Assessment Report

Site location:

287 Whale Beach Road
Whale Beach NSW

Prepared for:

Cadence & Co Design Pty Ltd

Prepared by: Bryce Claassens and
Jack Williams

Urban Arbor Pty Ltd

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1. INTRODUCTION

1.1 Urban Arbor have been instructed by Cadence & Co Design Pty Ltd to provide an Arboricultural Impact Assessment Report for trees located within the site and adjoining sites in relation to a proposed development.

1.2 Below is a list of all documents and information provided to assist in preparing this report;

A) Details and Levels over Lot 187 in DP 15376, Adam Clerke Surveyors Pty Ltd, 27 March 2014.

B) Proposed Architectural Plans, Cadence & Co Design Pty Ltd, Revision A, 2 December 2024, Including Drawings:

DRAWING SCHEDULE

SHEET	TITLE	NOTE
DA00	Title Sheet and Location Map	
DA01	Site Analysis	
DA02	Site Plan	
DA03	DA-Area Calculations	
DA04	Existing + Demolition Plan	
DA05	Roof Plan & Upper Studio Floor Plan	
DA06	Floor Plans Level 1 + 2	
DA07	Floor Plans Level 3 + 4	
DA08	Elevations North & South	
DA09	Elevations East & West	
DA10	Section A	
DA11	Section B, C, D + E	
DA12	Shadow Diagrams 1	
DA13	Shadow Diagrams 2	
DA14	Excavation and Fill Sections	
DA15	3D Perspectives	
DA16	3D Neighbours Perspectives 1	
DA17	3D Neighbours Perspectives 2	
DA18	Window Schedule	
DA19	Exterior Door Schedule	

1.3 The site and tree inspections were carried out on 14 June 2022 by Jack Williams of Urban Arbor. Access was available to the subject site and adjoining public areas only. All tree data contained in this report was collected during this site inspection. This report has been written by Bryce Claassens of Urban Arbor.

2. SCOPE OF THE REPORT

2.1 This report has been undertaken to meet the following objectives.

2.1.1 Conduct a ground level visual assessment of all significant trees located within 10 metres of development works. For the purpose of this report, a significant tree is a tree with a height equal to or greater than 5 metres.

2.1.2 Determine the trees estimated contribution years and remaining useful life expectancy and award the trees a retention value.

2.1.3 Provide an assessment of the potential impact the proposed development is likely to cause to the condition of the subject trees in accordance with AS4970 Protection of trees on development sites (2009).

2.1.4 Specify tree protection measures in accordance with AS4970-2009 for any tree to be retained during the development.

3. LIMITATIONS

- 3.1 The observations and recommendations are based on the site inspections identified in section 1 only. The findings of this report are based on the observations and site conditions at the time of inspection.
- 3.2 All of the observations were carried out from ground level. The accuracy of the assessment of the subject trees structural condition and health is limited to the visibility of the tree at the time of inspection.
- 3.3 The tree inspection was visual from ground level only. No soil or tissue testing was carried out as part of the tree inspection. None of the surrounding surfaces adjacent to trees were lifted or removed during the tree inspections.
- 3.4 Root decay can sometimes be present with no visual indication above ground. It is also impossible to know the extent of any root damage caused by mechanical damage such as underground root cutting during the installation of services without undertaking detailed root investigation. Any form of tree failure due to these activities is beyond the scope of this assessment.
- 3.5 While an assessment of the subject trees estimated useful life expectancy is included in this report, no specific tree risk assessment has been undertaken for any of trees at the site.
- 3.6 The report reflects the subject tree(s) as found on the day of inspection. Any changes to the growing environment of the subject tree, or tree management works beyond those recommended in this report may alter the findings of the report. There is no warranty, expressed or implied, that problems or deficiencies relating to the subject tree, or subject site may not arise in the future.
- 3.7 Tree identification is based on accessible visual characteristics at the time of inspection. As key identifying features are not always available the accuracy of identification is not guaranteed. Where tree species is unknown, it is indicated with an *spp.*
- 3.8 Urban Arbor neither guarantees, nor is it responsible for, the accuracy of information provided by others that is contained within this report.
- 3.9 All diagrams, plans and photographs included in this report are visual aids only, and are not to scale unless otherwise indicated.
- 3.10 Alteration of this report invalidates the entire report.

4. METHODOLOGY

- 4.1 The following information was collected during the assessment of the subject tree(s).
- 4.1.1 Tree common name
 - 4.1.2 Tree botanical name
 - 4.1.3 Tree age class
 - 4.1.4 DBH (Trunk/Stem diameter at breast height/1.4m) - millimetres.
 - 4.1.5 DAB (Trunk diameter directly above the root buttress) – millimetres.
 - 4.1.6 Estimated height - metres
 - 4.1.7 Estimated crown spread (radius of crown) - metres
 - 4.1.8 Health
 - 4.1.9 Structural condition
 - 4.1.10 Amenity value
 - 4.1.11 Estimated remaining contribution years (SULE)¹
 - 4.1.12 Retention value (Tree AZ)²
 - 4.1.13 Notes/comments
- 4.2 An assessment of the trees condition was made using the visual tree assessment (VTA) model (Mattheck & Breloer, 1994).³
- 4.3 Trunk diameter was measured using a DBH tape or in some cases estimated. The trunk diameter of all trees in adjoining sites has been estimated. Tree height and tree canopy spread was measured with a clinometer or in some cases estimated. All other measurements were estimations unless otherwise stated. The other tool used during the assessment was a digital camera.
- 4.4 All information was imported into (GIS) PT-mapper pro software. This software was used to measure/calculate all encroachment estimates included in this report.
- 4.5 All DBH measurements, tree protection zones, and structural root zones were calculated in accordance with methods set out in AS4970 Protection of trees on development sites (2009) in a Microsoft Excel spreadsheet .⁴
- 4.6 Details of how the observations in this report have been assessed are listed in the appendices.

¹ Barrell, J. (2001), 'SULE: Its use and status in the new millennium' in *Management of Mature Trees proceedings of the 4th NAAA Workshop*, Sydney, 2001. Barrell.

² Barrell Tree Consultancy, *Tree AZ version 10.10-ANZ*, <http://www.treeaz.com/>.

³ Mattheck, C. & Breloer, H., *The body language of trees - A handbook for failure analysis*, The Stationary Office, London, England (1994).

⁴ Council Of Standards Australia, *AS4970 Protection of trees on development sites* (2009).

5. SITE LOCATION AND BRIEF DESCRIPTION

5.1 The site is located in the suburb of Whale Beach, New South Wales, which is located in the Northern Beaches Council area. All trees at the site are subject to protection under the Pittwater Local Environmental Plan (LEP) 2014⁵ and Pittwater 21 Development Control Plan (DCP) 2014.⁶ The site is not located inside a Heritage Conservation Area and does not form part of a heritage item in the LEP heritage maps.⁷ The site has not been identified as containing 'biodiversity' in the LEP biodiversity maps.⁸

6. GENERAL INFORMATION IN RELATION TO PROTECTING TREES ON DEVELOPMENT SITES

6.1 **Tree protection zone (TPZ):** The TPZ is the principle means of protecting trees on development sites and is an area required to maintain the viability of trees during development. It is commonly observed that tree roots will extend significantly further than the indicative TPZ, however the TPZ is an area identified in AS4970-2009 to be the area where root loss or disturbance will generally impact the viability of the tree. The TPZ is identified as a restricted area to prevent damage to trees either above or below ground during a development. Where trees are intended to be retained proposed developments must provide an adequate TPZ around trees. The TPZ is set aside for the tree's root zone, trunk and crown and it is essential for the stability and longevity of the tree. The TPZ also incorporates the SRZ (see below for more information about the SRZ). The TPZ is calculated by multiplying the DBH by twelve, with the exception of palms, other monocots, cycads and tree ferns, the TPZ of which have been calculated at one metre outside the crown projection. Additional information about the TPZ is included in Appendix 3.

6.2 **Structural Root Zone (SRZ):** This is the area around the base of a tree required for the trees stability in the ground. An area larger than the SRZ always needs to be maintained to preserve a viable tree. The SRZ is calculated using the following formula; $(DAB \times 50)^{0.42} \times 0.64$. There are several factors that can vary the SRZ which include height, crown area, soil type and soil moisture. It can also be influenced by other factors such as natural or built structures. Generally, work within the SRZ should be avoided. Soil level changes should also generally be avoided inside the SRZ of trees to be retained. Palms, other monocots, cycads and tree ferns do not have an SRZ. See the appendices for more information about the SRZ.

⁵ Pittwater Local Environmental Plan 2014 <https://legislation.nsw.gov.au/#/view/EPI/2014/320/historical/2017-08-25/full>.

⁶ Pittwater 21 Development Control Plan, <https://eservices.northernbeaches.nsw.gov.au/ePlanning/live/pages/plan/book.aspx?exhibit=PDPCP>.

⁷ Pittwater LEP Heritage map - Sheet HER_015, https://eplanningdprod.blob.core.windows.net/pdfmaps/6370_COM_HER_015_010_20150924.pdf, accessed 3 December 2024.

⁸ Pittwater LEP Biodiversity map - Sheet BIO_015, https://eplanningdprod.blob.core.windows.net/pdfmaps/6370_COM_BIO_015_010_20140217.pdf, accessed 3 December 2024.

6.3 Minor encroachment into TPZ: Sometimes encroachment into the TPZ is unavoidable. Encroachment includes but is not limited to activities such as excavation, compacted fill and machine trenching. Minor encroachment of up to 10% of the overall TPZ area is normally considered acceptable, providing there is space adjacent to the TPZ for the tree to compensate and the tree is displaying adequate vigour/health to tolerate changes to its growing environment.

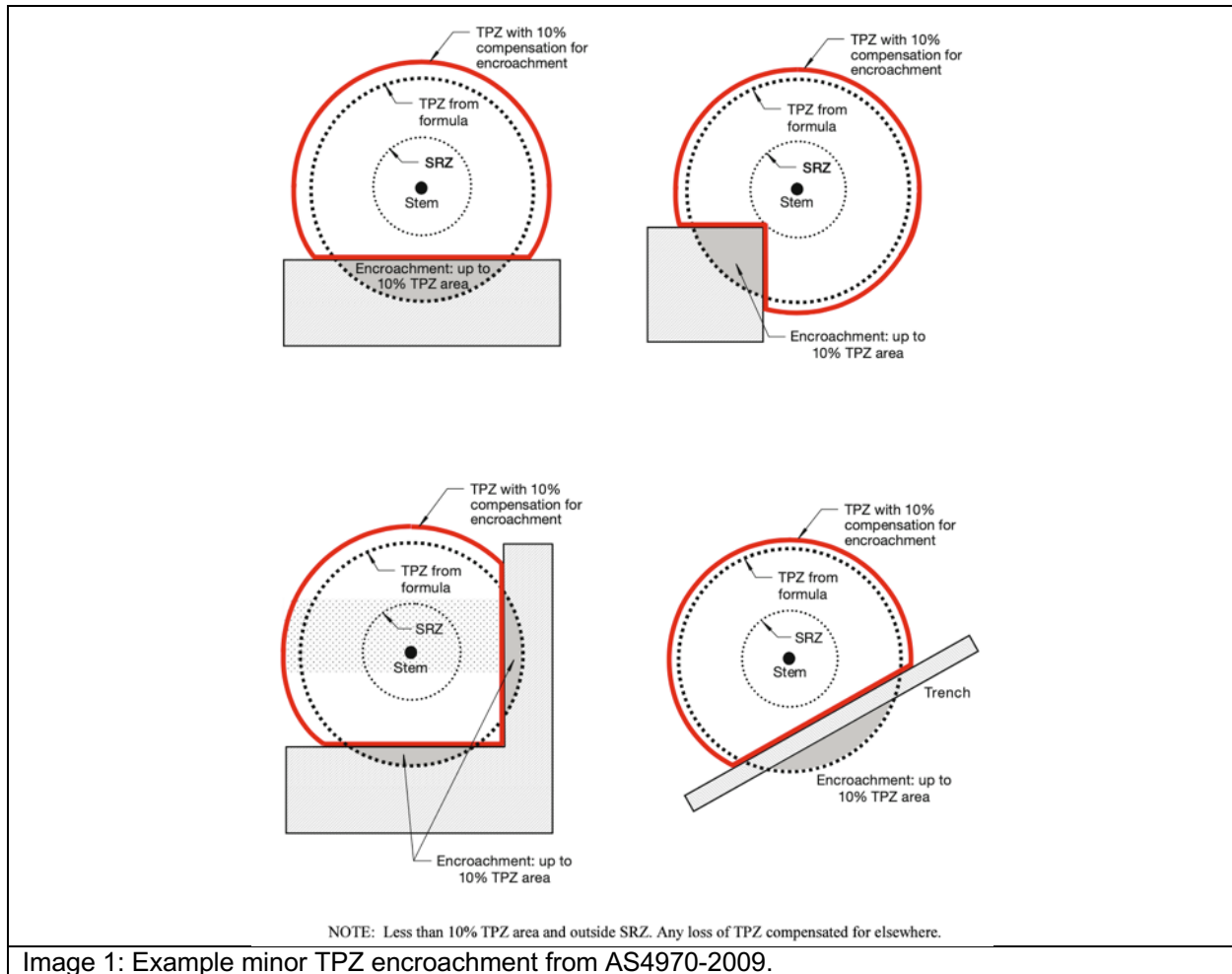


Image 1: Example minor TPZ encroachment from AS4970-2009.

6.4 Major encroachment into TPZ: Where encroachment of more than 10% of the overall TPZ area is proposed the project Arborist must investigate and demonstrate that the tree will remain in a viable condition. In some cases, tree sensitive construction methods such as pier and beam footings, suspended slabs, or cantilevered sections, can be utilised to allow additional encroachment into the TPZ by bridging over roots and minimising root disturbance. Major encroachment is only possible if it can be undertaken without severing significant size roots, or if it can be demonstrated that significant roots will not be impacted. Root investigations may be required to identify roots that will be impacted during major TPZ encroachment (see Appendix 3 for more information in relation to root investigations).

7. OBSERVATIONS

7.1 **Tree information:** Details of each individual tree assessed, including the observations taken during the site inspection, can be found in the tree inspection schedule in Appendix 2, where the indicative tree protection zone (TPZ) and Structural Root Zone (SRZ) has been calculated for each of the subject trees. The TPZ and SRZ should be measured in radius from the centre of the trunk. Each of the subject trees have been awarded a retention value based on the observations using the Tree AZ method. Tree AZ is used to identify higher value trees worthy of being a constraint to development and lower value trees that should generally not be a constraint to the development. The Tree AZ categories sheet (Barrell Tree Consultancy) has been included in Appendix 3 to assist with understanding the retention values. The retention value that has been allocated to the subject trees in this report is not definitive and should only be used as a guideline.

7.2 **Site plan:** In Appendix 1 two site plans have been prepared, where the tree information including canopy spread, TPZ and SRZ have been overlaid onto the site plans. The following site plans are included;

- Appendix 1A: Existing Site Plan
- Appendix 1B: Proposed Site Plan

8. ASSESSMENT OF CONSTRUCTION IMPACTS

8.1 Table 1: In the table below, the impact of the proposed development has been assessed for all trees included in the report. The assessed TPZ encroachments include proposed structures and hard landscaping only. Proposed soil level changes have not been identified in the information provided and have therefore not been assessed. All soft landscaping should be completed in accordance with section 11.10.

Tree ID	Species	Retention value	TPZ radius (m)	TPZ area (m ²)	SRZ radius (m)	TPZ encroachment	Discussion/ Conclusion	Recommendation
1	<i>Pittosporum undulatum</i>	Z3	2.0	12.6	1.6	None	The tree is located within the adjoining property on the embankment. No proposed TPZ encroachment.	Retain and protect
2	<i>Lophostemon confertus</i>	A1	7.2	162.9	2.8	Major	The tree is located within the adjoining property on the embankment. The proposed development works within the site will encroach into the TPZ by 36% (58.2m ²) and into the SRZ. This is considered to be a major TPZ encroachment, indicating the proposed works could potentially impact the condition and stability of the tree. Section 3.3.4 of AS4970-2009 discusses that the presence of existing or past structures or obstacles affecting root growth should be considered when assessing the impact of TPZ encroachments. There is an existing retaining wall located between the trunk of the tree and the area where the development works are proposed (Photo 1). The existing retaining wall appears to be restricting significant root growth towards the area of the proposed works. The existing retaining wall is to be demolished and replaced with a new retaining. To reduce the impact to the tree, the proposed retaining wall must be constructed within the same location and at the same grades as the existing retaining wall. See section 9.2 for tree sensitive retaining wall construction specifications.	Tree sensitive construction
3	<i>Banksia integrifolia</i>	A1	3.5	38.5	2.1	None	The tree is located within the adjoining property on the embankment. No proposed TPZ encroachment.	Retain and protect

Tree ID	Species	Retention value	TPZ radius (m)	TPZ area (m ²)	SRZ radius (m)	TPZ encroachment	Discussion/ Conclusion	Recommendation
4	<i>Glochidion ferdinandi</i>	Z4	2.6	21.2	1.9	Major	<p>The tree has not been identified on the received plans. The tree is located within the adjoining property. Based on the estimated location of the tree, the proposed development works within the site will encroach into the TPZ by 22% (4.7m²) and into the SRZ. This is considered to be a major TPZ encroachment, indicating the proposed works could potentially impact the condition and stability of the tree.</p> <p>Section 3.3.4 of AS4970-2009 discusses that the presence of existing or past structures or obstacles affecting root growth should be considered when assessing the impact of TPZ encroachments. There is an existing driveway with a retaining wall located between the trunk of the tree and the area where the development works are proposed (Photo 2). The existing driveway is to be removed and replaced with new surfacing. The retaining wall appears to be restricting significant root growth towards the area of the proposed works. The existing retaining wall is to be demolished and replaced with a new retaining. To reduce the impact to the tree, the proposed retaining wall must be constructed within the same location and at the same grades as the existing retaining wall. See section 9.2 for tree sensitive retaining wall construction specifications.</p>	Tree sensitive construction
5	<i>Syzygium australe</i>	A1	3.0	28.3	2.0	Major	<p>The tree has not been identified on the received plans. The tree is located within the adjoining property. Based on the estimated location of the tree, the proposed development works within the site will encroach into the TPZ by 24% (6.7m²) and into the SRZ. This is considered to be a major TPZ encroachment, indicating the proposed works could potentially impact the condition and stability of the tree.</p> <p>As with tree 4, there is an existing retaining wall that appears to be restricting significant root growth towards the area of the proposed works. The existing retaining wall is to be demolished and replaced with a new retaining. To reduce the impact to the tree, the proposed retaining wall must be constructed within the same location and at the same grades as the existing retaining wall. See section 9.2 for tree sensitive retaining wall construction specifications.</p>	Tree sensitive construction

Tree ID	Species	Retention value	TPZ radius (m)	TPZ area (m ²)	SRZ radius (m)	TPZ encroachment	Discussion/ Conclusion	Recommendation
6	<i>Archontophoenix cunninghamiana</i>	Z3	2.5	19.6	NA	Major	The tree has not been identified on the received plans. The tree is located within the adjoining property. Based on the estimated location of the tree, the proposed development works within the site will encroach into the TPZ by 13% (2.6m ²) and into the SRZ. This is considered to be a major TPZ encroachment, indicating the proposed works could potentially impact the condition of the tree. However, the tree is a palm species (monocot) with a simple adventitious root system that is tolerable of root disturbance. The species of tree is readily transplanted with a small root ball/soil volume. Therefore, the proposed development works will not significantly impact the tree.	Retain and protect
7	<i>Syagrus romanzoffiana</i>	Z3	2.5	19.6	NA	Major	The tree is located within the adjoining property. The proposed development works within the site will encroach into the TPZ by 13% (2.6m ²). This is considered to be a major TPZ encroachment, indicating the proposed works could potentially impact the condition of the tree. However, the tree is a palm species (monocot) with a simple adventitious root system that is tolerable of root disturbance. The species of tree is readily transplanted with a small root ball/soil volume. Therefore, the proposed development works will not significantly impact the tree.	Retain and protect

9. CONCLUSIONS

9.1 Table 2: Summary of the impact to trees by the development;

Impact	Reason	Category A	Category Z	Total
		A	Z	
Trees recommended to be removed	Building construction, new surfacing and/or proximity, or trees in poor condition.	None	None	0 trees
Trees recommended to be retained requiring tree sensitive construction methods	Removal of existing surfacing/structures and/or installation of new surfacing/structures may impact the viability of the trees	2, 5	4	3 trees
Trees recommended to be retained	Removal of existing surfacing/structures and/or installation of new surfacing/structures will not impact the viability of the trees	3	1, 6, 7	4 trees

9.2 Construction Design/Specification Requirements for Tree 2, 4 and 5: To ensure the trees are not adversely impacted by the construction, it must be demonstrated the following design and construction specifications can be implemented within the TPZ of the trees.

9.2.1 Tree Sensitive Retaining Walls: To reduce the impact of the proposed works, the new retaining walls must be constructed within the same location and at the same grades as the existing retaining walls. If deeper footings are required, timber sleeper retaining walls (or similar) should be used to avoid severing/pruning significant roots in the TPZ (no continuous strip footing). During the construction of the retaining walls, all horizontal components should be located on or above existing soil grades, and piers/posts locations should be flexible to avoid significant roots (roots greater than 40mm in diameter) that are critical to the health and stability of the tree. The project Arborist should directly supervise the construction of retaining walls and no roots greater than 40mm in diameter should be pruned/severed unless assessed and approved in writing by the project Arborist.

9.3 Photographs



Photo 1: Looking towards tree 2 from within the site, showing the existing retaining wall which appears to be restricting significant root growth towards the area of the proposed works.

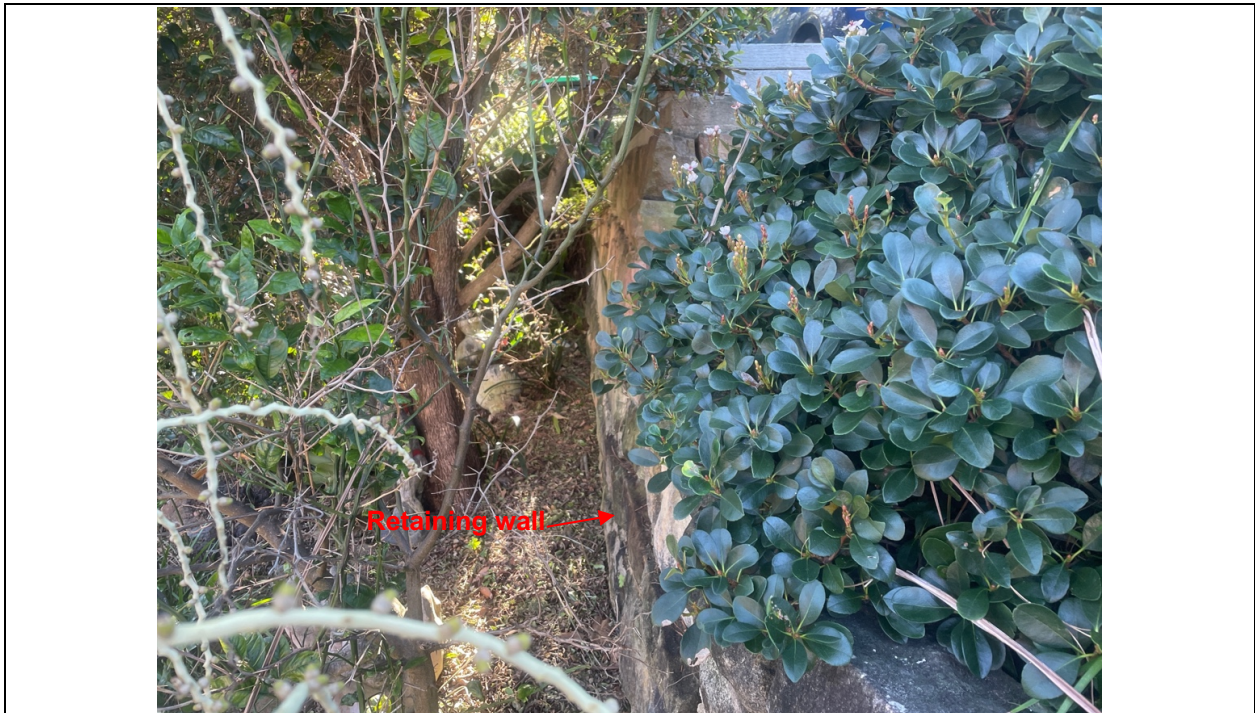


Photo 2: Looking towards tree 4, 5 and 6 from within the site, showing the existing retaining wall which appears to be restricting significant root growth towards the area of the proposed works.

10. RECOMMENDATIONS

- 10.1 This report assesses the impact of a proposed development at the subject site to all significant trees located within 10 metres of development works. Seven trees have been identified and assessed.
- 10.2 In Appendix 1 two site plans have been prepared, where the tree information including canopy spread, TPZ and SRZ have been overlaid onto the site plans. The following site plans are included;
- Appendix 1A: Existing Site Plan
 - Appendix 1B: Proposed Site Plan
- 10.3 No trees have been recommended for removal within this report.
- 10.4 Three trees have been identified that require tree sensitive construction methods to be retained in a viable condition, including tree 2, 4 and 5. To retain the trees in a viable condition, the proposed retaining wall construction within the TPZ must be completed in accordance with section 9.2 of this report.
- 10.5 The remaining four trees can be retained in a viable condition, including tree 1, 3, 6 and 7.
- 10.6 All trees to be retained must be protected in accordance with AS4970-2009, details of which are included in section 11.
- 10.7 No landscape plan has been assessed in this report. See section 11.10 for general guidance in relation to minimising the impact of proposed landscaping to retained trees and replacement tree planting.
- 10.8 No services plan has been assessed in this report, all services plans should be subject to review by a consulting Arborist. Where possible underground services should be located outside the TPZ of trees to be retained. All underground services located inside the TPZ of any tree to be retained must be installed via tree sensitive techniques in accordance with AS4970-2009, see section 11.11 for more information.
- 10.9 This report does not provide approval for tree removal or pruning works. All recommendations in this report are subject to approval by the relevant authorities and/or tree owners. This report should be submitted as supporting evidence with the development application.

11. TREE PROTECTION REQUIREMENTS

- 11.1 Use of this report:** All contractors must be made aware of the tree protection requirements prior to commencing works at the site. This report and a copy of the site plans (Appendix 1) drawing must also be made available to any contractor prior to works commencing and during any on site operations. Appendix 1B includes the recommended location of tree protection overlaid onto the proposed site plan.
- 11.2 Project Arborist:** Prior to any works commencing at the site a project Arborist should be appointed. The project Arborist should be qualified to a minimum AQF level 5 and/or equivalent qualifications and experience, and should assist with any development issues relating to trees that may arise. If at any time it is not feasible to carryout works in accordance with this, an alternative must be agreed in writing with the project Arborist.
- 11.3 Tree work:** All tree work should be carried out by a qualified and experienced Arborist with a minimum of AQF level 3 in arboriculture, in accordance with NSW Work Cover Code of Practice for the Amenity Tree Industry (1998) and AS4373 Pruning of amenity trees (2007).
- 11.4 Initial site meeting/on-going regular inspections:** The project Arborist is to hold a pre-construction site meeting with principal contractor to discuss methods and importance of tree protection measures and resolve any issues in relation to tree protection that may arise. In accordance with AS4970-2009, the project Arborist should carryout regular site inspections to ensure works are carried out in accordance with this document throughout the development process. Site inspections are recommended on a monthly frequency throughout the development.
- 11.5 Site Specific Tree Protection Recommendations:** It is the responsibility of the principal contractor to install tree protection prior to works commencing at the site (prior to demolition works) and to ensure that the tree protection remains in adequate condition for the duration of the development. The tree protection must not be moved without prior agreement of the project Arborist. The project Arborist must inspect that the tree protection has been installed in accordance with this document and AS4970-2009 prior to works commencing. See section 11.6 for requirements of tree protection. See Appendix 1 for indicative fencing location.

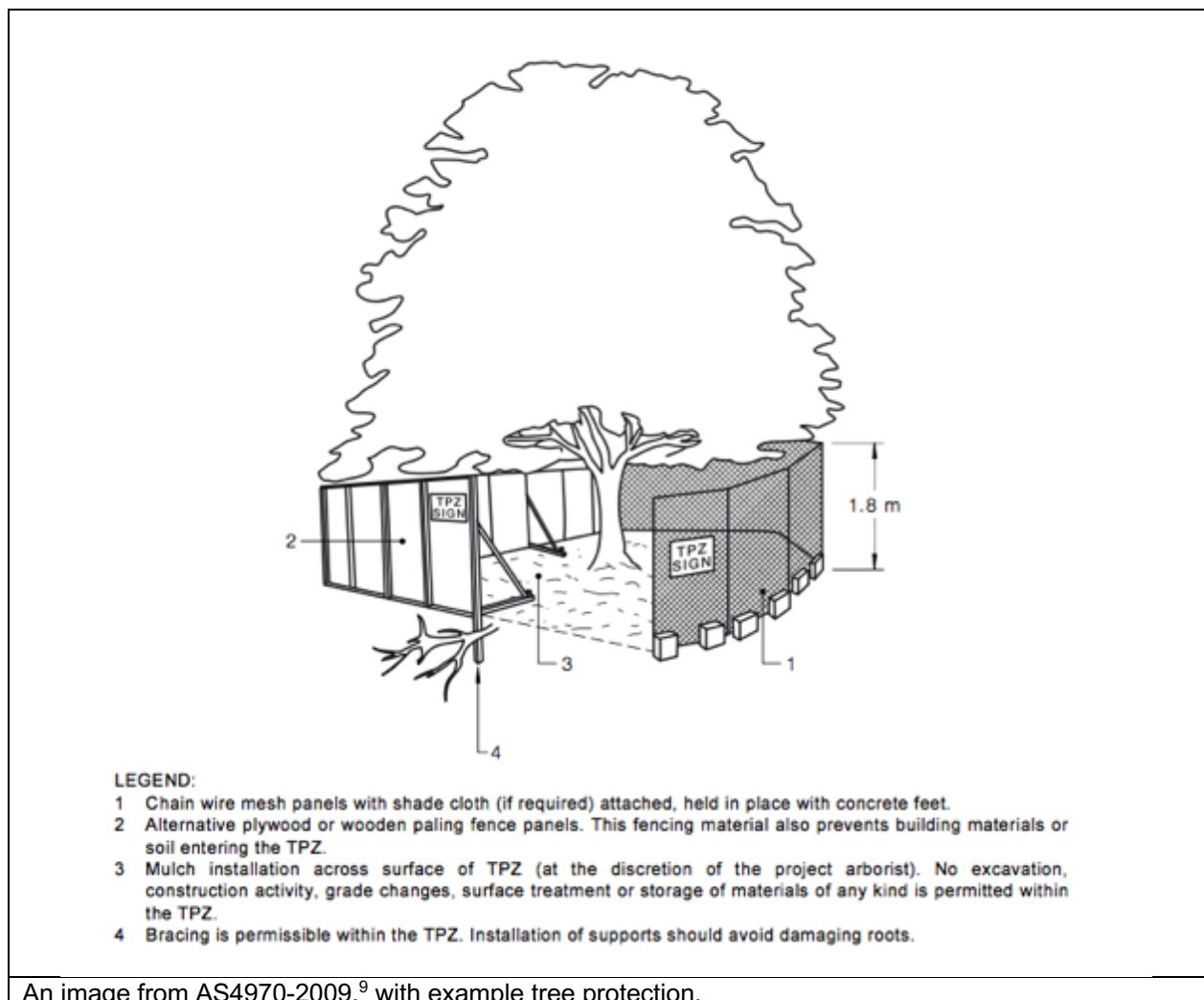
Tree ID	Tree Species	TPZ Radius (m)	SRZ Radius (m)	Recommendations
1	<i>Pittosporum undulatum</i>	2.0	1.6	Retain and protect. Tree protection fencing is to create a combined exclusion zone for tree 1, 2 and 3. The fencing is to run along the top edge of the existing retaining wall adjacent to the trees. Bunting and star pickets will be acceptable as fencing due to the steep topography of the land. TPZ signage is required on the fencing.
2	<i>Lophostemon confertus</i>	7.2	2.8	Retain and protect. See tree protection recommendations for tree 1.

Tree ID	Tree Species	TPZ Radius (m)	SRZ Radius (m)	Recommendations
3	<i>Banksia integrifolia</i>	3.5	2.1	Retain and protect. See tree protection recommendations for tree 1.
4	<i>Glochidion ferdinandi</i>	2.6	1.9	Retain and protect. The temporary site boundary fencing will provide adequate tree protection.
5	<i>Syzygium australe</i>	3.0	2.0	Retain and protect. The temporary site boundary fencing will provide adequate tree protection.
6	<i>Archontophoenix cunninghamiana</i>	2.5	NA	Retain and protect. The temporary site boundary fencing will provide adequate tree protection.
7	<i>Syagrus romanzoffiana</i>	2.5	NA	Retain and protect. The temporary site boundary fencing will provide adequate tree protection.

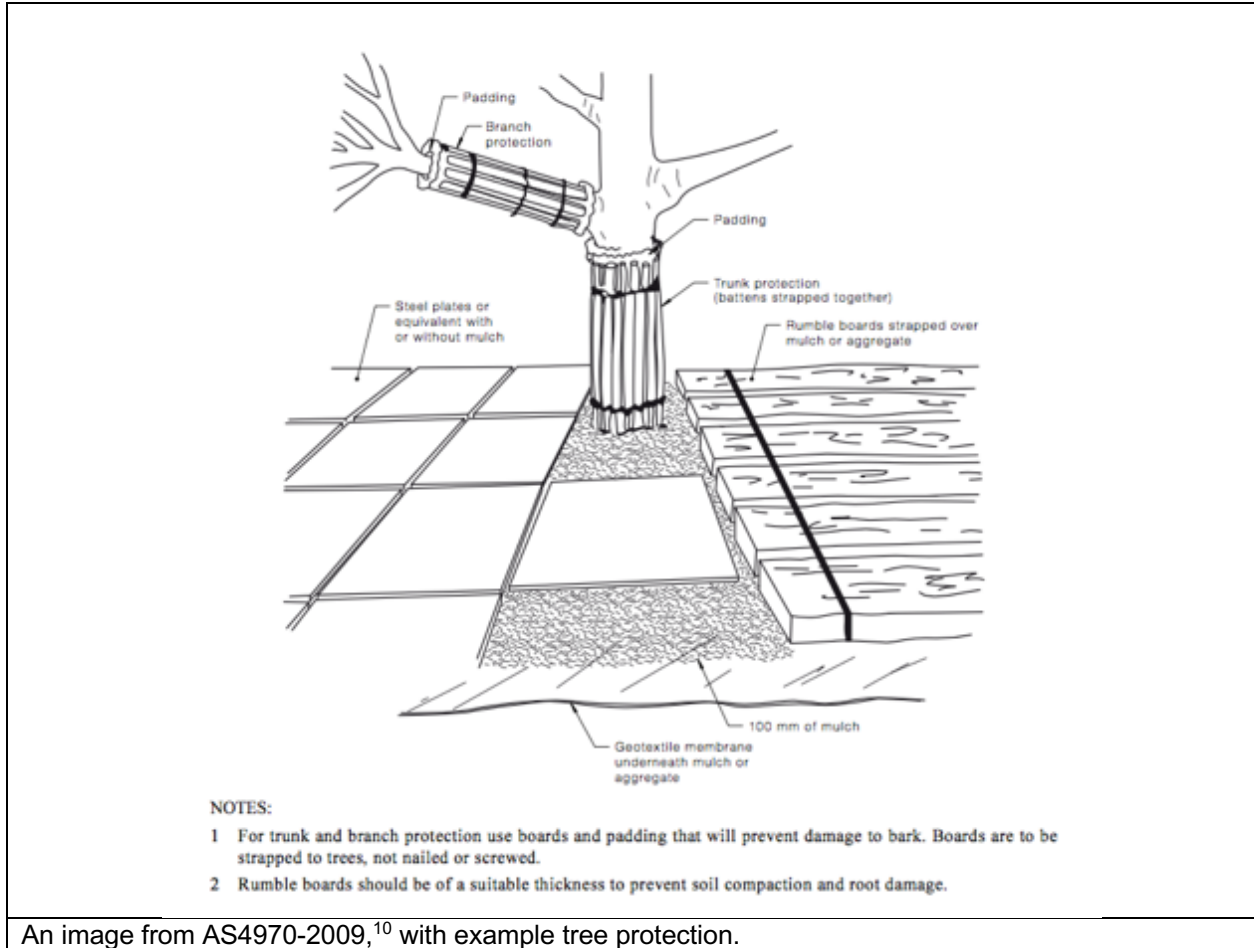
11.6 Tree Protection Specifications:

- 11.6.1 Trunk and Branch Protection: The trunk must be protected by wrapped hessian or similar material to limit damage. Timber planks (50mm x 100mm or similar) should then be placed around tree trunk. The timber planks should be spaced at 100mm intervals, and must be fixed against the trunk with tie wire, or strapping and connections finished or covered to protect pedestrians from injury. The hessian and timber planks must not be fixed to the tree in any instance. The trunk and branch protection shall be installed prior to any work commencing on site and shall be maintained in good condition for the entire development period.
- 11.6.2 Protective fencing: The protective fencing must be constructed of 1.8 metre 'cyclone chainmesh fence'. The fencing should only be removed for the landscaping phase and this should be approved by the project Arborist. Where it is not feasible to install fencing at the specified location due to factors such as restricting access to areas of the site or for constructing new structures, an alternative location and protection specification must be agreed with the project Arborist. Any modifications to the fencing locations must be approved by the project Arborist.
- 11.6.3 TPZ signage: Tree protection signage is to be attached to the protective fencing, displayed in a prominent position and the sign repeated at 10 metres intervals or closer where the fence changes direction. Each sign shall contain in a clearly legible form, the following information:
- Tree protection zone/No access.
 - This fence has been installed to prevent damage to the tree/s and their growing environment both above and below ground. Do not move fencing or enter TPZ without the agreement of the project Arborist.
 - The name, address, and telephone number of the developer/builder and project Arborist
- 11.6.4 Mulch: Any areas of the TPZ located inside the subject site must be mulched to a depth of 75mm with good quality mulch. Mulch must not be built-up around the trunk of the trees as it can cause collar rot.

- 11.6.5 Ground Protection: Ground protection is required to protect the underlying soil structure and root system in areas where it is not practical to restrict access to whole TPZ, while allowing space for construction. Ground protection must consist of good quality composted wood chip/leaf mulch to a depth of between 150-300mm, laid on top of geo textile fabric, with timber/plywood boards overlaid. If vehicles are to be using the area, additional protection will be required such as rumble boards or track mats to spread the weight of the vehicle and avoid load points. Ground protection is to be specified and approved by the project Arborist as required.
- 11.6.6 Temporary irrigation: Temporary irrigation should distribute water evenly throughout the area of the TPZ. The irrigation should be used for at minimum two hours weekly throughout all stages of the development, and may be required a higher frequency, this should be advised by the project Arborist.



⁹ Council Of Standards Australia, *AS4970 Protection of trees on development sites* (2009), page 16.



11.7 Restricted activities inside TPZ: The following activities must be avoided inside the TPZ of all trees to be retained unless approved by the project Arborist. If at any time these activities cannot be avoided an alternative must be agreed in writing with the project Arborist to minimise the impact to the tree.

- A) Machine excavation.
- B) Ripping or cultivation of soil.
- C) Storage of spoil, soil or any such materials
- D) Preparation of chemicals, including preparation of cement products.
- E) Refueling.
- F) Dumping of waste.
- G) Wash down and cleaning of equipment.
- H) Placement of fill.
- I) Lighting of fires.
- J) Soil level changes.
- K) Any physical damage to the crown, trunk, or root system.
- L) Parking of vehicles.

¹⁰ Council Of Standards Australia, *AS4970 Protection of trees on development sites* (2009), page 17.

- 11.8 Demolition:** The demolition of all existing structures inside or directly adjacent to the TPZ of trees to be retained must be undertaken in consultation with the project Arborist. Any machinery is to work from inside the footprint of the existing structures or outside the TPZ, reaching in to minimise soil disturbance and compaction. If it is not feasible to locate demolition machinery outside the TPZ of trees to be retained, ground protection will be required. The demolition should be undertaken inwards into the footprint of the existing structures, sometimes referred to as the ‘top down, pull back’ method.
- 11.9 Excavations:** The project Arborist must supervise and certify that all excavations and root pruning are in accordance with AS4373-2007 and AS4970-2009. For continuous strip footings, first manual excavation is required along the edge of the structures closest to the subject trees. Manual excavation should be a depth of 1 metre (or to unfavourable root growth conditions such as bed rock or heavy clay, if agreed by project Arborist). Next roots must be pruned back in accordance with AS4373-2007. After all root pruning is completed, machine excavation is permitted within the footprint of the structure. For tree sensitive footings, such as pier and beam, all excavations inside the TPZ must be manual. Manual excavation may include the use of pneumatic and hydraulic tools, high-pressure air or a combination of high-pressure water and a vacuum device. No pruning of roots greater 30mm in diameter is to be carried out without approval of the project arborist. All pruning of roots greater than 30mm in diameter must be carried out by a qualified Arborist/Horticulturalist with a minimum AQF level 3. Root pruning is to be a clean cut with a sharp tool in accordance with AS4373 Pruning of amenity trees (2007).¹¹ The tree root is to be pruned back to a branch root if possible. Make a clean cut and leave as small a wound as possible.
- 11.10 Landscaping:** All landscaping works within the TPZ of trees to be retained are to be undertaken in consultation with a consulting Arborist to minimise the impact to trees. General guidance is provided below to minimise the impact of new landscaping to trees to be retained.
- All excavations for landscaping works should be manual and in accordance with section 11.9.
 - Replacement planting for all trees recommended for removal should be incorporated into the landscape plan. It is recommended that at minimum one tree for each tree proposed to be removed are planted to maintain/increase overall canopy cover at the site when mature. Any replacement tree must be selected in accordance with AS2303-2015 Tree stock for landscape use.
 - The location of new plantings inside the TPZ of trees to be retained should be flexible to avoid unnecessary damage to tree roots greater than 40mm in diameter.

¹¹ Council Of Standards Australia, AS 4373 Pruning of amenity trees (2007) page 18

- Level changes should be minimised. The existing ground levels within the landscape areas should not be lowered by more than 50mm or increased by more 100mm without assessment by a consulting Arborist.
 - New retaining walls should be avoided. Where new retaining walls are proposed inside the TPZ of trees to be retained, they should be constructed from tree sensitive material, such as timber sleepers, that require minimal footings/excavations. If brick retaining walls are proposed inside the TPZ, considerer pier and beam type footings to bridge significant roots that are critical to the trees condition. Retaining walls must be located outside the SRZ and sleepers/beams located above existing soil grades.
 - New footpaths and hard surfaces should be minimised, as they can limit the availability of water, nutrients and air to the trees root system. Where they are proposed, they should be constructed on or above existing soil grades to minimise root disturbance and consider using a permeable surface. Footpaths should be located outside the SRZ.
 - Where fill/sub base is used inside the TPZ, fill material should be a coarse granular material that does not restrict the flow of water and air to the root system below. This type of material will also reduce the impact of soil compaction during construction.
 - Any new fencing in the TPZ of trees should constructed carefully to avoid impacting significant roots. The location of fence posts should be flexible to allow for the retention of root greater than 40mm in diameter. The base of fence panels should be located above existing soil grades.
- 11.11 **Underground Services:** Where possible underground services should be located outside the TPZ of trees to be retained. All underground services located inside the TPZ of any tree to be retained must be installed via tree sensitive techniques. This should include either directional drilling methods or manual excavations to minimise the impact to trees identified for retention. No roots greater than 30mm in diameter should be severed during the installation of service pipes unless approved in writing by the project Arborist.
- 11.12 **Sediment and Contamination:** All contamination run off from the development such as but not limited to concrete, sediment and toxic wastes must be prevented from entering the TPZ at all times.
- 11.13 **Tree Wounding/Injury:** Any wounding or injury that occurs to a tree during the construction process will require the project Arborist to be contacted for an assessment of the injury and provide mitigation/remediation advice. It is generally accepted that trees may take many years to decline and eventually die from root damage. All repair work is to be carried out by the project Arborist, at the contractor's expense.
- 11.14 **Completion of Development Works:** After all construction works are complete the project Arborist should assess that the subject trees have been retained in the same condition and vigour. If changes to condition are identified the project Arborist should provide recommendations for remediation.

12. CONSTRUCTION HOLD POINTS FOR TREE PROTECTION

12.1 Hold Points: Below is a sequence of hold points requiring project Arborist certification throughout the development process. It provides a list of hold points that must be checked and certified. All certification must be provided in written format upon completion of the development. The final certification must include details of any instructions for remediation undertaken during the development. The principal contractor should be responsible for implementing all tree protection requirements.

Hold Point	Stage	Date Completed and Signature of Project Arborist Responsible
Project Arborist to hold pre construction site meeting with principal contractor to discuss methods and importance of tree protection measures and resolve any issues in relation to feasibility of tree protection requirements that may arise. Project Arborist to mark all trees approved for removal under DA consent.	Prior to development work commencing	
Project Arborist to assess and certify that tree protection has been installed in accordance with AS4970-2009 prior to works commencing at site.	Prior to development work commencing.	
In accordance with AS4970-2009 the project arborist should carryout regular site inspections to ensure works are carried out in accordance with the recommendations. Site inspections are recommended on a monthly frequency.	On-going throughout the development	
The removal of existing structures inside the TPZ of any tree to be retained, such as the existing buildings and hard surfaces must be supervised by the project Arborist.	Demolition	
Project Arborist to supervise all manual excavations and root pruning inside the TPZ of any tree to be retained. Project Arborist to approve all pruning of roots greater than 30mm inside TPZ. All root pruning of roots greater than 30mm in diameter must be carried out by a qualified Arborist/Horticulturalist with a minimum AQF level 3.	Construction	
Project Arborist to certify that all underground services including storm water inside TPZ of any tree to be retained have been installed in accordance with AS4970-2009.	Construction	
Project Arborist to approve relocation of tree protection for landscaping. All landscaping works within the TPZ of trees to be retained are to be undertaken in consultation with the project Arborist to minimise the impact to trees.	Construction/ Landscape	
After all demolition, construction and landscaping works are complete the project Arborist should assess that the subject trees have been retained in the same condition and vigour. If changes to condition are identified the project Arborist should provide recommendations for remediation.	Upon completion of development	

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14. LIST OF APPENDICES

The following are included in the appendices:

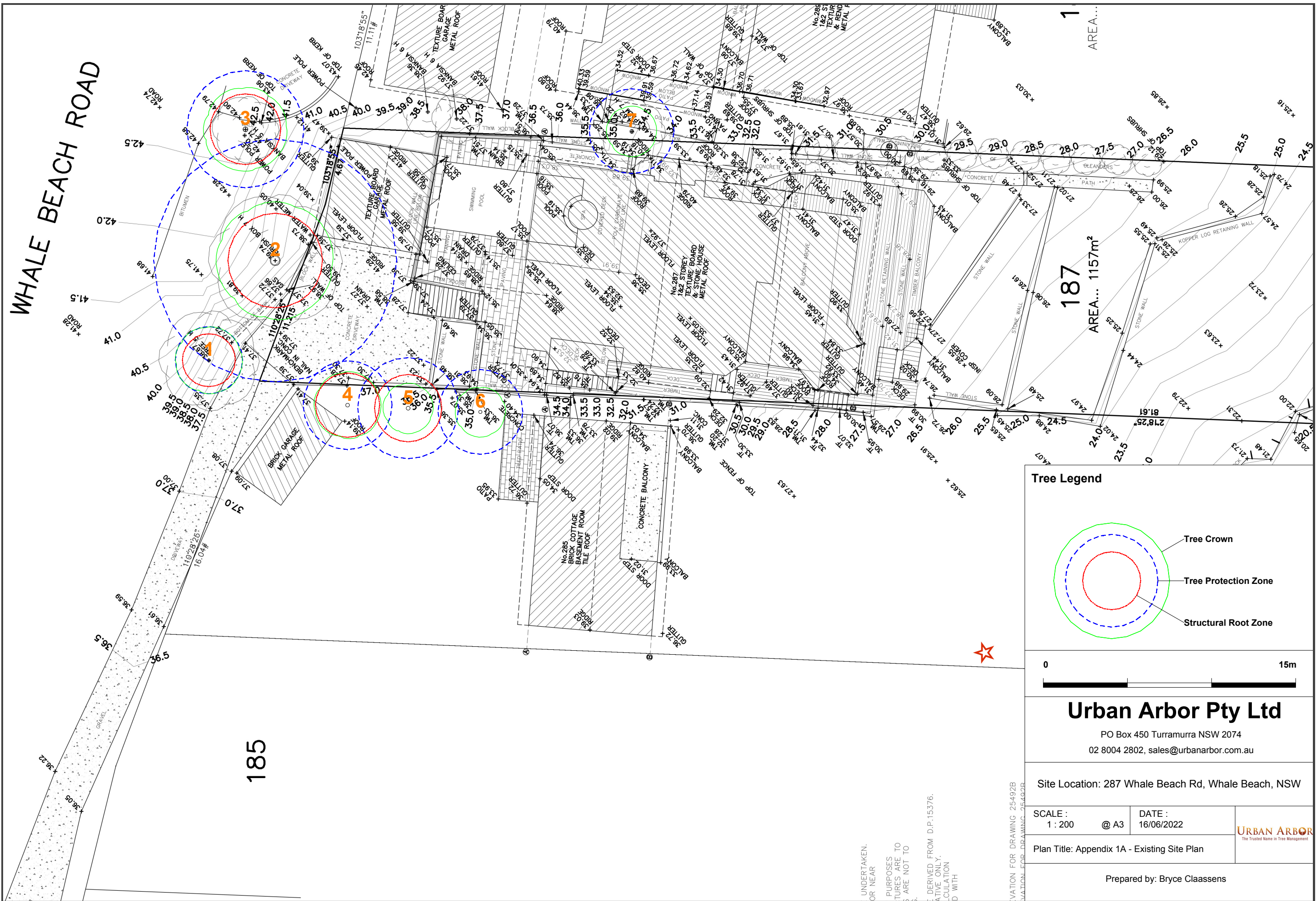
- Appendix 1A: Existing Site Plan
- Appendix 1B: Proposed Site Plan
- Appendix 2: Tree Inspection Schedule
- Appendix 3: Further Information of Methodology



Bryce Claassens
Diploma of Arboriculture (AQF5)
Cert III Landscape Construction
Member Arboriculture Australia
Quantified Tree Risk Assessment (QTRA)
ISA Tree Risk Assessment Qualification (TRAQ)



Jack Williams
Diploma of Arboriculture (AQF5)
FdSc Arboriculture
Registered Consulting Arborist No. 2556
ISA Member No. 228863



WHALE BEACH ROAD

185

187
AREA... 1157m²

Tree Legend

- Tree Crown
- Tree Protection Zone
- Structural Root Zone

0 15m

Urban Arbor Pty Ltd
 PO Box 450 Turrumurra NSW 2074
 02 8004 2802, sales@urbanarbor.com.au

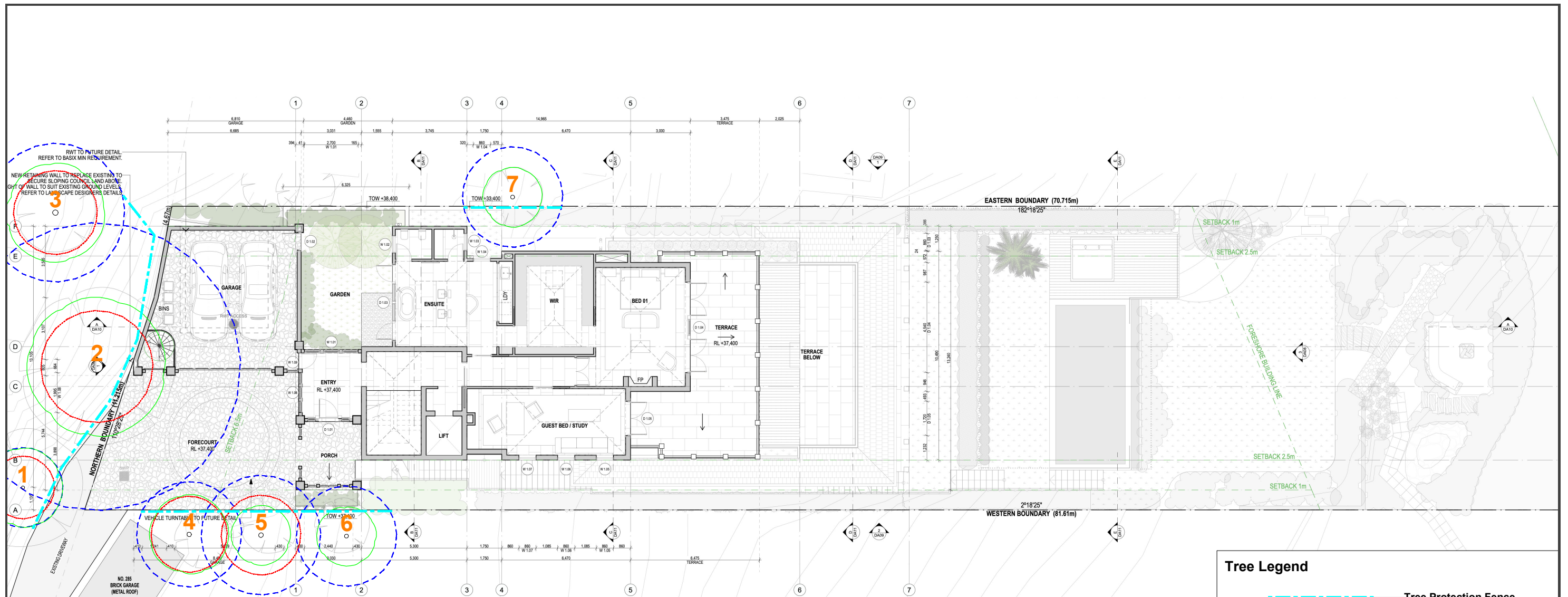
Site Location: 287 Whale Beach Rd, Whale Beach, NSW

SCALE : 1 : 200	@ A3	DATE : 16/06/2022
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Prepared by: Bryce Claassens

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ATION FOR DRAWING 25492B





Tree Legend

- Tree Protection Fence
- Tree Crown
- Tree Protection Zone
- Structural Root Zone

0 15m

Urban Arbor Pty Ltd
 PO Box 450 Turrumurra NSW 2074
 02 8004 2802, sales@urbanarbor.com.au

Site Location: 287 Whale Beach Rd, Whale Beach, NSW

SCALE : 1 : 200 @ A3	DATE : 03/12/2024	URBAN ARBOR The Trusted Name in Tree Management
Plan Title: Appendix 1B - Proposed Site Plan		

Prepared by: Bryce Claassens

Appendix 2 - Tree Inspection Schedule

Tree ID	Common Name	Botanical Name	Age Class	Height (m)	Canopy Spread Radius (m)	Stem 1	Stem 2	Stem 3	Stem 4	Stem 5	DBH (mm)	DAB (mm)	Health	Structure	Amenity Value	SULE	Retention Value	TPZ Radius (m)	SRZ Radius (m)	Notes
1	Sweet Pittosporum	<i>Pittosporum undulatum</i>	Semi-mature	4	2	130	100				164	170	Good	Good	Low	2. Medium	Z3	2.0	1.6	Located within the adjoining property on the embankment. Exempt species.
2	Brushbox	<i>Lophostemon confertus</i>	Semi-mature	7	3.5	150	150	370	290	310	602	680	Good	Fair	Medium	2. Medium	A1	7.2	2.8	Located within the adjoining property on the embankment. Asymmetric crown shape.
3	Coast Banksia	<i>Banksia integrifolia</i>	Mature	6	2.5	290					290	340	Good	Good	Medium	1. Long	A1	3.5	2.1	Located within the adjoining property on the embankment.
4	Cheese Tree	<i>Glochidion ferdinandi</i>	Semi-mature	4	2	220					220	270	Good	Good	Medium	3. Short	Z4	2.6	1.9	The tree has not been identified on the received plans. Located within the adjoining property. DBH was estimated. Apical dieback, in decline.
5	Brush Cherry	<i>Syzygium australe</i>	Semi-mature	5	1.5	250					250	300	Good	Good	Medium	1. Long	A1	3.0	2.0	The tree has not been identified on the received plans. Located within the adjoining property. DBH was estimated.
6	Bangalow Palm	<i>Archontophoenix cunninghamiana</i>	Semi-mature	5	1.5	160					160	NA	Good	Good	Low	1. Long	Z3	2.5	NA	The tree has not been identified on the received plans. Located within the adjoining property. DBH was estimated. Exempt species.
7	Cocos Palm	<i>Syagrus romanzoffiana</i>	Mature	7	1.5	200					200	NA	Good	Good	Low	2. Medium	Z3	2.5	NA	Located within the adjoining property. DBH was estimated. Exempt species.

Explanatory Notes

Tree Species - Common name followed by botanical name. Where species is unknown it is indicated with an 'spp'.

Age Class - Over mature (OM), Mature (M), Early mature (EM), Semi mature (SM), Young (Y).

Diameter at Breast Height (DBH) - Measured with a DBH tape or estimated at approximately 1.4m above ground level.

Diameter Above root Buttresses (DAB): Measured with a DBH tape or estimated above root buttresses (DAB) for calculating the SRZ.

Height - Height from ground level to top of crown. All heights are estimated unless otherwise indicated.

Spread - Radius of crown at widest section. All tree spreads are estimated unless otherwise indicated.

Tree Protection Zone (TPZ) - DBH x 12. Measured in radius from the centre of the trunk. Rounded to nearest 0.1m. For monocots, the TPZ is set at 1 metre outside the crown projection.

Structural Root Zone (SRZ) - $(DAB \times 50)^{0.42} \times 0.64$. Measured in radius from the centre of the trunk. Rounded up to nearest 0.1m.

Health - Good/Fair/Poor/Dead

Structure - Good/Fair/Poor

Safe Useful Life Expectancy (SULE) - 1. Long (40+years), 2. Medium (15 - 40 years), 3. Short (5 - 15 years), 4. Remove (under 5 years), 5. Small/young.

Amenity Value - Very High/High/Medium/Low/Very Low.

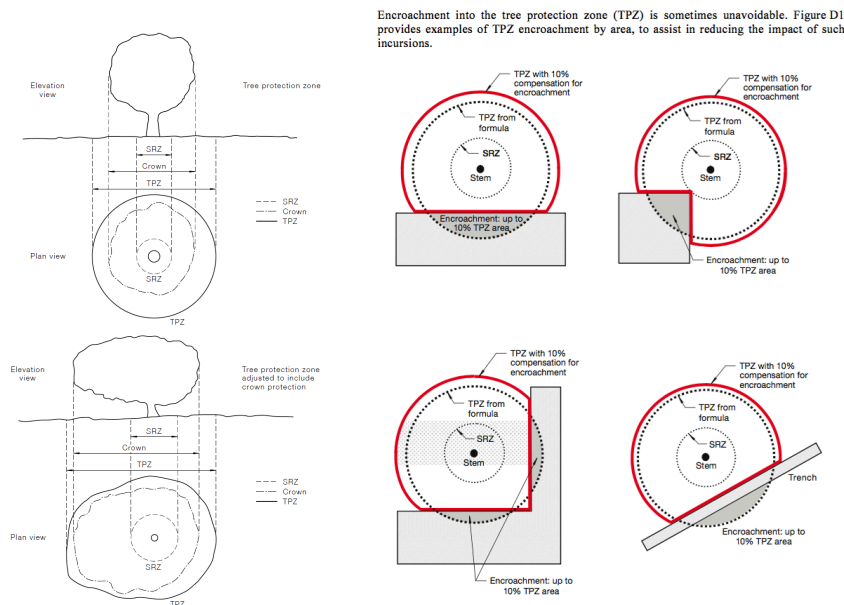
Retention Value: Tree AZ, see appendix 3 for categories.

Appendix 3 - Further Information of Methodology

1. **Tree Protection Zone:** The tree protection zone (TPZ) is the principle means of protecting trees on development sites. The TPZ is a combination of the root area and crown area requiring protection. It is an area isolated from construction disturbance, so that the tree remains viable. The radius of the TPZ is calculated for each tree by multiplying its DBH x 12. The derived value is measured in radius from the centre of the stem/trunk at ground level. A TPZ should not be less than 2.0 metres nor greater than 15 metres (except where crown protection is required). It is commonly observed that tree roots will extend significant further than the indicative TPZ, however the TPZ is an area identified AS4970-2009 to be extent where root loss or disturbance will generally not impact the viability of the tree. The TPZ is identified as a restricted area to prevent damage to trees either above or below ground during a development. Where trees are intended to be retained proposed developments must provide an adequate TPZ around trees. The TPZ is set aside for the tree's root zone, trunk and crown and it is essential for the stability and longevity of the tree. The tree protection also incorporates the SRZ (see below for more information about the SRZ). I have calculated the TPZ of palms, other monocots, cycads and tree ferns at one metre outside the crown projection. See appendices for additional information about the TPZ including information about calculating the TPZ and examples of TPZ encroachment.

Minor encroachment into TPZ: Sometimes encroachment into the TPZ is unavoidable. Encroachment includes but is not limited to activities such as excavation, compacted fill and machine trenching. Minor encroachment of up to 10% of the overall TPZ area is normally considered acceptable, providing there is space adjacent to the TPZ for the tree to compensate and the tree is displaying adequate vigour/health to tolerate changes to its growing environment.

Major encroachment into TPZ: Where encroachment of more than 10% of the overall TPZ area is proposed the project Arborist must investigate and demonstrate that the tree will remain in a viable condition. In some cases, tree sensitive construction methods such as pier and beam footings, suspended slabs, or cantilevered sections, can be utilised to allow additional encroachment into the TPZ by bridging over roots and minimising root disturbance. Major encroachment is only possible if it can be undertaken without severing significant size roots, or if it can be demonstrated that significant roots will not be impacted.



2. **Structural Root Zone:** This is the area around the base of a tree required for the trees stability in the ground. An area larger than the SRZ always need to be maintained to preserve a viable tree as it will only have a minor effect on the trees vigour and health. There are several factors that determine the SRZ which include height, crown area, soil type and soil moisture. It can also be influenced by other factors such as natural or built structures. Generally work within the SRZ should be avoided.

An indicative SRZ radius can be determined from the diameter of the trunk measured immediately above the root buttresses. Root investigation could provide more information about the extent of the SRZ. The following formula should be used to calculate the SRZ.

$$\text{SRZ radius} = (D \times 50)^{0.42} \times 0.64 \quad (D = \text{Diameter above root buttress}).$$

3. **Tree Age Class:** If can be difficult to determine the age of a tree without carrying out invasive tests that may damage the tree, so we have categorised there likely age class which is defined below;

- Young/Newly planted: Young or recently planted tree.
- Semi Mature: Up to 20% of the usual life expectancy for the species.
- Early mature/Mature: Between 20%-80% of the usual life expectancy for the species.
- Over mature: Over 80% of the usual life expectancy for the species.
- Dead: Tree is dead or almost dead.

4. **Health/Physiological Condition:** Below are examples conditions used when assigning a category for tree health.

<u>Category</u>	<u>Example condition</u>	<u>Summary</u>
Good	<ul style="list-style-type: none"> • Crown has good foliage density for species. • Tree shows no or minimal signs of pathogens that are unlikely to have an effect on the health of the tree. • Tree is displaying good vigour and reactive growth development. 	<ul style="list-style-type: none"> • The tree is in above average health and condition and no remedial works are required.
Fair	<ul style="list-style-type: none"> • The tree may be starting to dieback or have over 25% deadwood. • Tree may have slightly reduced crown density or thinning. • There may be some discolouration of foliage. • Average reactive growth development. • There may be early signs of pathogens which may further deteriorate the health of the tree. • There may be epicormic growth indicating increased levels of stress within the tree. 	<ul style="list-style-type: none"> • The tree is in below average health and condition and may require remedial works to improve the trees health.
Poor	<ul style="list-style-type: none"> • The tree may be in decline, have extensive dieback or have over 30% deadwood. • The canopy may be sparse or the leaves may be unusually small for species. • Pathogens or pests are having a significant detrimental effect on the tree health. 	<ul style="list-style-type: none"> • The tree is displaying low levels of health and removal or remedial works may be required.
Dead	<ul style="list-style-type: none"> • The tree is dead or almost dead. 	<ul style="list-style-type: none"> • The tree should generally be removed.

5. **Structural Condition:** Below are examples conditions used when assigning a category for structural condition.

<u>Category</u>	<u>Example condition</u>	<u>Summary</u>
Good	<ul style="list-style-type: none"> • Branch unions appear to be strong with no sign of defects. • There are no significant cavities. • The tree is unlikely to fail in usual conditions. • The tree has a balanced crown shape and form. 	<ul style="list-style-type: none"> • The tree is considered structurally good with well developed form.
Fair	<ul style="list-style-type: none"> • The tree may have minor structural defects within the structure of the crown that could potentially develop into more significant defects. • The tree may have a cavity that is currently unlikely to fail but may deteriorate in the future. • The tree is an unbalanced shape or leans significantly. • The tree may have minor damage to its roots. • The root plate may have moved in the past but the tree has now compensated for this. • Branches may be rubbing or crossing. 	<ul style="list-style-type: none"> • The identified defects are unlikely cause major failure. • Some branch failure may occur in usual conditions. • Remedial works can be undertaken to alleviate potential defects.
Poor	<ul style="list-style-type: none"> • The tree has significant structural defects. • Branch unions may be poor or weak. • The tree may have a cavity or cavities with excessive levels of decay that could cause catastrophic failure. • The tree may have root damage or is displaying signs of recent movement. • The tree crown may have poor weight distribution which could cause failure. 	<ul style="list-style-type: none"> • The identified defects are likely to cause either partial or whole failure of the tree.

6. **Amenity Value:** To determine the amenity value of a tree we assess a number of different factors, which include but are not limited to the information below.

- The visibility of the tree to adjacent sites.
- The relationship between the tree and the site.
- Whether the tree is protected by any statutory conditions.
- The habitat value of the tree.
- Whether the tree is considered a noxious weed species.

The amenity value is rated using one of the following values.

- Very High
- High
- Moderate
- Low
- Very Low

7. **Safe Useful Life Expectancy (SULE), (Barrel, 2001):** A trees safe useful life expectancy is determined by assessing a number of different factors including the health and vitality, estimated age in relation to expected life expectancy for the species, structural defects, and remedial works that could allow retention in the existing situation.

Category	Description
1. Long - Over 40 years	(a) Structurally sound trees located in positions that can accommodate future growth. (b) Trees that could be made suitable for retention in the long term by remedial tree care. (c) Trees of special significance for historical, commemorative or rarity reasons that would warrant extraordinary efforts to secure their long term retention.
2. Medium - 15 to 40 years	(a) Trees that may only live between 15 and 40 more years. (b) Trees that could live for more than 40 years but may be removed for safety or nuisance reasons. (c) Trees that could live for more than 40 years but may be removed to prevent interference with more suitable individuals or to provide space for new planting. (d) Trees that could be made suitable for retention in the medium term by remedial tree care.
3. Short - 5 to 15 years	(a) Trees that may only live between 5 and 15 more years. (b) Trees that could live for more than 15 years but may be removed for safety or nuisance reasons. (c) Trees that could live for more than 15 years but may be removed to prevent interference with more suitable individuals or to provide space for new planting. (d) Trees that require substantial remedial tree care and are only suitable for retention in the short term.
4. Remove - Under 5 years	(a) Dead, dying, suppressed or declining trees because of disease or inhospitable conditions. (b) Dangerous trees because of instability or recent loss of adjacent trees. (c) Dangerous trees because of structural defects including cavities, decay, included bark, wounds or poor form. (d) Damaged trees that are clearly not safe to retain. (e) Trees that could live for more than 5 years but may be removed to prevent interference with more suitable individuals or to provide space for new planting. (f) Trees that are damaging or may cause damage to existing structures within 5 years. (g) Trees that will become dangerous after removal of other trees for the reasons given in (a) to (f). (h) Trees in categories (a) to (g) that have a high wildlife habitat value and, with appropriate treatment, could be retained subject to regular review.
5. Small/Young	(a) Small trees less than 5m in height. (b) Young trees less than 15 years old but over 5m in height. (c) Formal hedges and trees intended for regular pruning to artificially control growth.

8. **Root investigations:** The root investigations should identify roots greater than 30mm in diameter that are located along the edge of the structures footprint or in the location of footings. Root investigations must be carried out using non-invasive methods (manual excavations). Any excavations for the root investigations must carried out manually to avoid damaging the roots during excavations. Manual excavation may include the use of a high-pressure air/air knife, or a combination of high-pressure water and a vacuum device. When hand excavating carefully work around roots retaining as many as possible. Take care to not fray, wound, or cause damage to any roots during excavations as this may cause decay or infection from pathogens. It is essential that exposed roots are kept moist and the excavation back filled as soon as possible. The root investigations should be carried out by a qualified Arborist minimum AQF3. Once roots are exposed, a visual assessment can be carried out by a consulting Arborist to evaluate the potential impact of the proposed root loss on the health and stability of the tree. A root map/report should be prepared identifying the findings of investigations, including photographs as supporting evidence in the report.

9. **Retention Value:** The system I have used to award the retention value is Tree AZ. Tree AZ is used to identify higher value trees worthy of being a constraint to development and lower value trees that should generally not be a constraint to the development. The table below provides a brief description of each category.

TreeAZ Categories (Version 10.04-ANZ)

CAUTION: TreeAZ assessments must be carried out by a competent person qualified and experienced in arboriculture. The following category descriptions are designed to be a brief field reference and are not intended to be self-explanatory. They must be read in conjunction with the most current explanations published at www.TreeAZ.com.

Category Z: Unimportant trees not worthy of being a material constraint

Local policy exemptions: Trees that are unsuitable for legal protection for local policy reasons including size, proximity and species

Z1	Young or insignificant small trees, i.e. below the local size threshold for legal protection, etc
Z2	Too close to a building, i.e. exempt from legal protection because of proximity, etc
Z3	Species that cannot be protected for other reasons, i.e. scheduled noxious weeds, out of character in a setting of acknowledged importance, etc

High risk of death or failure: Trees that are likely to be removed within 10 years because of acute health issues or severe structural failure

Z4	Dead, dying, diseased or declining
Z5	Severe damage and/or structural defects where a high risk of failure <u>cannot</u> be satisfactorily reduced by reasonable remedial care, i.e. cavities, decay, included bark, wounds, excessive imbalance, overgrown and vulnerable to adverse weather conditions, etc
Z6	Instability, i.e. poor anchorage, increased exposure, etc
Excessive nuisance: Trees that are likely to be removed within 10 years because of unacceptable impact on people	
Z7	Excessive, severe and intolerable inconvenience to the extent that a locally recognized court or tribunal would be likely to authorize removal, i.e. dominance, debris, interference, etc
Z8	Excessive, severe and intolerable damage to property to the extent that a locally recognized court or tribunal would be likely to authorize removal, i.e. severe structural damage to surfacing and buildings, etc

Good management: Trees that are likely to be removed within 10 years through responsible management of the tree population

Z9	Severe damage and/or structural defects where a high risk of failure can be <u>temporarily</u> reduced by reasonable remedial care, i.e. cavities, decay, included bark, wounds, excessive imbalance, vulnerable to adverse weather conditions, etc
Z10	Poor condition or location with a low potential for recovery or improvement, i.e. dominated by adjacent trees or buildings, poor architectural framework, etc
Z11	Removal would benefit better adjacent trees, i.e. relieve physical interference, suppression, etc
Z12	Unacceptably expensive to retain, i.e. severe defects requiring excessive levels of maintenance, etc

NOTE: Z trees with a high risk of death/failure (Z4, Z5 & Z6) or causing severe inconvenience (Z7 & Z8) at the time of assessment and need an urgent risk assessment can be designated as ZZ. ZZ trees are likely to be unsuitable for retention and at the bottom of the categorization hierarchy. In contrast, although Z trees are not worthy of influencing new designs, urgent removal is not essential and they could be retained in the short term, if appropriate.

Category A: Important trees suitable for retention for more than 10 years and worthy of being a material constraint

A1	No significant defects and could be retained with minimal remedial care
A2	Minor defects that could be addressed by remedial care and/or work to adjacent trees
A3	Special significance for historical, cultural, commemorative or rarity reasons that would warrant extraordinary efforts to retain for more than 10 years
A4	Trees that may be worthy of legal protection for ecological reasons (Advisory requiring specialist assessment)

NOTE: Category A1 trees that are already large and exceptional, or have the potential to become so with minimal maintenance, can be designated as AA at the discretion of the assessor. Although all A and AA trees are sufficiently important to be material constraints, AA trees are at the top of the categorization hierarchy and should be given the most weight in any selection process.

TreeAZ is designed by Barrell Tree Consultancy (www.barrelltreecare.co.uk) and is reproduced with their permission

Glossary of Terms

Abiotic - Pertaining to non-living agents; e.g. environmental factors

Adventitious shoots - Shoots that develop other than from apical, axillary or dormant buds; see also 'epicormic'

Anchorage - The system whereby a tree is fixed within the soil, involving cohesion between roots and soil and the development of a branched system of roots which withstands wind and gravitational forces transmitted from the aerial parts of the tree

Bark - A term usually applied to all the tissues of a woody plant lying outside the vascular cambium, thus including the phloem, cortex and periderm; occasionally applied only to the periderm or the phellem

Branch:

- **Primary**. A first order branch arising from a stem
- **Lateral**. A second order branch, subordinate to a primary branch or stem and bearing sub-lateral branches
- **Sub-lateral**. A third order branch, subordinate to a lateral or primary branch, or stem and usually bearing only twigs

Branch collar - A visible swelling formed at the base of a branch whose diameter growth has been disproportionately slow compared to that of the parent stem; a term sometimes applied also to the pattern of growth of the cells of the parent stem around the branch base

Brown-rot - A type of wood decay in which cellulose is degraded, while lignin is only modified

Buckling - An irreversible deformation of a structure subjected to a bending load

Buttress zone - The region at the base of a tree where the major lateral roots join the stem, with buttress-like formations on the upper side of the junctions

Cambium - Layer of dividing cells producing xylem (woody) tissue internally and phloem (bark) tissue externally

Canker - A persistent lesion formed by the death of bark and cambium due to colonisation by fungi or bacteria

Compartmentalisation - The confinement of disease, decay or other dysfunction within an anatomically discrete region of plant tissue, due to passive and/or active defences operating at the boundaries of the affected region

Compressive loading - Mechanical loading which exerts a positive pressure; the opposite to tensile loading

Condition - An indication of the physiological condition of the tree. Where the term 'condition' is used in a report, it should not be taken as an indication of the stability of the tree

Crown/Canopy - The main foliage bearing section of the tree

Crown lifting - The removal of limbs and small branches to a specified height above ground level

Crown thinning - The removal of a proportion of secondary branch growth throughout the crown to produce an even density of foliage around a well-balanced branch structure

Crown reduction/shaping - A specified reduction in crown size whilst preserving, as far as possible, the natural tree shape

DAB (Diameter Above Buttress) - Trunk diameter measured above the root buttress

Defect - In relation to tree hazards, any feature of a tree which detracts from the uniform distribution of mechanical stress, or which makes the tree mechanically unsuited to its environment

Dieback - The death of parts of a woody plant, starting at shoot-tips or root-tips

Disease - A malfunction in or destruction of tissues within a living organism, usually excluding mechanical damage; in trees, usually caused by pathogenic micro-organisms

Dominance - In trees, the tendency for a leading shoot to grow faster or more vigorously than the lateral shoots; also the tendency of a tree to maintain a taller crown than its neighbours

Dormant bud - An axial bud which does not develop into a shoot until after the formation of two or more annual wood increments; many such buds persist through the life of a tree and develop only if stimulated to do so

Dysfunction - In woody tissues, the loss of physiological function, especially water conduction, in sapwood

DBH (Diameter at Breast Height) - Stem diameter measured at a height of 1.4 metres or the nearest measurable point. Where measurement at a height of 1.4 metres is not possible, another height may be specified

Deadwood - Branch or stem wood bearing no live tissues. Retention of deadwood provides valuable habitat for a wide range of species and seldom represents a threat to the health of the tree. Removal of deadwood can result in the ingress of decay to otherwise sound tissues and climbing operations to access deadwood can cause significant damage to a tree. Removal of deadwood is generally recommended only where it represents an unacceptable level of hazard

Epicormic shoot - A shoot having developed from a dormant or adventitious bud and not having developed from a first year shoot

Flush-cut - A pruning cut which removes part of the branch bark ridge and or branch-collar

Girdling root - A root which circles and constricts the stem or roots possibly causing death of phloem and/or cambial tissue

Habit - The overall growth characteristics, shape of the tree and branch structure

Hazard beam - An upwardly curved part of a tree in which strong internal stresses may occur without being reduced by adaptive growth; prone to longitudinal splitting

Heartwood/false-heartwood - The dead central wood that has become dysfunctional as part of the aging processes and being distinct from the sapwood

Heave - A term mainly applicable to a shrinkable clay soil which expands due to re-wetting after the felling of a tree which was previously extracting moisture from the deeper layers; also the lifting of pavements and other structures by root diameter expansion; also the lifting of one side of a wind-rocked root-plate

Included bark (ingrown bark) - Bark of adjacent parts of a tree (usually forks, acutely joined branches or basal flutes) which is in face-to-face contact

Lever arm - A mechanical term denoting the length of the lever represented by a structure that is free to move at one end, such as a tree or an individual branch

Lignin - The hard, cement-like constituent of wood cells; deposition of lignin within the matrix of cellulose microfibrils in the cell wall is termed Lignification

Lions tailing - A term applied to a branch of a tree that has few if any side-branches except at its end, and is thus liable to snap due to end-loading

Loading - A mechanical term describing the force acting on a structure from a particular source; e.g. the weight of the structure itself or wind pressure

Mycelium - The body of a fungus, consisting of branched filaments (hyphae)

Occlusion - The process whereby a wound is progressively closed by the formation of new wood and bark around it

Pathogen - A micro-organism which causes disease in another organism

Photosynthesis - The process whereby plants use light energy to split hydrogen from water molecules, and combine it with carbon dioxide to form the molecular building blocks for synthesizing carbohydrates and other biochemical products

Probability - A statistical measure of the likelihood that a particular event might occur

Pruning - The removal or cutting back of twigs or branches, sometimes applied to twigs or small branches only, but often used to describe most activities involving the cutting of trees or shrubs

Radial - In the plane or direction of the radius of a circular object such as a tree stem

Reactive Growth/Reaction Wood - Production of woody tissue in response to altered mechanical loading; often in response to internal defect or decay and associated strength loss (cf. adaptive growth)

Ring-barking - The removal of a ring of bark and phloem around the circumference of a stem or branch, normally resulting in an inability to transport photosynthetic assimilates below the area of damage. Almost inevitably results in the eventual death of the affected stem or branch above the damage

Root-collar - The transitional area between the stem/s and roots

Sapwood - Living xylem tissues

Soft-rot - A kind of wood decay in which a fungus degrades cellulose within the cell walls, without any general degradation of the wall as a whole

Stem/s - Principle above-ground structural component(s) of a tree that supports its branches

Stress - In plant physiology, a condition under which one or more physiological functions are not operating within their optimum range, for example due to lack of water, inadequate nutrition or extremes of temperature

SRZ (Structural Root Zone) - The area around the base of the tree required for the trees stability in the ground

Subsidence - In relation to soil or structures resting in or on soil, a sinking due to shrinkage when certain types of clay soil dry out, sometimes due to extraction of moisture by tree roots

Taper - In stems and branches, the degree of change in girth along a given length

Targets - In tree risk assessment (with slight misuse of normal meaning) persons or property or other things of value which might be harmed by mechanical failure of the tree or by objects falling from it

Topping - In arboriculture, the removal of the crown of a tree, or of a major proportion of it

Transpiration - The evaporation of moisture from the surface of a plant, especially via the stomata of leaves; it exerts a suction which draws water up from the roots and through the intervening xylem cells

TPZ (Tree Protection Zone) - A specified area above and below ground and at a given distance from the trunk set aside for the protection of a tree's roots and crown to provide for the viability and stability of a tree to be retained where it is potentially subject to damage by development

Understory - This layer consists of younger individuals of the dominant trees, together with smaller trees and shrubs which are adapted to grow under lower light conditions

Veteran tree - Tree that, by recognised criteria, shows features of biological, cultural or aesthetic value that are characteristic of, but not exclusive to, individuals surviving beyond the typical age range for the species concerned. These characteristics might typically include a large girth, signs of crown retrenchment and hollowing of the stem

Vigour - The expression of carbohydrate expenditure to growth (in trees)

White-rot - A range of kinds of wood decay in which lignin, usually together with cellulose and other wood constituents, is degraded

Wind exposure - The degree to which a tree or other object is exposed to wind, both in terms of duration and velocity

Wind pressure - The force exerted by a wind on a particular object

Windthrow - The blowing over of a tree at its roots