GEOTECHNICAL RISK MANAGEMENT POLICY FOR PITTWATER

FORM NO. 1 – To be submitted with Development Application

Development Application		Johanna Featherstone				
	Name	e of Applicant				
Address of site	5 Northview Road, Palm	Beach				
The following checklist covers the minimum requirements to be addressed in a Geotechnical Risk Declaration made by geotechnical engineer or engineering geologist or coastal engineer (where applicable) as part of a geotechnical report						
, Ben White		technical Group Pty Ltd				
(Insert Name)	(Tradi	ng or Company Name)				
engineer as defined by th	e Geotechnical Risk Managemen sue this document and to certify the	at I am a geotechnical engineer or engineering geologist or coastal t Policy for Pittwater - 2009 and I am authorised by the above at the organisation/company has a current professional indemnity				
: Please mark appropriate	box					
		eferenced below in accordance with the Australia Geomechanics (AGS 2007) and the Geotechnical Risk Management Policy for				
am willing to te accordance with		Geotechnical Report referenced below has been prepared in ety's Landslide Risk Management Guidelines (AGS 2007) and the - 2009				
have examined the with Section 6.0 cassessment for Pittwater - 2009 a	•					
Application only	Application only involves Minor Development/Alteration that does not require a Geotechnical Report or Risk Assessment and hence my Report is in accordance with the Geotechnical Risk Management Policy for Pittwater - 2009					
have examined the site and the proposed development/alteration is separate from and is not affected by a Geotechnical Hazard and does not require a Geotechnical Report or Risk Assessment and hence my Report is in accordance with the Geotechnical Risk Management Policy for Pittwater - 2009 requirements. have provided the coastal process and coastal forces analysis for inclusion in the Geotechnical Report						
Geotechnical Report Deta	ails:					
	technical Report 5 Northview R	oad, Palm Beach				
Author: BEN WH	HITE					
Author's Compan	y/Organisation: WHITE GEOTECH	NICAL GROUP PTY LTD				
Documentation which rel	ate to or are relied upon in repor	t preparation:				
		dslide Risk Management March 2007.				
White Geote	chnical Group company a	rchives.				
am aware that the above Geotechnical Report, prepared for the abovementioned site is to be submitted in support of a Development Application for this site and will be relied on by Pittwater Council as the basis for ensuring that the Geotechnical Risk Management aspects of the proposed development have been adequately addressed to achieve an "Acceptable Risk Management" level for the life of the structure, taken as at least 100 years unless otherwise stated and justified in the Report and that reasonable and practical measures have been identified to remove foreseeable risk.						
	Signature	elect				
	Name	Ben White				
	Chartered Professional Status	MScGEOLAusIMM CP GEOL				
	Membership No.	222757				
	_Company Wh	ite Geotechnical Group Pty Ltd				

GEOTECHNICAL RISK MANAGEMENT POLICY FOR PITTWATER FORM NO. 1(a) - Checklist of Requirements for Geotechnical Risk Management Report for Development Application

Development Application for Name of Applicant				
Addres	s of site	5 Northview Road, Palm I	Beach	
Report. T	his checklist is to a	ccompany the Geotechnical Repo	e addressed in a Geotechnical Risk Management Geotechnical rt and its certification (Form No. 1).	
	nical Report Detai Title: Geotechnical	Report 5 Northview Road, Pa	Im Beach	
	Date: 23/12/20			
Author:	BEN WHITE			
Author'	s Company/Orgar	nisation: WHITE GEOTECHNICA	L GROUP PTY LTD	
Please m	ark appropriate b	ox		
	Comprehensive site	e mapping conducted 22/9/20		
\boxtimes	Mapping details pre	(date) esented on contoured site plan with c	eomorphic mapping to a minimum scale of 1:200 (as appropriate)	
\boxtimes	Subsurface investig	,	,	
	□ No	Justification		
_	⊠ Yes	Date conducted 22/9/20		
		el developed and reported as an infer	red subsurface type-section	
\boxtimes	Geotechnical hazar	as identified e the site		
	⊠ On th			
	⊠ Below			
		le the site		
\boxtimes	Geotechnical hazar	ds described and reported		
\boxtimes	Risk assessment co	onducted in accordance with the Geo	otechnical Risk Management Policy for Pittwater - 2009	
	⊠ Conse	equence analysis		
	•	iency analysis		
	Risk calculation		with the Contachnical Diele Management Delice for Differenter 2000	
			with the Geotechnical Risk Management Policy for Pittwater - 2009 e with the Geotechnical Risk Management Policy for Pittwater - 2009	
			sk Management" criteria as defined in the Geotechnical Risk	
		for Pittwater - 2009	ik Management Shteha as defined in the Geolesinilear visit	
\boxtimes			the "Acceptable Risk Management" criteria provided that the	
_	specified conditions			
\boxtimes	Design Life Adopted			
	⊠ 100 y □ Other			
		specify	<u> </u>	
	Geotechnical Condi Pittwater - 2009 hav	itions to be applied to all four phases	s as described in the Geotechnical Risk Management Policy for	
\boxtimes		•	practical have been identified and included in the report.	
		ithin Bushfire Asset Protection Zone	•	
that the g	eotechnical risk ma nent" level for the li	nagement aspects of the proposal	Report, to which this checklist applies, as the basis for ensuring have been adequately addressed to achieve an "Acceptable Risk ast 100 years unless otherwise stated, and justified in the Report ed to remove foreseeable risk.	
		Signature	club	
		Name	Ben White_	
		Chartered Professional Status	MScGEOLAusIMM CP GEOL	

Company White Geotechnical Group Pty Ltd

Membership No.

222757



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GEOTECHNICAL INVESTIGATION:

Alterations and Additions at 5 Northview Road, Palm Beach

1. Proposed Development

- **1.1** Construct a new timber deck on the downhill side of the house.
- 1.2 Demolish and replace the retaining wall on the NE side of the house. Extend the pathway on the N side of the house.
- **1.3** Extend the lower ground floor verandah on the downhill side and extend the ground floor verandah on the NE side and W corner.
- **1.4** Various external modifications to the existing house.
- **1.5** Construct new timber steps on the S side of the house
- Details of the proposed development are shown on 20 drawings prepared by Brooke Aitken Design, drawings numbered 100 to 109 and 200 to 209, Revision A, dated December 2020.

2. Site Description

- **2.1** The site was inspected on the 22nd of September, 2020.
- 2.2 This residential property is on the low side of the road and has a NW aspect. It is located on the moderate to steeply graded upper reaches of a hillslope. The natural slope falls from the uphill boundary to the downhill side of the house at an angle of ~11° before increasing in grade to an angle of ~26°. The slope below the property continues at similar steep angles for some 30m before gradually easing. The slope above the property eases to near level angles on the crest of the hillslope.
- 2.3 At the road frontage, a concrete driveway runs to a parking area on the S side of the house (Photos 1 to 2). The two storey rendered masonry and sandstone block



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house is supported by rendered masonry walls, sandstone block walls and brick walls (Photos 3 & 4). One of the supporting sandstone block walls displays cracking through the mortar but is considered to be stable (Photo 5). Sandstone bedrock outcrops on the N and downhill sides of the house (Photos 6 & 7). A sandstone block retaining wall ~1.5m supports fill near the SW corner of the house (Photo 7). The wall displays two thick stepped and vertical cracks through the mortar. See 'Section 14 Ongoing Maintenance'. Fill provides a near level lawn area on the downhill side of the house. The fill is supported by timber crib and stack rock retaining walls up to ~2.5m high (Photos 8 & 9). The timber crib retaining wall is in a dilapidated state. See 'Section 14 Ongoing Maintenance'. Sandstone bedrock is outcropping on the steep slope below the lawn area (Photo 10). No signs of slope instability were observed on the property that could have occurred since the property was developed. The adjoining neighbouring properties were observed to be in good order as seen from the street and subject property.

3. Geology

The Sydney 1:100 000 Geological sheet indicates the site is underlain by the Newport Formation of the Narrabeen Group. This is described as interbedded laminite, shale, and quartz to lithic quartz sandstone.

4. Subsurface Investigation

One auger hole was put down to identify the soil materials. Eleven Dynamic Cone Penetrometer (DCP) tests were put down to determine the relative density of the overlying soil and the depth to bedrock. The locations of the tests are shown on the site plan. It should be noted that a level of caution should be applied when interpreting DCP test results. The test will not pass through hard buried objects so in some instances it can be difficult to determine whether refusal has occurred on an obstruction in the profile or on the natural rock surface. This may have occurred for DCP5. Due to the possibility that the actual ground conditions vary from our interpretation there should be allowances in the excavation and foundation budget



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to account for this. We refer to the appended "Important Information about Your Report" to further clarify. The results are as follows:

AUGER HOLE 1 (~RL37.0) – AH1 (photo 11)

Depth (m)	Material Encountered
0.0 to 0.6	TOPSOIL, sandy soil, dark brown, moist, fine to medium grained with
	fine trace organic matter.
0.6 to 0.7	CLAY, brown yellow, stiff, moist.

End of Test @ 0.7m in stiff clay. No watertable encountered.

DCP TEST RESULTS – Dynamic Cone Penetrometer						
Equipment: 9kg hammer, 510mm drop, conical tip. Standard: AS1289.6.3.2				9.6.3.2 - 1997		
Depth(m)	DCP 1	DCP 2	DCP 3	DCP 4	DCP 5	DCP 6
Blows/0.3m	(~RL37.1)	(~RL37.0)	(~RL37.0)	(~RL37.5)	(~RL38.5)	(~RL38.5)
0.0 to 0.3	17	3	4	11	26	10
0.3 to 0.6	25	14	22	11	15	21
0.6 to 0.9	18	9	15	7	#	12
0.9 to 1.2	26	23	20	15		9
1.2 to 1.5	34	35	40	20		14
1.5 to 1.8	#	#	#	#		26
1.8 to 2.1						7
2.1 to 2.4						#
	End of Test @ 1.5m	End of Test @ 1.5m	End of Test @ 1.4 m	Refusal @ 1.3m	Refusal @ 0.5m	Refusal @ 1.8m

#refusal/end of test. F = DCP fell after being struck showing little resistance through all or part of the interval.

DCP TEST RESULTS CONTINUE ON NEXT PAGE



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DCP TEST RESULTS – Dynamic Cone Penetrometer					
Equipment: 9kg hammer, 510mm drop, conical tip. Standard: AS1289.6.3.2 - 1997					289.6.3.2 - 1997
Depth(m)	DCP 7	DCP 8	DCP 9	DCP 10	DCP 11
Blows/0.3m	(~RL40.7)	(~RL39.8)	(~RL39.8)	(~RL42.4)	(~RL43.5)
0.0 to 0.3	3F	4	4	#	2
0.3 to 0.6	2	14	4		4
0.6 to 0.9	5	28	9		10
0.9 to 1.2	34	32	4		6
1.2 to 1.5	#	#	14		#
1.5 to 1.8			30		
1.8 to 2.1			#		
2.1 to 2.4					
	End of Test @ 1.2m	End of Test @ 1.2m	End of Test @ 1.8m	Rock at Surface	Refusal @ 1.0m

DCP Notes:

- DCP1 End of Test @ 1.5m, DCP still very slowly going down, white rock fragments and brown sandy soil on moist tip.
- DCP2 End of Test @ 1.5m, DCP still very slowly going down, white impact dust on moist tip.
- DCP3 End of Test @ 1.4m, DCP still very slowly going down, white and orange impact dust on dry tip.
- DCP4 Refusal on rock @ 1.3m, DCP thudding, white impact dust and white clay on dry tip.
- DCP5 Refusal @ 0.5m, DCP bouncing, white impact dust on moist tip.
- DCP6 Refusal @ 1.8m, DCP bouncing, white impact dust on moist tip.
- DCP7 End of Test @ 1.2m, DCP still very slowly going down, light and dark brown soil on damp tip.
- DCP8 End of Test @ 1.2m, DCP still very slowly going down, maroon clay on moist tip.
- DCP9 End of Test @ 1.8m, DCP still very slowly going down, orange clay and white impact dust on dry tip.
- DCP10 Rock exposed at surface.
- DCP11 Refusal @ 1.0m, DCP bouncing, white rock fragments on dry tip.



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5. Geological Observations and Interpretations

The slope materials are colluvial at the near surface and residual at depth. Sandstone bedrock

is outcropping on the NE and downhill sides of the house and near the downhill boundary of

the property. These are expected to be sandstone bands in an otherwise shale dominated

profile. The rock is overlain by sandy soil and clays. In the test locations the depth to rock

ranged from exposed at the surface to a depth of ~1.8m below. The weathered rock in the

location of the tests is interpreted to be Extremely Low Strength Rock or better. See Type

Section attached for a diagrammatical representation of the expected ground materials.

6. Groundwater

Normal ground water seepage is expected to move over the buried surface of the rock and

through the cracks in the rock.

Due to the slope and elevation of the block, the water table in the location is expected to be

many metres below the base of the proposed excavations.

7. Surface Water

No evidence of surface flows were observed on the property during the inspection. Normal

sheet wash from the slope above will be intercepted by the street drainage system for

Northview Road above.

8. Geotechnical Hazards and Risk Analysis

No geotechnical hazards were observed above or beside the property. The moderate to

steeply graded slope that falls across the property and continues below is a potential hazard

(Hazard One).

RISK ANALYSIS SUMMARY ON NEXT PAGE



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Geotechnical Hazards and Risk Analysis - Risk Analysis Summary

HAZARDS	Hazard One			
TYPE	The moderate to steep slope that falls across the property and			
	continues below failing and impacting on the property.			
LIKELIHOOD	'Unlikely' (10 ⁻⁴)			
CONSEQUENCES TO	'Medium' (12%)			
PROPERTY				
RISK TO PROPERTY	(1			
	'Low' (2 x 10 ⁻⁵)			
RISK TO LIFE	8.3 x 10 ⁻⁷ /annum			
COMMENTS	This level of risk is 'ACCEPTABLE'.			

(See Aust. Geomech. Jnl. Mar 2007 Vol. 42 No 1, for full explanation of terms)

9. Suitability of the Proposed Development for the Site

The proposed development is suitable for the site. No geotechnical hazards will be created by the completion of the proposed development provided it is carried out in accordance with the requirements of this report and good engineering and building practice.

10. Stormwater

It is recommended a drainage easement be obtained from the downhill neighbouring property and all stormwater or drainage runoff from the proposed development be piped to the street below. If this option is not feasible, a spreader/dispersion trench is suitable as a last resort, provided flows are kept close to natural runoff for the site. All stormwater is to be piped through any tanks that may be required by the regulating authorities

11. Excavations

Apart from those for footings and possible minor levelling, no excavations are required.



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12. Retaining Structures

For cantilever or singly propped retaining structures it is suggested the design be based on a triangular distribution of lateral pressures using the parameters shown in Table 1.

Table 1 – Likely Earth Pressures for Retaining Structures

	Earth Pressure Coefficients				
Unit	Unit weight (kN/m³)	'Active' Ka	'At Rest' K ₀		
Fill and Soil	20	0.40	0.55		
Residual Clays	20	0.35	0.45		
Extremely Low to Low Strength Rock	22	0.25	0.38		

For rock classes refer to Pells et al "Design Loadings for Foundations on Shale and Sandstone in the Sydney Region". Australian Geomechanics Journal 1978.

It is to be noted that the earth pressures in Table 1 assume a level surface above the structure, do not account for any surcharge loads and assume retaining structures are fully drained.

Rock strength and relevant earth pressure coefficients are to be confirmed on site by the geotechnical consultant.

All retaining structures are to have sufficient back-wall drainage and be backfilled immediately behind the structure with free draining material (such as gravel). This material is to be wrapped in a non-woven Geotextile fabric (i.e. Bidim A34 or similar), to prevent the drainage from becoming clogged with silt and clay. If no back-wall drainage is installed in retaining structures the full hydrostatic pressures are to be accounted for in the retaining structure design.



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13. Foundations

The new retaining walls and house additions are to be supported on piered foundations taken to Extremely Low Strength Rock or better. This ground material is expected at depths from between 1.2 to 1.8m below the current surface and averaging $^{\sim}1.5$ m. Where the new deck posts are close to downslope retaining walls (at the N corner in particular) foundation depths might need to be deeper than expected due to the presence of fill. Test results in fill can be difficult to interpret as the composition of the fill is not known and hard components in the fill can be confused for weathered rock. In these locations expected foundation depths can be estimated as 'the depth of the fill + $^{\sim}$ 1.5m. A maximum allowable bearing pressure of

The foundations of the existing house are unknown. Footings should be founded on the same footing material across the structure. Where the footing material does change across the structure construction joints or similar are to be installed to prevent differential settlement, where the structure cannot tolerate such movement.

600kPa can be assumed for footings on Extremely Low Strength Rock or better.

As the bearing capacity of weathered rock reduces when it is wet we recommend the footings be dug, inspected and poured in quick succession (ideally the same day if possible). If the footings get wet, they will have to be drained and the soft layer of weathered rock on the footing surface will have to be removed before concrete is poured.

NOTE: If the contractor is unsure of the footing material required it is more cost effective to get the geotechnical professional on site at the start of the footing excavation to advise on footing depth and material. This mostly prevents unnecessary over excavation in clay like shaly rock but can be valuable in all types of geology.

14. Ongoing Maintenance

If the sandstone block, timber crib and stack rock retaining walls (Photos 7 to 9) are to remain, they are to be monitored by the owners on an annual basis. A photographic record of these



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inspections is to be kept. Should further movement occur the wall is to be remediated so it meets current engineering standards. We can carry out these inspections upon request.

15. Inspections

The client and builder are to familiarise themselves with the following required inspection as well as council geotechnical policy. We cannot provide geotechnical certification for the Occupation Certificate if the following inspection has not been carried out during the construction process.

 All footings are to be inspected and approved by the geotechnical consultant while the excavation equipment is still onsite and before steel reinforcing is placed or concrete is poured.

White Geotechnical Group Pty Ltd.

Ben White M.Sc. Geol., AusIMM., CP GEOL.

Fulle

No. 222757

Engineering Geologist.



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Photo 1



Photo 2



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Photo 3



Photo 4



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Photo 5

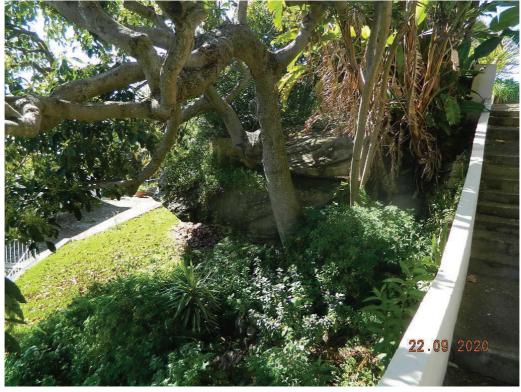


Photo 6



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Photo 7



Photo 8



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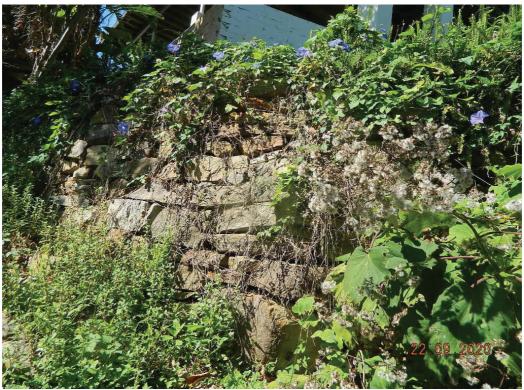


Photo 9



Photo 10



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Photo 11: AH1 – Downhole is from top to bottom.



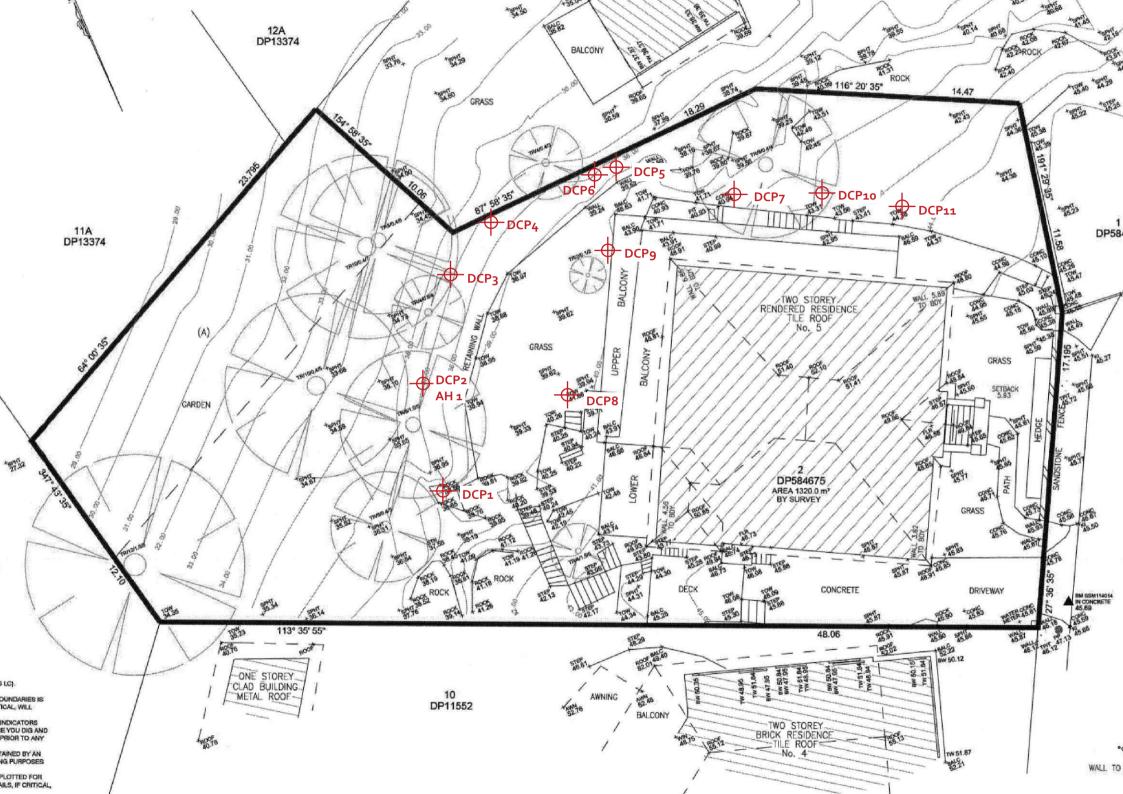
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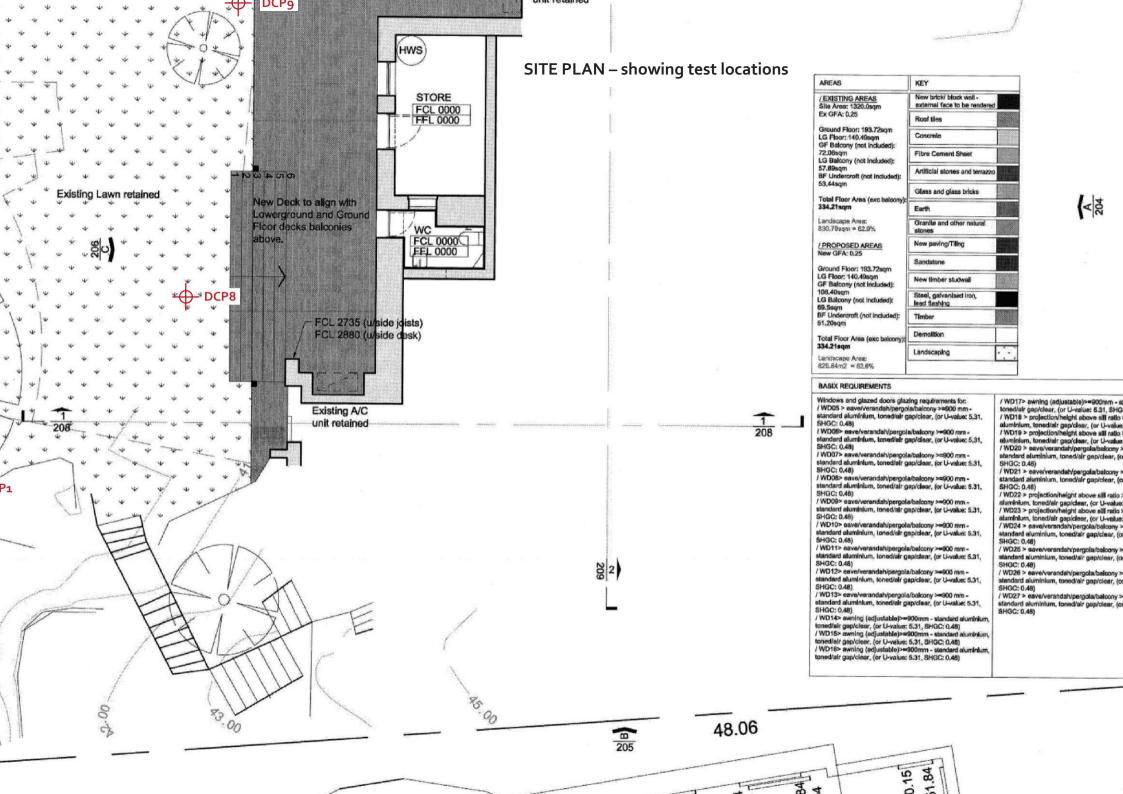
Important Information about Your Report

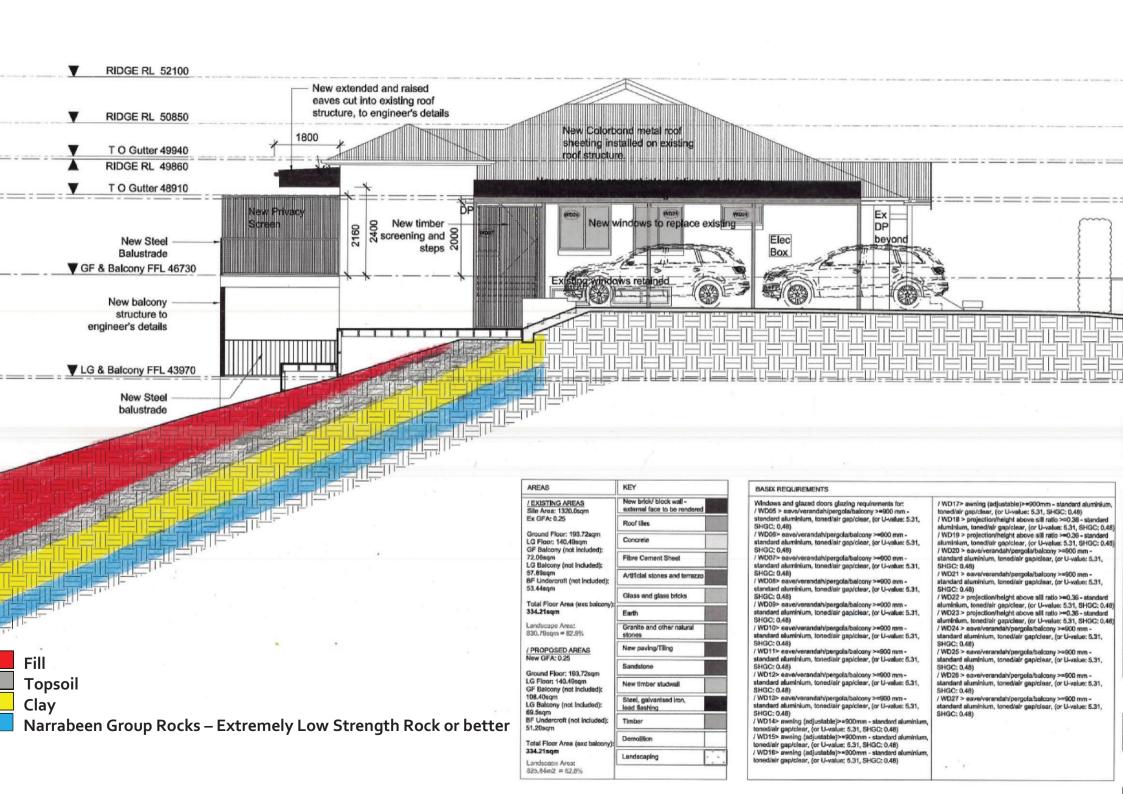
It should be noted that Geotechnical Reports are documents that build a picture of the subsurface conditions from the observation of surface features and testing carried out at specific points on the site. The spacing and location of the test points can be limited by the location of existing structures on the site or by budget and time constraints of the client. Additionally, the test themselves, although chosen for their suitability for the particular project, have their own limiting factors. The testing gives accurate information at the location of the test, within the confines of the test's capability. A geological interpretation or model is developed by joining these test points using all available data and drawing on previous experience of the geotechnical consultant. Even the most experienced practitioners cannot determine every possible feature or change that may lie below the earth. All of the subsurface features can only be known when they are revealed by excavation. As such, a Geotechnical report can be considered an interpretive document. It is based on factual data but also on opinion and judgement that comes with a level of uncertainty. This information is provided to help explain the nature and limitations of your report.

With this in mind, the following points are to be noted:

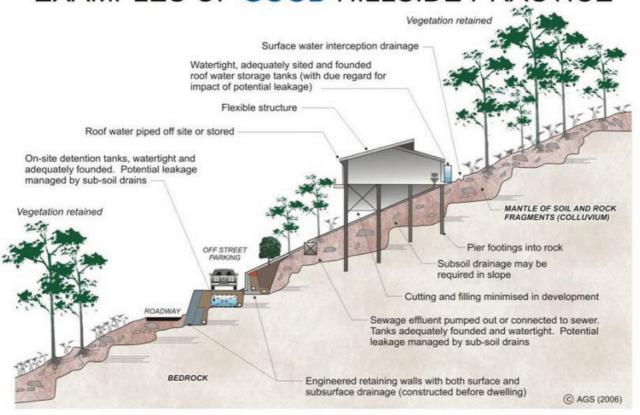
- If upon the commencement of the works the subsurface ground or ground water conditions prove different from those described in this report, it is advisable to contact White Geotechnical Group immediately, as problems relating to the ground works phase of construction are far easier and less costly to overcome if they are addressed early.
- If this report is used by other professionals during the design or construction process, any questions should be directed to White Geotechnical Group as only we understand the full methodology behind the report's conclusions.
- The report addresses issues relating to your specific design and site. If the proposed project design changes, aspects of the report may no longer apply. Contact White Geotechnical if this occurs.
- This report should not be applied to any other project other than that outlined in section 1.0.
- This report is to be read in full and should not have sections removed or included in other documents as this can result in misinterpretation of the data by others.
- It is common for the design and construction process to be adapted as it progresses (sometimes
 to suit the previous experience of the contractors involved). If alternative design and construction
 processes are required to those described in this report, contact White Geotechnical Group. We
 are familiar with a variety of techniques to reduce risk and can advise if your proposed methods
 are suitable for the site conditions.







EXAMPLES OF GOOD HILLSIDE PRACTICE



EXAMPLES OF POOR HILLSIDE PRACTICE

