GEOTECHNICAL RISK MANAGEMENT POLICY FOR PITTWATER FORM NO. 1 – To be submitted with Development Application

Develo	pment Applicat	Name of Applicant	
Addres	ss of site	1 Harewood Place, Warriewood	
The follo	wing checklist co	ers the minimum requirements to be addressed in a Geotechnical Risk Declaration made by engineering geologist or coastal engineer (where applicable) as part of a geotechnical	
l,	Ben White (Insert Name)	on behalf of White Geotechnical Group Pty Ltd (Trading or Company Name)	
coastal e organisa		certify that I am a geotechnical engineer or engineering geology by the Geotechnical Risk Management Policy for Pittwater - 2009 and I am authorised by the sue this document and to certify that the organisation/company has a current professional incompany.	e above
l: Please r	nark appropriate	box	
		ne detailed Geotechnical Report referenced below in accordance with the Australia Geomeoide Risk Management Guidelines (AGS 2007) and the Geotechnical Risk Management Po	
	am willing to accordance wit	chnically verify that the detailed Geotechnical Report referenced below has been prepa the Australian Geomechanics Society's Landslide Risk Management Guidelines (AGS 2007) and Management Policy for Pittwater - 2009	
	have examined with Section 6.0 assessment for	he site and the proposed development in detail and have carried out a risk assessment in according to the Geotechnical Risk Management Policy for Pittwater - 2009. I confirm that the results of the proposed development are in compliance with the Geotechnical Risk Management Poland further detailed geotechnical reporting is not required for the subject site.	the risk
	have examined Application on	ne site and the proposed development/alteration in detail and I am of the opinion that the Development involves. Minor Development/Alteration that does not require a Geotechnical Report of hence my Report is in accordance with the Geotechnical Risk Management Policy for Pittwater	or Risk
	have examined Hazard and do the Geotechnic	ne site and the proposed development/alteration is separate from and is not affected by a Geote s not require a Geotechnical Report or Risk Assessment and hence my Report is in accordan Risk Management Policy for Pittwater - 2009 requirements.	
	•	e coastal process and coastal forces analysis for inclusion in the Geotechnical Report	
Geotecr	nical Report De Report Title: Ge	alls: technical Report 1 Harewood Place, Warriewood	
	Report Date: 29	9/23	
	Author: BEN W	IITE	
_	Author's Compa	y/Organisation: WHITE GEOTECHNICAL GROUP PTY LTD	
Docume		ate to or are relied upon in report preparation: eomechanics Society Landslide Risk Management March 2007.	
Ē		chnical Group company archives.	
Develop Risk Ma Managei	are that the aboment Application nagement aspectment" level for the	e Geotechnical Report, prepared for the abovementioned site is to be submitted in support this site and will be relied on by Pittwater Council as the basis for ensuring that the Geotes of the proposed development have been adequately addressed to achieve an "Acceptabifie of the structure, taken as at least 100 years unless otherwise stated and justified in the Repeal measures have been identified to remove foreseeable risk.	chnical le Risk
		311.4	

Signature

Name Ben White

Chartered Professional Status MScGEOLAusIMM CP GEOL

Membership No. 222757

Company White Geotechnical Group Pty Ltd

GEOTECHNICAL RISK MANAGEMENT POLICY FOR PITTWATER FORM NO. 1(a) - Checklist of Requirements for Geotechnical Risk Management Report for Development Application

Development Application for					
		1	Name of Applicant		
Addres	s of site	1 Harewood Place, V	Varriewood		
Report. 1		ccompany the Geotechnical	s to be addressed in a Geotechnical Risk Man Report and its certification (Form No. 1).	agement Geotechnical	
Report	Title: Geotechnical	Report 1 Harewood Place	e, Warriewood		
	Date: 29/9/23	•			
	BEN WHITE				
		nisation: WHITE GEOTECH	NICAL GROUP PTY LTD		
			MICAE GROOT TITLED		
Please m	nark appropriate b	ox			
	Comprehensive site	e mapping conducted 21/9/23 (date)	-		
\boxtimes	Mapping details pre Subsurface investig ☐ No	esented on contoured site plan	with geomorphic mapping to a minimum scale of	1:200 (as appropriate)	
		Date conducted 21/9/23			
			n inferred subsurface type-section		
	Geotechnical hazar	ds identified e the site			
	⊠ Above ⊠ On th				
	⊠ Below				
		e the site			
\boxtimes	Geotechnical hazar	ds described and reported			
\boxtimes	Risk assessment co	onducted in accordance with th	e Geotechnical Risk Management Policy for Pitty	water - 2009	
		equence analysis			
_	•	iency analysis			
	Risk calculation			" / B" + 0000	
			lance with the Geotechnical Risk Management Po		
			rdance with the Geotechnical Risk Management ole Risk Management" criteria as defined in the G		
		e been compared to 'Acceptat / for Pittwater - 2009	ne Kisk Management Citiena as defined in the G	eoleciiiicai Nisk	
\boxtimes			hieve the "Acceptable Risk Management" criteria	provided that the	
	specified conditions		•		
\boxtimes	Design Life Adopted				
	⊠ 100 y				
	☐ Other	specify			
\boxtimes		itions to be applied to all four p	hases as described in the Geotechnical Risk Mar	nagement Policy for	
	Pittwater - 2009 have Additional action to	•	and practical have been identified and included	in the report	
		ithin Bushfire Asset Protection	•	iii iio iopoiti	
that the g Managen	eotechnical risk ma nent" level for the li	nagement aspects of the pro fe of the structure, taken as ctical measures have been in	hnical Report, to which this checklist applies, posal have been adequately addressed to ach at least 100 years unless otherwise stated, a dentified to remove foreseeable risk.	nieve an "Acceptable Risk	
		Signature	Kelit		
		Name	Ben White		
		Chartered Professional Sta	tus MScGEOLAusIMM CP GEOL		
		Membership No.	222757		

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J5149. 29th September, 2023. Page 1.

GEOTECHNICAL INVESTIGATION:

New House and Pool at 1 Harewood Place, Warriewood

1. Proposed Development

- 1.1 Demolish the existing house and construct a new house by excavating to a maximum depth of ~3.1m.
- 1.2 Install a swimming pool on the uphill side of the property by excavating to a maximum depth of ~2.1m.
- 1.3 Landscape the lawn above the proposed house by filling to a maximum height of ~1.4m.
- 1.4 Details of the proposed development are shown on 16 drawings prepared by Hot House Architects, project number 1072HHA, drawings numbered DA_001, DA_002, DA_006, DA_090, DA_091, DA_100, DA_101, DA_103, DA_200, DA_201, DA_300, DA_350, DA_600, DA_701, DA_800, and DA_850, dated 28.9.23.

2. Site Description

- **2.1** The site was inspected on the 21st September, 2023.
- 2.2 This residential property is on the high side of the road and has a W aspect. It is located on the gently graded middle reaches of a hillslope. The natural slope rises across the property at an average angle of ~7°. The slope above and below the property continues at similar angles.
- 2.3 At the road frontage, a concrete driveway runs up the slope to a garage on the downhill side of the property (Photo 1). In between the road frontage and the house is a level lawn area (Photo 2). The fill for the lawn area is supported by a stable ~1.2m high rendered masonry retaining wall. The single-storey house is supported on brick



J5149.

29th September, 2023.

Page 2.

walls. The exterior brick walls appear to be in good condition (Photo 3). The house and

garage are to be demolished as part of the proposed works. A cut for a patio that

extends off the uphill side of the house is supported by a stable ~0.8m high rendered

masonry retaining wall (Photo 4). This wall is to be demolished as part of the proposed

works. A gently sloping lawn extends above this wall to the upper common boundary

(Photo 5).

3. Geology

The Sydney 1:100 000 Geological sheet indicates the site is underlain by the Newport

Formation of the Narrabeen Group. This is described as interbedded laminite, shale, and

quartz to lithic-quartz sandstone.

4. Subsurface Investigation

One hand Auger Hole (AH) was put down to identify soil materials. Five Dynamic Cone

Penetrometer (DCP) tests were put down to determine the relative density of the overlying

soil and the depth to weathered rock. The locations of the tests are shown on the site plan

attached. It should be noted that a level of caution should be applied when interpreting DCP

test results. The test will not pass through hard buried objects so in some instances it can be

difficult to determine whether refusal has occurred on an obstruction in the profile or on the

natural rock surface. This is not expected to be an issue for the testing on this site. However,

excavation and foundation budgets should always allow for the possibility that the

interpreted ground conditions in this report vary from those encountered during excavations.

See the appended "Important information about your report" for a more comprehensive

explanation. The results are as follows:

GROUND TEST RESULTS ON THE NEXT PAGE



J5149. 29th September, 2023. Page 3.

AUGER HOLE 1 (~RL19.0) - AH1 (Photo 6)

Depth (m)	Material Encountered
0.0 to 0.3	TOPSOIL, dark brown clayey soil, medium grained, loose, fine trace of
	organic matter, dry.
0.3 to 1.2	CLAY, orange, fine grained, hard, dry.

End of test @ 1.2m. No water table encountered.

DCP TEST RESULTS – Dynamic Cone Penetrometer					
Equipment: 9kg hammer, 510mm drop, conical tip. Standard: AS1289.6.3.2 - 1997					
Depth(m) DCP 1 DCP 2		DCP 2	DCP 3	DCP 4	DCP 5
Blows/0.3m	(~RL19.9)	(~RL19.7)	(~RL18.5)	(~RL18.3)	(~RL14.7)
0.0 to 0.3	8	6	6	4	7
0.3 to 0.6	10	11	10	3	5
0.6 to 0.9	12	12	15	7	11
0.9 to 1.2	16	18	23	11	16
1.2 to 1.5	26	23	29	15	31
1.5 to 1.8	34	27	36	26	#
1.8 to 2.1	#	31	#	30	
2.1 to 2.4		#		#	
	End of Test @ 1.8m	End of Test @ 2.1m	End of Test @ 1.8m	End of Test @ 2.1m	End of Test @ 1.5m

#refusal/end of test. F=DCP fell after being struck showing little resistance through all or part of the interval.

DCP Notes:

DCP1 – End of test @ 1.8m, DCP still going down slowly, orange clay on dry tip.

DCP2 – End of test @ 2.1m, DCP still going down slowly, orange clay on dry tip.

DCP3 – End of test @ 1.8m, DCP still going down slowly, orange clay on dry tip.

DCP4 – End of test @ 2.1m, DCP still going down slowly, orange clay on dry tip.

DCP5 – End of test @ 1.5m, DCP still going down slowly, orange/brown clay on damp tip.



J5149.

29th September, 2023.

Page 4.

5. Geological Observations/Interpretation

The slope materials are colluvial at the near surface and residual at depth. In the test

locations, the ground materials consist of shallow soils over clays. The clay merges into the

underlying weathered rock at depths of between ~1.2m to ~1.5m below the current surface.

The weathered zone is interpreted to be Extremely Low Strength Shale. See Type Section

attached for a diagrammatical representation of the expected ground materials.

6. Groundwater

Normal ground water seepage is expected to move over the buried surface of the rock and

through the cracks. Due to the slope and elevation of the block, the water table is expected

to be many metres below the base of the proposed works.

7. Surface Water

No evidence of surface flows were observed on the property during the inspection. It is

expected that normal sheet wash will move onto the site from above the property during

heavy down pours.

8. Geotechnical Hazards and Risk Analysis

No geotechnical hazards were observed beside the property. The gently graded slope that

rises across the property and continues above and below is a potential hazard (Hazard One).

The proposed excavations are a potential hazard until retaining walls are in place

(Hazard Two). The proposed fill for the lawn area is a potential hazard until retaining walls

are in place (Hazard Three).

RISK ANALYSIS ON THE NEXT PAGE



J5149. 29th September, 2023. Page 5.

Risk Analysis Summary

HAZARDS	Hazard One	Hazard Two	Hazard Three
TYPE	The gentle slope that rises across the property and continues above and below failing and impacting on the proposed works.	The excavation for the new house and pool (up to a maximum depth of ~3.1m) collapsing onto the work site before retaining structures are in place.	The proposed fill (up to a maximum height of 1.4m) failing and impacting the proposed works.
LIKELIHOOD	'Unlikely' (10 ⁻⁴)	'Possible' (10 ⁻³)	'Possible' (10 ⁻³)
CONSEQUENCES TO PROPERTY	'Minor' (5%)	'Medium' (15%)	'Medium' (15%)
RISK TO PROPERTY	'Low' (2 x 10 ⁻⁵)	'Moderate' (2 x 10 ⁻⁴)	'Moderate' (2 x 10 ⁻⁴)
RISK TO LIFE	5.5 x 10 ⁻⁷ /annum	8.3 x 10 ⁻⁶ /annum	6.0 x 10 ⁻⁵ /annum
COMMENTS	This level of risk is 'ACCEPTABLE'.	This level of risk to life and property is 'UNNACEPTABLE'. To move risk to 'ACCEPTABLE' levels, the recommendations in Section 13 and 15 are to be followed.	This level of risk to life and property is 'UNACCEPTABLE'. To move risk to 'ACCEPTABLE' levels the recommendations in Section 14 are to be followed.

(See Aust. Geomech. Jnl. Mar 2007 Vol. 42 No 1, for full explanation of terms)

9. Suitability of the Proposed Development for the Site

The proposed development is suitable for the site. No geotechnical hazards will be created by the completion of the proposed development provided it is carried out in accordance with the requirements of this report and good engineering and building practice.

10. Stormwater

The fall is to Harewood Place. Roof water from the development is to be piped to the street drainage system through any tanks that may be required by the regulating authorities.



J5149.

29th September, 2023.

Page 6.

11. Excavations

Two excavations will be required for the proposed development:

• An excavation to a maximum depth of ~3.1m for the construction of the proposed

house.

An excavation to a maximum depth of ~2.1m to install the proposed pool on the uphill

side of the property.

The excavations are expected to be through shallow soil over clay with Extremely Low

Strength Shale expected at depths of between ~1.2m and ~1.5m. It is envisaged that

excavations through soil, clay, and Extremely Low Strength Shale can be carried out with an

excavator and toothed bucket.

12. Vibrations

No excessive vibrations will be generated by excavation through soil, clay, and Extremely Low

Strength Shale. Any vibrations generated by a domestic machine and bucket up to 20 tonne

carrying out excavation works will be below the threshold limit for infrastructure or building

damage.

13. Excavation Support Requirements

Bulk excavation for the proposed house

The excavation for the proposed house will reach a maximum depth of ~3.1m. Allowing for

0.5m of back wall drainage, the setbacks from the proposed excavation to the existing

structures/boundaries are as follows:

Flush with the NW common boundary.

• ~0.5m from the SE common boundary.

• ~1.0m from the SE neighbouring house.

As the excavation for the house reduces in depth as it nears the SE common boundary, only

the NW and SE common boundaries will lie within the zone of influence of the proposed



J5149. 29th September, 2023.

Page 7.

excavation. In this instance, the zone of influence is the area above a theoretical 45° line through clay and shale from the base of the excavation towards the surrounding structures and boundaries. This line reduces to 30° through the fill and soil.

Due to the depth of the excavation, all sides of the cut will need to be temporarily or permanently supported prior to the commencement of the excavation through rock, or during the excavation process in a staged manner, so cut batters are not left unsupported. The support will need to be designed by the structural engineer. See the site plan attached for the minimum extent of the required shoring shown in blue.

During the excavation process for the house, the geotechnical consultant is to inspect the cut in 1.5m intervals as it is lowered, while the machine/excavation equipment is on site, to ensure the ground materials are as expected and no additional temporary support is required.

Bulk excavation for the proposed pool

The excavation for the proposed pool will reach a maximum depth of ~2.1m. The setbacks from the proposed excavation to the existing structures/boundaries are as follows:

~2.0m from the uphill common boundary.

We recommend the uphill side of the excavation be temporarily supported with typical pool shoring such as braced sacrificial form ply, until the pool structure is in place. The remaining sides of the cut are expected to stand at near-vertical angles for short periods of time until the pool structure is installed provided the cut batters are kept from becoming saturated. If the cut batters through soil and clay remain unsupported for more than a day before pool construction commences, they are also to be supported with typical pool shoring until the pool structure is in place. The support will need to be designed by the structural engineer. See site plan attached for extent of minimum required shoring shown in green.

Advice applying to both excavations

Upslope runoff is to be diverted from the cut faces by sandbag mounds or other diversion works. All unsupported cut batters through fill, soil, and clay are to be covered to prevent



J5149.

29th September, 2023.

Page 8.

access of water in wet weather and loss of moisture in dry weather. The covers are to be tied

down with metal pegs or other suitable fixtures so they cannot blow off in a storm. The

materials and labour to construct the pool structure/retaining walls are to be organised so on

completion of the excavations they can be constructed as soon as possible. The excavations

are to be carried out during a dry period. No excavations are to commence if heavy or

prolonged rainfall is forecast.

All excavation spoil is to be removed from site following the current Environmental Protection

Agency (EPA) waste classification guidelines.

14. Fills

Two fills will be placed on the uphill side of the property to crate level lawn areas. No fills are

to be laid until retaining walls are in place. The fills will reach a maximum depth of ~1.4m. The

surface is to be prepared before any fills are laid by removing any organic matter and topsoil.

Fills are to be laid in a loose thickness not exceeding 0.3m before being moderately

compacted. Tracking the machine over the loose fill in 1 to 2 passes should be sufficient.

Immediately behind the retaining walls (say to 1.5m), the fills are to be compacted with light

weight equipment such as a hand-held plate compactor so as not to damage the retaining

walls. Where light weight equipment is used, fills are to be laid in a loose thickness not

exceeding 0.15m before being compacted. No structures are to be supported on fill.

15. Retaining Structures

For cantilever or singly-propped retaining structures, it is suggested the design be based on a

triangular pressure distribution of lateral pressures using the parameters shown in Table 1.

TABLE 1 ON THE NEXT PAGE



J5149. 29th September, 2023.

Page 9.

Table 1 – Likely Earth Pressures for Retaining Structures

	Earth Pressure Coefficients				
Unit	Unit weight (kN/m³)	'Active' K _a	'At Rest' K₀		
Fill and Topsoil	20	0.40	0.55		
Residual Clays	20	0.35	0.45		
Extremely Low Strength Shale	22	0.25	0.38		

For rock classes refer to Pells et al "Design Loadings for Foundations on Shale and Sandstone in the Sydney Region". Australian Geomechanics Journal 1978.

It is to be noted that the earth pressures in Table 1 assume a level surface above the structure, do not account for any surcharge loads, and assume retaining structures are fully drained. Rock strength and relevant earth pressure coefficients are to be confirmed on site by the geotechnical consultant.

All retaining structures are to have sufficient back-wall drainage and be backfilled immediately behind the structure with free-draining material (such as gravel). This material is to be wrapped in a non-woven Geotextile fabric (i.e., Bidim A34 or similar), to prevent the drainage from becoming clogged with silt and clay. If no back-wall drainage is installed in retaining structures, the likely hydrostatic pressures are to be accounted for in the structural design.

16. Foundations

The proposed pool is expected to be entirely seated in Extremely Low Strength Shale. This is a suitable foundation material.

The proposed house can be supported on a thickened edge/raft slab with piers taken to Extremely Low Strength Shale where necessary. This ground material is expected to be exposed across the uphill side of the excavations. Where it is not exposed, and where this



J5149.

29th September, 2023.

Page 10.

material drops away with the slope, piers will be required to maintain a uniform foundation

material across the structure. This ground material is expected at depths of between 1.2m to

1.5m below the current surface in the area of the proposed works.

A maximum allowable bearing pressure of 600kPa can be assumed for footings on Extremely

Low Strength Shale. It should be noted that this material is a soft rock and a rock auger will

cut through it so the builders should not be looking for refusal to end the footings.

As the bearing capacity of clay and shale reduces when it is wet, we recommend the footings

be dug, inspected, and poured in quick succession (ideally the same day if possible). If the

footings get wet, they will have to be drained and the soft layer of wet clay or shale on the

footing surface will have to be removed before concrete is poured.

If a rapid turnaround from footing excavation to the concrete pour is not possible, a sealing

layer of concrete may be added to the footing surface after it has been cleaned.

NOTE: If the contractor is unsure of the footing material required, it is more cost-effective to

get the geotechnical consultant on site at the start of the footing excavation to advise on

footing depth and material. This mostly prevents unnecessary over-excavation in clay-like

shaly-rock but can be valuable in all types of geology.

17. Geotechnical Review

The structural plans are to be checked and certified by the geotechnical engineer as being in

accordance with the geotechnical recommendations. On completion, a Form 2B will be

issued. This form is required for the Construction Certificate to proceed.

18. Inspections

The client and builder are to familiarise themselves with the following required inspections

as well as council geotechnical policy. We cannot provide geotechnical certification for the

owners and Occupation Certificate if the following inspections have not been carried out

during the construction process.



J5149. 29th September, 2023. Page 11.

- During the excavation process for the house, the geotechnical consultant is to inspect
 the cuts in 1.5m intervals as they are lowered, while the machine/excavation
 equipment is on site, to ensure the ground materials are as expected and no additional
 temporary support is required.
- All footings are to be inspected and approved by the geotechnical consultant while the excavation equipment and contractors are still onsite and before steel reinforcing is placed or concrete is poured.

White Geotechnical Group Pty Ltd.

Tyler Jay Johns BEng (Civil)(Hons), Geotechnical Engineer. Reviewed By:

Ben White M.Sc. Geol., AuslMM., CP GEOL. No. 222757 Engineering Geologist.



Photo 1



J5149. 29th September, 2023. Page 12.







Photo 3



J5149. 29th September, 2023. Page 13.







Photo 5



J5149. 29th September, 2023. Page 14.



Photo 6 (Top to Bottom)



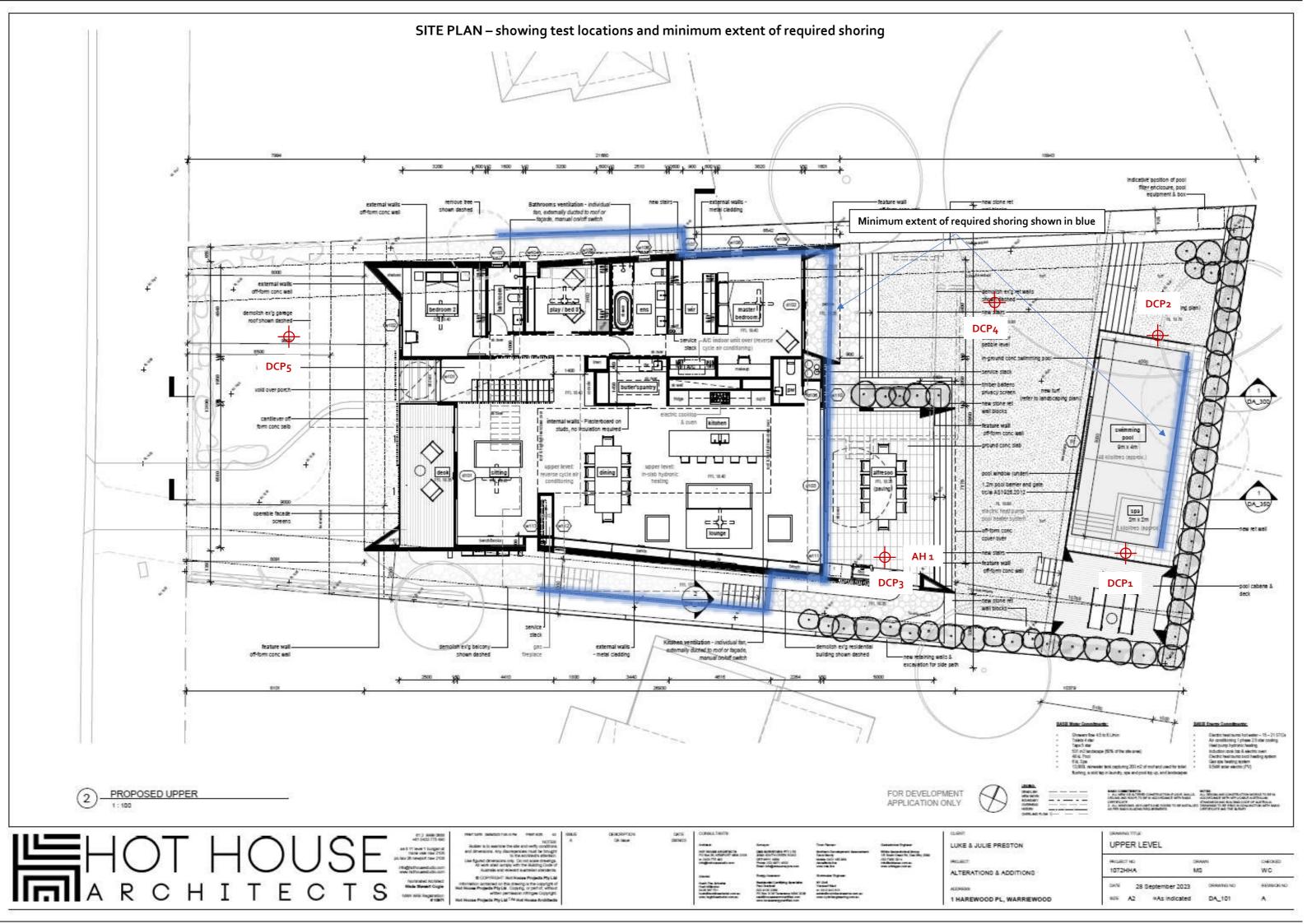
J5149. 29th September, 2023. Page 15.

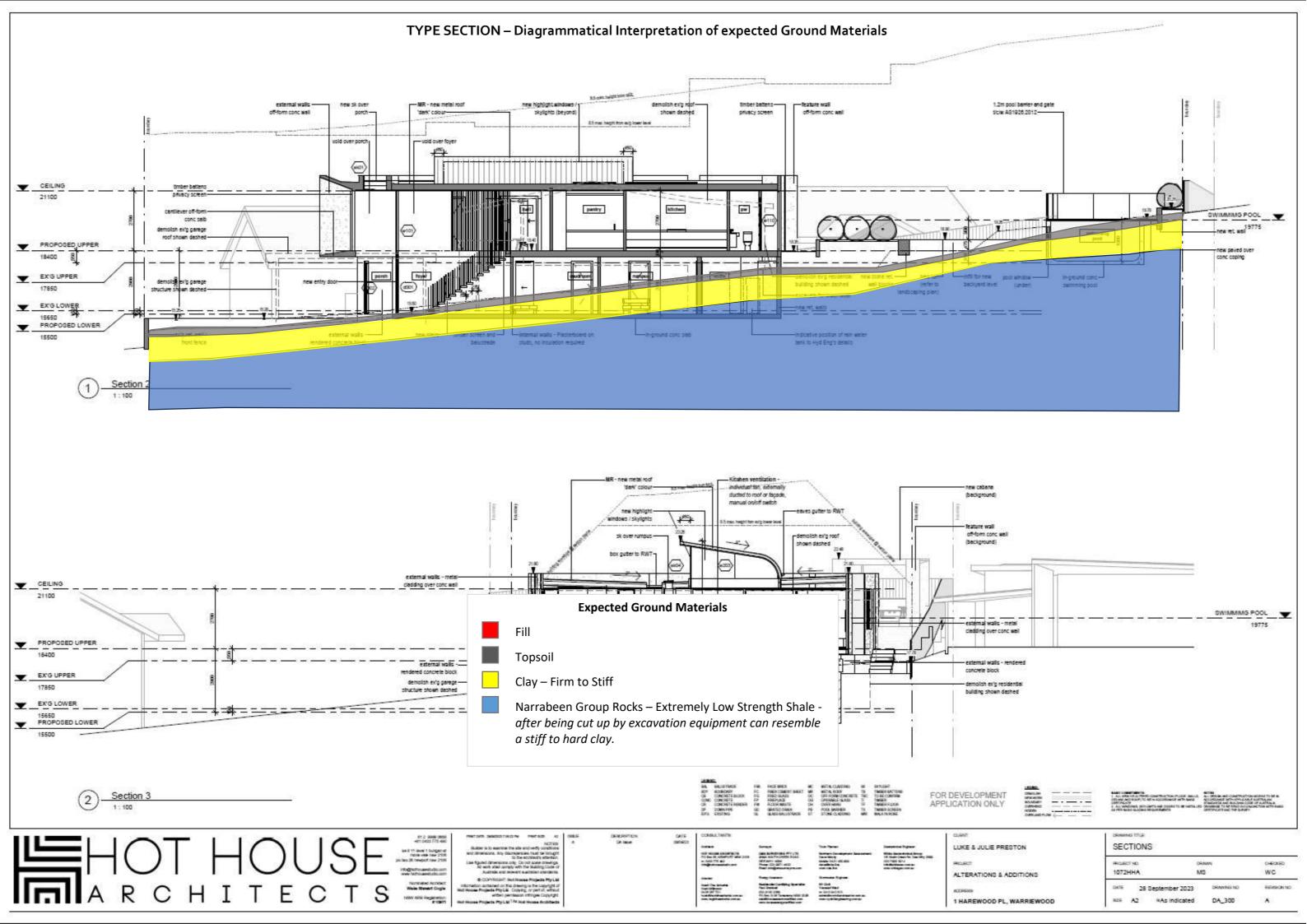
Important Information about Your Report

It should be noted that Geotechnical Reports are documents that build a picture of the subsurface conditions from the observation of surface features and testing carried out at specific points on the site. The spacing and location of the test points can be limited by the location of existing structures on the site or by budget and time constraints of the client. Additionally, the test themselves, although chosen for their suitability for the particular project, have their own limiting factors. The testing gives accurate information at the location of the test, within the confines of the test's capability. A geological interpretation or model is developed by joining these test points using all available data and drawing on previous experience of the geotechnical consultant. Even the most experienced practitioners cannot determine every possible feature or change that may lie below the earth. All of the subsurface features can only be known when they are revealed by excavation. As such, a Geotechnical report can be considered an interpretive document. It is based on factual data but also on opinion and judgement that comes with a level of uncertainty. This information is provided to help explain the nature and limitations of your report.

With this in mind, the following points are to be noted:

- If upon the commencement of the works the subsurface ground or ground water conditions prove different from those described in this report, it is advisable to contact White Geotechnical Group immediately, as problems relating to the ground works phase of construction are far easier and less costly to overcome if they are addressed early.
- If this report is used by other professionals during the design or construction process, any questions should be directed to White Geotechnical Group as only we understand the full methodology behind the report's conclusions.
- The report addresses issues relating to your specific design and site. If the proposed project design changes, aspects of the report may no longer apply. Contact White Geotechnical if this occurs.
- This report should not be applied to any other project other than that outlined in section 1.0.
- This report is to be read in full and should not have sections removed or included in other documents as this can result in misinterpretation of the data by others.
- It is common for the design and construction process to be adapted as it progresses (sometimes to suit the previous experience of the contractors involved). If alternative design and construction processes are required to those described in this report, contact White Geotechnical Group. We are familiar with a variety of techniques to reduce risk and can advise if your proposed methods are suitable for the site conditions.





EXAMPLES OF GOOD HILLSIDE PRACTICE



EXAMPLES OF POOR HILLSIDE PRACTICE

